PB90-204181

Superfund Comprehensive Accomplishments Plan (SCAP) Manual. Fiscal Year 1989. Volume 2

(U.S.) Environmental Protection Agency, Washington, DC

Oct 88

U.S. Department of Commerce
National Technical Information Service
NTIS

BIBLIOGRAPHIC INFORMATION

PB90-204181

Report Nos: OSWER DIRECTIVE-9200.3-01B

Title: Superfund Comprehensive Accomplishments Plan (SCAP) Manual. Fiscal Year 1989. Volume 2.

Date: Oct 88

Performing Organization: Environmental Protection Agency, Washington, DC. Office of Emergency and Remedial Response.

Supplementary Notes: See also Volume 1, PB90-204173.

NTIS Field/Group Codes: 68C, 70F

Price: PC A06/MF A01

Availability: Available from the National Technical Information Service,
Springfield, VA. 22161

Number of Pages: 119p

Keywords: *Project planning, *Hazardous materials, Manuals, Feasibility, Methodology, Design, Removal, Law enforcement, *Superfund program, Record of Decision, *Cleanup, Remedial action.

Abstract: The appendix represents the FY90 methodologies for deriving each of the Superfund Comprehensive Accomplishments Plan (SCAP) targets and projection measures. If the application of the methodologies result in preliminary targets above the national budget, a proportional calibration back to budget will be applied. The appendix should be used as a tool for understanding the initial SCAP targets/measures issued to each region by Headquarters. For all activities, final targets and projection measures will be established after Headquarters/regional negotiations.

United States
Environmental Protection
Agency

Office of Emergency and
Remedial Response
Washington DC 20460

OSWER Directive 9200.3-01B
October 1988

PB90-204181

Superfund

EPA

SCAP for Fiscal Year 1989

Superfund Comprehensive Accomplishments Plan Manual - Volume 2

- Appendix A - Fiscal Year 1990 Methodologies
- Appendix B - Applicability of the Freedom of Information Act
- Appendix C - Crosswalk for Enforcement Activities and Remedies
- Appendix D - Definitions
- Appendix E - CERCLIS Select Logic

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APPENDIX A

SCAP/SPMS METHODOLOGIES FOR TARGETS AND MEASURES

APPENDIX A

This appendix represents the FY90 methodologies for deriving each of the SCAP/SPMS targets and projection measures. If the application of the methodologies result in preliminary targets above the national budget, a proportional calibration back to budget will be applied. This appendix should be used as a tool for understanding the initial SCAP targets/measures issued to each region by Headquarters.

For all activities, final targets and projection measures will be established after Headquarters/regional negotiations.

If there are any questions as to applicability of a particular activity to a target/measure, please refer to SCAP/SPMS Activity Definitions. Also note that all tables in this appendix are hypothetical and used for demonstration purposes only.

APPENDIX A

FY90 METHODOLOGIES

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PRE-REMEDIAL METHODOLOGIES

PA Completions

METHODOLOGY: The national PA completion target for FY90 reflects the number of expected PA completions in the budget. The budget figure was derived by combining the number of sites expected to be added to CERCLIS during FY89 with the RCRA sites expected to be investigated under the Agency's Environmental Priorities Initiative (EPI).

A PA completion target for a particular region is established through the following procedures:

- Determine the number of sites in CERCLIS where a PA has not been conducted as of December 31, 1988.
- Determine each region's percentage of the total CERCLIS sites which have not had a PA as of December 31, 1988.
- Multiply this percentage by the national budget target to determine each region's CERCLIS PA target.
- Determine each region's percentage of the total EPI sites identified.
- Multiply that percentage by the national EPI budget target to determine the region's EPI target.
- Add the region's CERCLIS PA target and EPI target to obtain the overall PA target.

DIFFERENCE FY89-FY90:

SI Completions

METHODOLOGY: The national SSI completion target for FY90 was established as a reasonable goal given the Agency's program priorities and implements the second year of a two year strategy to eliminate the SSI backlog in regions 6-10.

Regional SSI completion targets are derived through the following procedures:

- Determine the number of sites in CERCLIS as of 12/31/88.
- Subtract 1) the sites which have an SSI recorded in CERCLIS; 2) those sites with a PA which results in a low priority SSI; and 3) those with a final disposition of NFRAP.
- Determine the number of sites which meet this criteria in regions 6-10. These are the targets for these regions.

- Subtract the targets for regions 6-10 from the national budget target and from the CERCLIS sites identified.
- Determine regions 1-5 percentage of the remaining CERCLIS sites identified.
- Multiply this percentage by the remaining national budget target . These are the targets for regions 1-5.

DIFFERENCE FY89-FY90:

LSI Starts

METHODOLOGY: The national LSI start target for FY90 reflects the number of expected LSIs in the budget. The regional LSI start target is established through the following procedures:

- Determine the number of sites in CERCLIS in each region as of December 31, 1988 which have had an SSI and do not have a final disposition of NFRAP.
- Add up the regional totals to obtain a national total of sites recommended for LSIs.
- Determine the region's percentage of the total national number of sites recommended for LSIs.
- Apply this percentage to the national target for LSI starts.

DIFFERENCE FY89-FY90: In FY89, the LSI target was based on the estimated number of SSIs that lead to NPL site additions.

REMEDIAL METHODOLOGIES

REMEDIAL INVESTIGATION/FEASIBILITY STUDY (RI/FS)

First RI/FS Starts --Program and PRP Lead

METHODOLOGY: The national target for first RI/FS starts is based on the FY90 budget. The regional targets are established through the following methodology:

- Determine the number of first RODs signed in FY88 and planned in FY89 for each region.
- Calculate each region's percentage of national first RODs in FY88 and FY89.
- Multiply this percentage by the national target for first RI/FS starts in FY90.

First RI/FS start totals will be broken down based on the FY90 budget number to reflect Program and PRP lead RI/FS activities.

DIFFERENCE FY89-FY90: Targets are based entirely on the number of RODs signed.

Subsequent RI/FS starts -- Program and PRP Lead

METHODOLOGY: The national candidate list for subsequent RI/FS starts is based on projected subsequent starts in CERCLIS. All RI/FS starts following the first RI/FS are used to determine the subsequent RI/FS start candidate list.

The regional subsequent RI/FS start list is determined by projects identified in CERCLIS.

DIFFERENCE FY89-FY90:

RI/FS To Public -- Program and PRP lead

METHODOLOGY: The overall annual target for RI/FS to public is determined by adding together the number of RODs planned to be signed between second quarter FY90 and first quarter FY91.

The initial targets for regional RI/FS to public are determined in the same manner as the national targets.

DIFFERENCE FY89-FY90: New methodology for FY90.

First RI/FS Completion (ROD) -- Program and PRP Lead

METHODOLOGY: The annual target for first RI/FS completions are determined by adding together the total number of projected first RODs for all regions in FY90 as identified in CERCLIS.

The initial target for regional first RI/FS completions are based on projections in CERCLIS for Program and PRP lead first RODs.

DIFFERENCE FY89-FY90:

Subsequent RI/FS Completion (ROD) -- Program and PRP Lead

METHODOLOGY: The national and regional targets for subsequent RI/FS completions are described by determining the scheduled subsequent RODs in FY90 in CERCLIS.

DIFFERENCE FY89-FY90:

Final RI/FS Completion (ROD) -- Program and PRP Lead

METHODOLOGY: The annual target for final RI/FS completions are determined by adding together the total number of projected final RODs for all regions in FY90 as identified in CERCLIS.

The initial target for regional final RODs are based on projections in CERCLIS for Program and PRP lead final RODs.

DIFFERENCE FY89-FY90: New methodology for FY90.

REMEDIAL DESIGN (RD)

First RD Starts -- Program and PRP Lead

METHODOLOGY: The national target for first RD starts is based on the regions' projected first RD starts in CERCLIS.

The methodology used to derive the first regional RD start targets is as follows:

- Initial first RD candidate list for each region is determined from CERCLIS.
- Regions identify which of the RD candidates they plan to start, which sites are to be Fund-financed, and which are to be RP lead.
- Sites with Fund-financed RI/FS that appear to be good candidates for RP lead RDs during FY90 should be listed as PRP lead or as an alternate for FY90 funding. Twenty to tweny-five percent of the Fund RI/FS should result in PRP RDs.

- HQ and regions hold negotiations based on the regional submittal and final targets are established.

DIFFERENCE FY89-FY90:

Subsequent RD Starts -- Program and PRP Lead

METHODOLOGY: The national target for subsequent RD starts is based on the region's projected subsequent RD starts as identified in CERCLIS.

The initial target for regional subsequent RD starts are based on projections in CERCLIS for Program and PRP lead subsequent RDs.

DIFFERENCE FY89-FY90:

Final RD Starts -- Program and PRP Lead

METHODOLOGY: The national and regional targets for final RD starts are derived by determining the scheduled final RD starts for FY90 in CERCLIS.

DIFFERENCE FY89-FY90: New methodology for FY90

RD Completions -- Program and PRP Lead

METHODOLOGY: The initial national and regional targets for RD completions is based on projected RD completions in FY90 from CERCLIS.

DIFFERENCE FY89-FY90:

REMEDIAL ACTION (RA)

First RA Starts -- Program and PRP Lead

METHODOLOGY: The initial national target for first RA starts is based on 100% of the regions' projected first RA starts in CERCLIS. All sites in CERCLIS as first RAs are used to determine the RA candidate list.

The methodology used to derive the regional first RA start targets is as follows:

- Initial RA candidate list for each region is based on the number of scheduled first RA starts in FY90.
- Regions identify which of the RA candidates are to be Fund-financed and which are to be RP lead.
- Sites with Fund-financed RI/FS or RD that appear to be good candidates for RP lead RAs during FY90 should be listed as RP lead or as an alternate for FY90 funding.

DIFFERENCE FY89-FY90:

Subsequent RA Starts -- Program and PRP Lead

METHODOLOGY: The national and regional targets for subsequent RA starts are derived by determining the scheduled subsequent RA starts in FY90 in CERCLIS.

DIFFERENCE FY89-FY90:

Final RA Starts -- Program and PRP Lead

METHODOLOGY: The national and regional targets are established by determining the number of final RA starts identified in CERCLIS in FY90.

DIFFERENCE FY89-FY90: New methodology for FY90.

NPL Sites with RA Starts Post-SARA -- Program and PRP Lead

METHODOLOGY: The national and regional targets for post-SARA RA starts is derived by determining the scheduled post-SARA RA starts in CERCLIS.

DIFFERENCE FY89-FY90: New methodology for FY90.

RA Completions -- Program and PRP Lead

METHODOLOGY: The initial national and regional targets for RA completions is based on 100% of the candidate sites for first or subsequent RA completions recorded in CERCLIS. Final targets are set after negotiations.

DIFFERENCE FY89-FY90:

Final RA Completions

METHODOLOGY: The national and regional targets for final RA completions are derived by determining the scheduled final RA completions in FY90 in CERCLIS.

DIFFERENCE FY89-FY90: New methodology for FY90.

NPL Deletion Initiation

METHODOLOGY: National and regional targets for NPL deletion initiation are based on FY90 projected deletions in CERCLIS.

DIFFERENCE FY89-FY90:

- HQ and regions hold negotiations based on the regional submittal and final targets are established.

DIFFERENCE FY89-FY90:

Subsequent RD Starts -- Program and PRP Lead

METHODOLOGY: The national target for subsequent RD starts is based on the region's projected subsequent RD starts as identified in CERCLIS.

The initial target for regional subsequent RD starts are based on projections in CERCLIS for Program and PRP lead subsequent RDs.

DIFFERENCE FY89-FY90:

Final RD Starts -- Program and PRP Lead

METHODOLOGY: The national and regional targets for final RD starts are derived by determining the scheduled final RD starts for FY90 in CERCLIS.

DIFFERENCE FY89-FY90: New methodology for FY90

RD Completions -- Program and PRP Lead

METHODOLOGY: The initial national and regional targets for RD completions is based on projected RD completions in FY90 from CERCLIS.

DIFFERENCE FY89-FY90:

REMEDIAL ACTION (RA)

First RA Starts -- Program and PRP Lead

METHODOLOGY: The initial national target for first RA starts is based on 100% of the regions' projected first RA starts in CERCLIS. All sites in CERCLIS as first RAs are used to determine the RA candidate list.

The methodology used to derive the regional first RA start targets is as follows:

- Initial RA candidate list for each region is based on the number of scheduled first RA starts in FY90.
- Regions identify which of the RA candidates are to be Fund-financed and which are to be RP lead.
- Sites with Fund-financed RI/FS or RD that appear to be good candidates for RP lead RAs during FY90 should be listed as RP lead or as an alternate for FY90 funding.

REMOVAL METHODOLOGIES

NPL SITES

First Removal Start at NPL Sites -- Program and PRP Lead

METHODOLOGY: The national target for first NPL removal activity includes Fund-financed and PRP removal actions taken under CERCLA. The PRP target is based on an historical evaluation of orders issued for removal actions. Historically 25 percent of the removals were performed by the PRPs under the terms of an Administrative Order on consent or a unilateral order. For initial targets each region will have at least one PRP lead NPL removal first start.

The initial regional Fund-financed and PRP lead NPL removals target methodology is as follows:

- Determine each region's percentage of the historical (FY85-FY88) first NPL removals.
- Multiply this percentage by the intial NPL first start removal target to get the preliminary regional target.
- Multiply the regional removal target by .25 to derive the PRP target.

DIFFERENCE FY88-FY90:

Subsequent NPL Removal Starts -- Program and PRP Lead

METHODOLOGY: The national SPMS/SCAP target for subsequent NPL removal activities is based on the Fund budget target and historical averages. The initial regional Fund-financed and PRP lead subsequent NPL removal starts is as follows:

- Determine each region's percentage of the historical (FY85-FY88) subsequent NPL removals.
- Multiply this percentage by the national subsequent removal start target to get the preliminary regional target.
- Multiply the Fund subsequent removal target by .33 to derive the PRP target.

DIFFERENCE FY89-FY90: The percentage for PRP removals was changed from 25 to 33.

Removal Completions at NPL Sites -- Program and PRP Lead

METHODOLOGY: The initial national target for NPL removal completions is based on projected FY90 completions from the regions. This target is very flexible, and final SCAP and SPMS targets for NPL removal completions will be determined only after extensive discussions with the regions.

Regional targets are determined using the following steps:

- Regions submit their projections for NPL removal completions for FY90 through the CERCLIS non-site data base.
- Headquarters totals each region's projections. These projections become the initial completion targets for each region. (Completion targets should coincide to a great extent with the region's start target.)

DIFFERENCE FY89-FY90:

Removal Completions at NPL Sites that Lead to Deletion -- Program and PRP Lead

METHODOLOGY: The initial national target for NPL removal completions that lead to deletion is based on projected FY90 completions from the regions. Regions submit their projections for NPL completions that lead to deletion for FY90 through the CERCLIS non-site data base.

DIFFERENCE FY89-FY90: New methodology for FY90.

NON-NPL SITES

Non-NPL Removal Starts -- Program and PRP Lead

METHODOLOGY:

- Determine each region's percentage of the historical non-NPL removal starts.
- Multiply this percentage by the national non-NPL removal target to obtain the region's target.
- Multiply the Fund target by .33 to determine the Fund vs. PRP split. Each region will have at least one PRP lead non-NPL removal start.

DIFFERENCE FY89-90: The percentage of PRP removals has increased.

Non-NPL Removal Completions -- Program and PRP Lead

METHODOLOGY: The determination of the initial national and regional non-NPL removal completion targets follows the NPL removal completion targets.

DIFFERENCE FY89-FY90:

ENFORCEMENT METHODOLOGIES

PRP SEARCHES/NEGOTIATIONS

PRP Search Start at NPL Sites

METHODOLOGY: National and regional projections are based on projections of site additions to the NPL.

DIFFERENCE FY89-FY90: New methodology for FY90.

Completed PRP Search at NPL Sites

METHODOLOGY: National and regional projections are based on the number of sites in each region expected to be added to the NPL between July 1989 and June 1990.

DIFFERENCE FY89-FY90: A timeframe for the updates was added.

Completed PRP Search at Non-NPL Sites

METHODOLOGY: The national and regional projections for PRP searches at non-NPL removal sites is determined by summing the regional targets for removal starts at non-NPL sites.

DIFFERENCE FY89-90:

RI/FS Negotiation Starts

METHODOLOGY: The national and regional projections are based on 90% of a region's targets for RI/FS starts from second quarter FY90 through first quarter FY91. This projection is based on the assumption that RI/FS negotiations begin the quarter prior to the planned RI/FS start and PRPs will exist at 90% of the sites (historical average).

DIFFERENCE FY89-FY90: New methodology for FY90.

RI/FS Negotiation Completions

METHODOLOGY: The national and regional projections are based on 90% of a region's targets for RI/FS starts for FY90. It is assumed that viable PRPs will exist at 90% of the sites (historical average).

DIFFERENCE FY89-FY90: New methodology for FY90.

Start of RD/RA Negotiations

METHODOLOGY: The national and regional FY90 targets for RD/RA negotiation starts is based on adding the RODs scheduled for second quarter FY90 through first quarter FY91. This methodology is based on the assumption that RD/RA negotiations begin one quarter prior to the ROD.

DIFFERENCE FY89-FY90: New methodology for FY90.

Conclusion of RD/RA Negotiations

METHODOLOGY: The national and regional FY90 targets for RD/RA negotiations are calculated by adding the number of RODs scheduled to complete between the third quarter of FY89 and the second quarter of FY90. This methodology is based on the assumption that RD/RA negotiations will begin one quarter prior to the signature of the ROD and complete two quarters post ROD.

DIFFERENCE FY89-FY90:

SETTLEMENTS AND REFERRALS

Section 106/107 Case Resolution//Cost Recovery Judicial Settlement

METHODOLOGY: The national and regional targets are based on referred §106/§107 cases that have not been fully settled that will be in their fourth year of litigation (i.e., 12 or more quarters). This includes those cases where a full trial has not begun when the targets are set or where the original claim has not been settled or withdrawn. A separate target is set for §106 and §107.

DIFFERENCE FY89-FY90: The timeframe for how long litigation has been ongoing has been added.

Section 106 RD/RA Referrals/Orders

METHODOLOGY: The regional and national targets are based on historical averages. Historically 25% of the Fund-financed RI/FS and 95% of PRP RODs have led to settlements for RD/RA. These targets are determined by taking the Fund and PRP lead RODs that are expected to be signed between the second quarter of FY89 through the first quarter of FY90 and applying these percentages.

DIFFERENCE FY89-FY90: New methodology for FY90.

Administration Cost Recovery Settlements

METHODOLOGY: The following steps outline the methodology for determining national and regional targets:

- An initial universe of possible administrative settlement candidates is identified. Those candidates were based on the following selection criteria consistent with current cost recovery guidance and focus on all completed removals or ERAs which cost less than $200,000:
  - -- Regional percentages of the universe are determined;
  - -- Each region's percent of the universe is applied to the national budget number.

DIFFERENCE FY89-FY90: Candidate sites are identified in FY90.

Cost Recovery Cases Referred to DOJ or HQ >$200K (Includes Section 107 Removal, RI/FS, and RD)

METHODOLOGY: The following steps outline the methodology for determining national and regional targets:

- An initial universe of §107 Removal, RI/FS, and RD candidates is identified. It is based on:
  - -- All sites with a completed removal costing greater than $200K where there is no further remedial work planned;
  - -- All sites with a completed removal facing statute of limitations;
  - -- All sites with a completed RI/FS where the RA is scheduled to begin more than 10 quarters after the ROD, and
  - -- All sites with a completed RD where the RA is not scheduled to begin within 10 quarters (RA work delayed due to budget constraints).

- From the initial universe, subtract cases identified for no further action due to:

  1) Non-viable PRPs;
  2) No PRPs identified;
  3) Questionable evidence;
  4) Questionable legal case; and
  5) Other reason.

- Exclude sites with §106/§107 referrals. The remaining total provides potential universe.

- Apply each region's percentage to the national budget target for §107 removals to get initial target.

DIFFERENCE FY89-FY90:The split in the types of activities being referred for cost recovery has caused new methodologies to be developed for FY90

Cost Recovery Cases Referred to DOJ or HQ >200K (RAs et. all)

METHODOLOGY: The following steps outline the methodology for determining national and regional targets:

- An initial universe is determined. It is based on:

  -- All sites where remedial action has commenced and there is no prior §107 referral or §106/§107 referral.

- From this universe, subtract cases where regions have identified that no further action is advised due to:

  1) Non-viable PRPs;
  2) No PRPs identified;
  3) Questionable evidence;
  4) Questionable legal case; and
  5) Other reason.

This provides the potential universe of candidates.

- Determine regional percentages of potential universe.

- Apply regional percentages to the national budget number for §107 referrals for RAs.

DIFFERENCE FY89-Y90: The split in the types of activities being referred for cost recovery has caused new methodologies to be developed for FY90.

Cost Recovery Cases Referred to DOJ or HQ >200K (§106/§107 Removal, RI/FS, and RD/RA)

METHODOLOGY: The following steps outline the methodology for determining national and regional targets:

- An initial universe of §106/§107 removal, RI/FS, and RD/RA candidates is identified. It is based on sites where:
    - There will be a §106/§107 action for removal where the §107 count is pursuing past costs >200K;
    - There will be a §106/§107 for RI/FS where the §107 count is pursuing past costs >200K; and
    - There will be a §106/§107 for RD/RA where the §107 count is pursuing past costs >200K (RD/RA referrals).
- The total number of these cases is the initial projection for this measure.

DIFFERENCE FY89-FY90: New methodology for FY90.

Cost Recovery Cases referred to DOJ or HQ >200K (§106/§107 for Remedial Action)

METHODOLOGY: The initial projection is based on sites where there is to be a PRP RA but there was no previous PRP work and past costs total >200K.

DIFFERENCE FY89-FY90: The split in the types of activities being referred for cost recovery has caused new methodologies to be developed for FY90.

Administrative Order for Removal Actions

METHODOLOGY: The national projection for administrative orders (unilateral or consent) for removals is determined by summing the Fund-financed regional targets for first NPL and non-NPL removal starts and dividing that total by three. (Historically PRP removals have been 1/3 of Fund removals).

Regional projections are based on targets for first NPL and non-NPL removal starts.

DIFFERENCE FY89-FY90:

FEDERAL FACILITY METHODOLOGIES

PRE-REMEDIAL

PA/SI Review

METHODOLOGY: The Federal Facility PA/SI review targets reflect the FY90 budget for Federal Facility PAs and SIs.

A Federal Facility PA/SI review targets for a particular region is established through the following procedures:

- Determine the region's percentage of the total Federal Facility docket.
- Apply this percentage to the national targets.

DIFFERENCE FY89-FY90: New methodology for FY90.

REMEDIAL INVESTIGATION/FEASIBILITY STUDIES (RI/FS)

Federal Facility RI/FS Completion (ROD)

METHODOLOGY: The national and regional candidate list for Federal Facility RODs is based on projected Federal Facility RODs in the CERCLIS records as reported by the regions.

DIFFERENCE FY89-FY90: New methodology for FY90.

REMEDIAL ACTION (RA)

RA Starts Post-SARA at NPL Sites

METHODOLOGY: The national and regional candidate list for Federal Facility RA starts post-SARA at NPL sites is based on projected sites in CERCLIS.

DIFFERENCE FY89-FY90: New methodology for FY90.

ENFORCEMENT

Signed Interagency Agreements at NPL Sites

METHODOLOGY: The national and regional methodology for Interagency Agreements at NPL sites is based on the number of Federal Facilities in each region on the NPL.

DIFFERENCE FY89-FY90: New methodology for FY90.

OIL SPILL PROGRAM METHODOLOGIES

Oil Spills Cleaned Up Using Clean Water Act (CWA) Funds

METHODOLOGY: The national measure is presented in the President's budget.

Regional measures are established from reports to HQ based on the previous year's actuals from which a percentage is taken for each region. These percentages are then adjusted to the national target, resulting in regional measures.

DIFFERENCE IN FY89-90:

On-Scene Monitoring of Responses to Oil Spills

METHODOLOGY: The national measure for this activity is based on the President's budget.

The regional breakdown is based on distribution of prior year actuals. The following table provides an example of the methodology.

Region	Regional Distributions of Actuals	% of National Total	Projection
I	27	3.58	18
II	75	9.93	50
III	78	10.33	52
IV	161	21.32	106
V	57	7.55	38
VI	237	31.39	156
VII	28	3.71	19
VIII	14	1.85	9
IX	62	8.21	41
X	16	2.12	11
Total	755	100.00	500

DIFFERENCE FY89-FY90:

SPCC Inspections/Reviews

METHODOLOGY: The national SPCC Inspection/Review measures are presented in the President's budget.

Regional SPCC Inspection/Review measures are set by past performance reported by TAT through FY88, of which a percentage is then adjusted to the national target stipulated in budget.

DIFFERENCE FY89-FY90:

APPENDIX B

APPLICABILITY OF THE FREEDOM OF INFORMATION ACT TO SCAP

APPLICABILITY OF THE FREEDOM OF INFORMATION ACT TO SCAP

PUBLIC SCAP REPORTS

Public SCAP reports consist of a set of CERCLIS-generated reports that have had all sensitive material (records or information that are protected under FOIA and cannot be released to the public) removed. Public SCAP reports are SCAP 11 (Public SCAP NPL Site Summary) and SCAP 12 (Public SCAP Non-NPL Site Summary) on the CERCLIS reports menu. These CERCLIS reports should be referred to while reading through the following discussion.

SENSITIVE SCAP-RELATED INFORMATION

FOIA is intended as a disclosure law, not a withholding law. In handling all FOIA requests, there should be a presumption in favor of releasing information. There are certain types of information, however, that have been designated as restricted and therefore not releasable to the public because disclosure could cause significant harm to the Agency. The following information fits into this category:

- Section 106 and 107 Litigation and all related information where the planning information indicate that the action has or will be referred to HQ or to DOJ. If the case is filed, the information may be released.
- PRP lead RI/FS and all related information where only planning data exist.
- RD/RA-AO/CD and all related information where only planning data exist.
- Planned obligation amounts related to case budget activity associated with the following activities:
  - Removal negotiations;
  - PRP-search negotiations;
  - RI/FS negotiations;
  - RD/RA negotiations; and
  - Cost recovery negotiations.
- RD and RA planned events where the lead is the RP with no actual starts.
- RI/FS and RD/RA negotiations planned start and completion dates.
- Compliance code and status indicator.
- Planned removal/remedial obligations.
- All planned activities for sites that have not been designated as final or proposed NPL sites in the Federal Register.
- Information on the viability of the case on PRPs.

This information is protected from mandatory disclosure by the following FOIA exemptions and provisions:

- Information falling under numbers 1-8. EXEMPTION 7: Records or information compiled for law enforcement purposes. Specifically, EXEMPTION 7 (a) - Could reasonably be expected to interfere with enforcement proceedings.

- Information falling under number 9. EXEMPTION 5: Privileged Interagency or Intra-Agency Memoranda. Specifically, EXEMPTION 5, Privilege 4 - Government Commercial Information Privilege.

- Information falling under number 10. EXEMPTION 5: Privileged Interagency or Intra-Agency Memoranda. Specifically, EXEMPTION 5, Privilege 1- Deliberative Process Privilege.

Because of modifications to Exemption 7 resulting from the 1986 Amendments to FOIA -- changes to the general coverage and the withholding standard language -- the Agency has more flexibility in withholding enforcement activity information.

The sensitive information listed in numbers 1-10 above covers the information restricted from public disclosure as of the compilation of this manual. Additional information may be added to this category and information may be restricted in specific instances (though the prior disclosure rule must be adhered to). If requested information is potentially able to be restricted under a FOIA provision (in this case, under Exemptions 5, or 7), the official receiving the request should contact the appropriate FOIA office to determine whether the information should be restricted.

AD HOC REPORTING

In general, all regional requests for ad hoc reporting -- a special request for records or information that is not part of the approved Public SCAP Reports -- should be referred to HQ immediately. The regional official receiving the request should inform the requestor of this policy and advise the requestor to contact HQ for a decision on whether this information may be released. If the requested information is only available from a specific region, and HQ has decided to release this information, HQ will inform the responsible region that the information should be compiled and disclosed to the requestor.

Ad hoc reporting requests should be treated like a FOIA request. This includes the following:

- If the information is protected under one of the FOIA exemptions, the information will not be disclosed (except in cases of discretionary release).

- Absent FOIA exemption protection, the information will be disclosed if it can be compiled or obtained in a reasonable amount of time by an Agency employee familiar with the subject area.

- Fees for ad hoc reporting requests will be charged in accordance with the fee structure used for FOIA requests

Depending on the complexity of the request, Agency personnel will not have to undertake the specific logging and routing procedures required for FOIA requests. However, the request should be kept on file in a designated ad hoc reporting request file.

If a request is very complex or compilation of the requested information would be very time-consuming, the request should be denied. If the requestor appeals this determination, the requestor should be informed that the request must be formulated into an official FOIA request (a written request for specific records citing FOIA) and must be sent to the FOIA office.

APPENDIX C

CERCLIS CROSSWALK FOR ENFORCEMENT ACTIVITIES AND REMEDIES

APPENDIX C

CERCLIS CROSSWALK FOR ENFORCEMENT ACTIVITIES AND REMEDIES

ENFORCEMENT ACTIVITIES (C1701)		REMEDIES (Sought or Achieved by Enforcement Activities) (C2731)		EVENTS (C2101)	
	CERCLIS Activity Code		CERCLIS Remedy Code	CERCLIS RP-Lead Event Generated By This Remedy?	CERCLIS Event Code
1. Litigation Activities					
Claim in Bankruptcy	CB	- N/A		No	-
Preliminary Injunction	PI	- N/A		No	-
Section 106 Litigation	SX	- Document Exchange	DE	No	-
		- Expedited Response Action	ER	Yes	ER
		- Feasibility Study	FS	Yes	FS
		- Initial Remedial Measure (historical only)	IM	Yes	IM
		- Interest	IN	No	-
		- Lien on Property	LP	No	-
		- Long Term Response	LR	Yes	LR
		- Operations & Maintenance	OM	Yes	OM
		- Other	OH	Yes	OH
		- Permanent Relocation	RE	Yes	RE
		- Premium	PR	No	-
		- Remedial Design	RD	Yes	RD
		- Remedial Action	RA	Yes	RA
		- Remedial Investigation	RI	Yes	RI
		- Removal Action	RV	Yes	RV
		- RI/FS	CO	Yes	CO
		- Site Access	SE	No	-
		- Temporary Relocation	TR	Yes	TR
Section 106/107 Litigation	CL	- Same as Section 106 Litigation, plus:			
		- Cost Recovery Oversight	VS	No	-
		- Cost Recovery of RA	VA	No	-
		- Cost Recovery of RD	VD	No	-
		- Cost Recovery of Removal	VM	No	-
		- Cost Recovery of RI/FS	VO	No	-
Section 107 Litigation	SV	- Cost Recovery Oversight	VS	No	-
		- Cost Recovery of RA	VA	No	-
		- Cost Recovery of RD	VD	No	-
		- Cost Recovery of Removal	VM	No	-
		- Cost Recovery of RI/FS	VO	No	-
Temporary Restraining Order	TE	- N/A		No	-

APPENDIX C

CERCLIS CROSSWALK FOR ENFORCEMENT ACTIVITIES AND REMEDIES

ENFORCEMENT ACTIVITIES (C1701)		**REMEDIES (Sought or Achieved by Enforcement Activities) (C2731)**		**EVENTS (C2101)**	
	CERCLIS Activity Code		**CERCLIS Remedy Code**	**CERCLIS RP-Lead Event Generated By This Remedy?**	**CERCLIS Event Code**
2. Negotiation Activity					
Cost Recovery Negotiation	NE	- Cost Recovery Oversight	VS	No	-
		- Cost Recovery of RA	VA	No	-
		- Cost Recovery of RD	VD	No	-
		- Cost Recovery of Removal	VM	No	-
		- Cost Recovery of RI/FS	VO	No	-
RD/RA Negotiations	AN	- Remedial Design	RD	No	-
		- Remedial Action	RA	No	-
Removal Negotiations	RN	- Expedited Response Action	ER	No	-
		- Removal Action	RV	No	-
		- Site Access	SE	No	-
		- Temporary Relocation	TR	Yes	TR
RI/FS Negotiation	FN	- Feasability Study	FS	No	-
		- Remedial Investgations	RI	No	-
		- RI/FS	CO	No	-
3. Notice Letter Activities					
Notice Letters Issued	NI	- N/A	-	NO	-
4. Order Activities					
Administrative Order on Consent	AC	- Cost Recovery Oversight	VS	No	-
		- Cost Recovery of RA	VA	No	-
		- Cost Recovery of RD	VD	No	-
		- Cost Recovery of Removal	VM	No	-
		- Cost Recovery of RI/FS	VO	No	-
		- Document Exchange	DE	No	-
		- Expedited Response Action	ER	Yes	ER
		- Feasibility Study	FS	Yes	FS
		- Initial Remedial Measure - (historical only)	IM	Yes	IM
		Interest	IN	No	-
		- Long Term Response	LR	Yes	LR
		- Operations & Maintenance	OM	Yes	OM
		- Other	OH	Yes	OH
		- Permanent Relocation	RE	Yes	RE
		- Premium	PR	No	-
		- Remedial Design	RD	Yes	RD
		- Remedial Action	RA	Yes	RA
		- Remedial Investigation	RI	Yes	RI
		- Removal Action	RV	Yes	RV
		- RI/FS	CO	Yes	CO
		- Site Access	SE	No	-
		- Temporary Relocation	TR	Yes	TR

APPENDIX C

CERCLIS CROSSWALK FOR ENFORCEMENT ACTIVITIES AND REMEDIES

ENFORCEMENT ACTIVITIES (C1701)		REMEDIES (Sought or Achieved by Enforcement Activities) (C2731)		EVENTS (C2101)	
	CERCLIS Activity Code		CERCLIS Remedy Code	CERCLIS RP-Lead Event Generated By This Remedy?	CERCLIS Event Code
4. Order Activities (Cont.)					
Unilateral Administrative Order	UA	- Same as AO on Consent		Same as AO on Consent	
5. RP Search/Other Activities					
Non-NPL Removal RP Search	RP	- N/A	-	No	-
NPL RP Search	NS	- N/A	-	No	-
Issue Cost Recovery Decision Document	DD	- N/A	-	No	-
Issue Demand Letter	DL	- Cost Recovery Oversight - Cost Recovery of RA - Cost Recovery of RD - Cost Recovery of Removal - Cost Recovery of RI/FS	VS VA VD VM VO	No No No No No	- - - - -
Issue Information Request Letter	IL	- N/A	-	No	-
Issue Notice of Section 122 Waiver	NW	- N/A	-	No	-
Issue Special Notice	SN	- N/A	-	No	-
Prepare Cost Documentation Package	PC	- N/A	-	No	-
6. Settlement Activities					
Administrative/ Voluntary Cost Rec.	AV	- Cost Recovery Oversight - Cost Recovery of RA - Cost Recovery of RD - Cost Recovery of Removal - Cost Recovery of RI/FS	VS VA VD VM VO	No No No No No	- - - - -

APPENDIX C

CERCLIS CROSSWALK FOR ENFORCEMENT ACTIVITIES AND REMEDIES

ENFORCEMENT ACTIVITIES (C1701)		REMEDIES (Sought or Achieved by Enforcement Activities) (C2731)		EVENTS (C2101)	
	CERCLIS Activity Code		CERCLIS Remedy Code	CERCLIS RP-Lead Event Generated By This Remedy?	CERCLIS Event Code
7. Settlement Activities (Cont.)					
Consent Decree	CD	- Cost Recovery Oversight	VS	No	-
		- Cost Recovery of RA	VA	No	-
		- Cost Recovery of RD	VD	No	-
		- Cost Recovery of Removal	VM	No	-
		- Cost Recovery of RI/FS	VO	No	-
		- Document Exchange	DE	No	-
		- Expedited Response Action	ER	Yes	ER
		- Feasibility Study	FS	Yes	FS
		- Initial Remedial Measure (historical only)	IM	Yes	IM
		- Interest	IN	No	-
		- Lien on Property	LP	No	-
		- Long Term Response	LR	Yes	LR
		- Operations & Maintenance	OM	Yes	OM
		- Other	OH	Yes	OH
		- Permanent Relocation	RE	Yes	RE
		- Premium	PR	No	-
		- Remedial Design	RD	Yes	RD
		- Remedial Action	RA	Yes	RA
		- Remedial Investigation	RI	Yes	RI
		- Removal Action	RV	Yes	RV
		- RI/FS	CO	Yes	CO
		- Site Access	SE	No	-
		- Temporary Relocation	TR	Yes	TR
Consent Agreement	CA	Same as Consent Decree, except no Lien on Property		Same as Consent Decree	
Federal Compliance Agreement	FC	Same as Consent Decree, except no Lien on Property		Same as Consent Decree	
Federal Interagency Agreement	FI	Same as Consent Decree, except no Lien on Property		Same as Consent Decree	
Federal Memorandum of Agreement	FA	Same as Consent Decree, except no Lien on Property		Same as Consent Decree	
Federal Memorandum of Understanding	FU	Same as Consent Decree, except no Lien on Property		Same as Consent Decree	
Judgement	JG	Same as Consent Decree, except no Lien on Property		Same as Consent Decree	

APPENDIX D

SCAP/SPMS TECHNICAL DEFINITIONS

Section I

SCAP/SPMS DEFINITIONS FOR TARGETS AND MEASURES

The definitions contained in this Appendix are those that were available at the time the Manual went to the printer. Every effort has been made to ensure that the definitions contained herein for SCAP and SPMS targets and measures were consistent. If there are inconsistencies, the SPMS definition is the official definition. If SPMS definitions are revised during the year, an addendum to the SCAP Manual will be published.

APPENDIX D

SCAP/SPMS TECHNICAL DEFINITIONS

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* Targets and Measures

SCAP/SPMS DEFINITIONS FOR TARGETS AND MEASURES

PRE-REMEDIAL PROGRAM DEFINITIONS

INTRODUCTION

The pre-remedial targets/measures track the initial events at Superfund sites. Three pre-remedial events are projected and tracked through the SCAP process:

- Preliminary Assessment (PA) Completions;
- Screening Site Inspection (SSI) Completions; and
- Listing Site Inspection (LSI) Starts.

PA and SSI completions are SPMS and SCAP targets. LSI starts are SCAP targets only; no corresponding SPMS target or measure is set. PA and SSI completion targets are set on a quarterly basis. LSI starts are established on an annual basis. Targets for all pre-remedial activities are recorded in the CERHELP non-site/incident data system. Funds for pre-remedial activities are included in the other remedial AOA.

ACTIVITY: PA Completions

DEFINITION: A preliminary assessment is the first stage of site assessment which determines whether a site should be recommended for further CERCLA action. Federal, State, and local government files, geological and hydrological data, and data concerning site practices are reviewed to complete the PA report.

DEFINITION OF ACCOMPLISHMENT: A PA is complete when the report is reviewed and approved by the region and the PA completion date and decision on further activities is entered into CERCLIS. Although a site can have multiple PAs, only the first completed PA with an 'S' or 'F' lead counts toward the target.

CHANGES IN DEFINITION FY88-FY89: In order for the region to receive credit for the completion, the date and the decision on further activities must be entered in CERCLIS.

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on the sum of the EPA/FIT and State conducted PAs. However, for budget and resource allocations, separate projections must be made for EPA/FIT vs. State PA completions. Separate commitments are also made for sites which are being assessed under CERCLA as part of the Environmental Priorities Initiative. Commitments are placed in the Targets and Accomplishments portion of the CERHELP non-site data system. Accomplishments are reported in the site-specific CERCLIS data system.

PRE-REMEDIAL PROGRAM DEFINITIONS

ACTIVITY: SSI Completions

DEFINITION: The screening site inspection involves collection of field data from a hazardous substance site for the purpose of characterizing the magnitude and severity of the hazard posed by the site and/or to support enforcement. An SSI should provide adequate data to determine the site's Hazard Ranking System (HRS) score.

DEFINITION OF ACCOMPLISHMENT: An SSI is complete when the SSI is reviewed and approved by the region and the SSI completion date and decision on further activities is entered into CERCLIS. Although a site can have multiple SSIs, only the first completed SSI with an 'S' or 'F' lead counts toward the target.

CHANGES IN DEFINITION FY88-FY89: In order for the region to receive credit for the completion, the date and the decision on further activities must be entered in CERCLIS.

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on the sum of the EPA/FIT and State conducted SSIs. However, for budget and resource allocations, separate projections must be made for EPA/FIT vs. State SSI completions. Separate commitments are made for sites that are being investigated under CERCLA under the Environmental Priorities Initiative. Commitments are placed in the Targets and Accomplishments portion of the CERHELP non-site data system. Accomplishments are reported in the site-specific CERCLIS data system.

ACTIVITY: LSI Starts

DEFINITION: Listing site inspections involve the collection and analysis of additional site data including information pertinent to hazardous waste resources, migration pathways, and receptors. The data collected is generally beyond that required for HRS scoring and will expedite the remedial investigation/feasibility study (RI/FS) project planning phase at NPL sites. The LSI starts are dependent upon the date the new HRS is final.

DEFINITION OF ACCOMPLISHMENT: An LSI start is credited when EPA approves the workplan for the LSI.

CHANGES IN DEFINITION FY88-FY89: LSIs are SCAP measures for FY89.

SPECIAL PLANNING REQUIREMENTS: Planned obligations must be associated with those candidate sites where state conducted LSIs are expected. Commitments are placed in the Targets and Accomplishments portion of the CERHELP non-site data system. Accomplishments are reported in the site-specific CERCLIS data system.

PRE-REMEDIAL PROGRAM DEFINITIONS

PLANNING REQUIREMENTS	PA	SSI	LSI
SPMS COMMITMENT?	YES	YES	NO
SCAP COMMITMENT?	YES	YES	YES
TARGET OR MEASURE?	TARGET	TARGET	MEASURE
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES	NO
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	
PLANNED SITE SPECIFICALLY?	NO	NO	YES
IF YES, WHEN?			PRIOR TO FY
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	WHOLE SITE	WHOLE SITE	WHOLE SITE
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	N\A	N\A	N\A
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	OTHER REMEDIAL	OTHER REMEDIAL	OTHER REMEDIAL
BASIS FOR AOA?	NON-SITE PLANS	NON-SITE PLANS	SITE SPEC. PLANS

REMEDIAL PROGRAM DEFINITIONS

INTRODUCTION

The remedial program consists of on-site remedial activities beginning with the first RI/FS and proceeding through RD/RA to eventual deletion of the site from the NPL. All remedial activities are planned site specifically with quarterly and annual targets set prior to the beginning of the fiscal year. Remedial activities at sites are program or PRP.

REMEDIAL INVESTIGATION/FEASIBILITY STUDIES (RI/FS)

Following are the six SCAP and SPMS activities tracked for RI/FS:

- First RI/FS Starts
- Subsequent RI/FS Starts;
- RI/FS to Public;
- First RI/FS Completion (ROD);
- Subsequent RI/FS Completion (ROD); and
- Final RI/FS Completion (ROD).

First and subsequent RI/FS starts and first and final RI/FS completions (RODs) are SPMS and SCAP targets. RI/FS to public and subsequent RODs are SCAP targets. All commitments are made on a combined Fund and PRP financed basis. Separate Fund-financed and PRP goals for RI/FS starts are set prior to the FY and there is a limit on the number of Fund-financed RI/FS starts during the FY. The commitment for RI/FS completion is also based on combined leads. All RI/FS activities are planned on a site-specific basis and entered into CERCLIS. Funds for RI/FS projects are in the other remedial AOA.

Although regions may change activity leads without affecting SCAP or SPMS targets, care should be taken when substituting a program lead RI/FS for a PRP lead project.

For the definitions below, first and subsequent RI/FS starts have been combined, as have first, subsequent, and final RI/FS completion.

ACTIVITY: RI/FS Start -- First and Subsequent

DEFINITION: The intent of an RI/FS is to present carefully scoped solutions to a contamination problem. An RI/FS start involves the development of plans for sampling, operations, quality assurance, health and safety, and community relations. In order for the RI/FS to be counted as a first start it must not have a prior CERCLA settlement for an RI/FS or prior Fund obligation for RI, FS, or RI/FS. Forward planning, community relations and/or other support activities do not constitute an RI/FS start.

REMEDIAL PROGRAM DEFINITIONS

DEFINITION OF ACCOMPLISHMENT:

Fund-financed (Including F, S, SE, and EP lead events.) A Fund RI/FS start is counted when funds are obligated. Funds are obligated when: 1) A contract has been signed by the contracting officer, an Interagency Agreement has been signed by the other Federal Agency, or a cooperative agreement has been signed by the Regional Administrator or his designee to conduct an RI/FS, and 2) Obligations have been recorded in CERCLIS.

PRP-financed (Including RP and PS lead events) A PRP-lead RI/FS counts when 1) An administrative order is signed by the last appropriate official or party or 2) The date the consent decree for RI/FS is referred by the region to DOJ or HQ. If the RI/FS is a PS lead, credit will be given on the day the State order is signed by the last appropriate official or party. The appropriate dates must be entered into CERCLIS.

EP-lead An EP-lead RI/FS counts when the region begins preparation of the workplans following the initial scoping meeting.

CHANGES IN DEFINITION FY88-FY89: Sites with SE, EP, or PS lead events have been added.

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on combined Fund and PRP financed RI/FS starts. Fund-financed and PRP lead RI/FS start goals will be established separately prior to the FY. A limit will be placed on the number of Fund-financed RI/FS that can be started during the FY. Targets are established site specifically. For first RI/FS starts, "to be determined" sites are allowed.

ACTIVITY: RI/FS to Public

DEFINITION: The RI/FS is released to the public when the contamination at the site has been characterized and alternatives for remediation have been evaluated.

DEFINITION OF ACCOMPLISHMENT: An RI/FS is complete the date the public comment period on the RI/FS report begins and the proposed plan is available to the public. This date must be recorded in CERCLIS under subevent code "CF".

CHANGES IN DEFINITIONS FY88-FY89: This is a new activity for FY89.

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on first, subsequent and final RI/FS released to the public regardless of lead.

REMEDIAL PROGRAM DEFINITIONS

ACTIVITY: RI/FS Completion -- First, Subsequent, and Final

DEFINITION: A RI/FS completion is defined as the signature of the Record of Decision (ROD). A ROD is the document prepared after completion of the public comment period on the RI/FS which identifies the Agency's selected remedy for a site.

DEFINITION OF ACCOMPLISHMENT: The date the ROD is signed by the Regional Administrator or the Assistant Administrator for OSWER is the completion date. This date must be entered in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: Final RI/FS completion is a new activity for FY89.

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on RODs that result from F, S, SE, FE, RP, or PS lead RI/FS.

REMEDIAL PROGRAM DEFINITIONS
Remedial Investigation/Feasibility Studies

PLANNING REQUIREMENTS	FIRST RI/FS START	SUB. RI/FS START	RI/FS TO PUBLIC	FIRST RI/FS COMP†	SUBS. RI/FS COMP.†	FIN. RI/FS COMP.†
SPMS COMMITMENT?	YES	YES	NO	YES	NO	YES
SCAP COMMITMENT?	YES	YES	YES	YES	YES	YES
TARGET OR MEASURE?	TARGET	TARGET	TARGET	TARGET	TARGET	TARGET
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED SITE SPECIFICALLY?	YES	YES	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY*	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	COMBINED**	COMBINED**	COMBINED	COMBINED	COMBINED	COMBINED
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	OTHER REMEDIAL	OTHER REMEDIAL	N/A	N/A	N/A	N/A
BASIS FOR AOA?	SITE SPEC. PLANS**	SITE SPEC. PLANS	N/A	N/A	N/A	N/A

* "TO BE DETERMINED" SITES ARE ALLOWED.

** GOALS ARE ESTABLISHED ON A PROGRAM SPECIFIC BASIS.

† sites where the final ROD also constitutes the first or subsequent ROD should be targeted and reported as both first and final RODs or both subsequent and final RODs.

REMEDIAL DESIGN (RD)

RD activities have planning requirements that are similar to RI/FS requirements. Four separate SCAP and SPMS activities are tracked:

- First RD Start;
- Subsequent RD Start;
- Final RD Start; and
- RD Completion.

First and final RD starts are SCAP and SPMS targets. Subsequent RD starts is a SCAP target . These three definitions have been combined below. RD completion is a SCAP measure. All commitments are made on a combined Fund and PRP financed basis. Separate Fund and PRP goals for RD starts are established prior to the FY. Like the RI/FS starts there is a limit on the number of Fund-financed RDs that can be started during the FY. Projections for RD completions are set prior to the FY and are used for resource allocation only. RD completion projections are made on a combined first, subsequent, final and all lead basis. RDs are planned on a site-specific basis and entered into CERCLIS.

Initial schedules for RD are established when the RI/FS for the site is initiated. These initial schedules should be updated in CERCLIS as better planning data becomes available. The funds for program lead RDs are pulled directly from CERCLIS and are allocated site-specifically in the RD Advice of Allowance.

ACTIVITY: RD Starts -- First, Subsequent, and Final

DEFINITION: An RD is the process of developing plans and specifications for the selected remedy. Design assistance or technical assistance do not constitute an RD start. Under certain circumstances, RD-type activities may be conducted using RI/FS funds or prior to the signature of a ROD (i.e., treatability or pilot studies); an RD may be prepared by other parties (i.e., water lines where the city already prepared plans and specifications); or the plans developed for one site may be used at a similar site. Subsequent and final RD starts occur at NPL sites where previous RD activity has already taken place.

DEFINITION OF ACCOMPLISHMENT:

Fund-financed (Includes F, S,SE and EP lead events.) The date of the RD obligation is considered the definition of accomplishment. An obligation is made when the contracting officer signs the procurement request, a cooperative agreement is signed by the Regional Administrator or his designee or an IAG is signed by the other Federal Agency. In those instances where RI/FS funds are used to perform RD activities, or RD activities are conducted prior to ROD signature, the start of RD is defined as the approval of the workplan to conduct these activities. When an RD already exists that can be used for the site, the RD start is defined the same as the RA start.

REMEDIAL PROGRAM DEFINITIONS

PRP-financed (Includes MR, RP, and PS lead events) The date the RD contract is awarded by the PRPs for RD or RD/RA work or, for PS lead events, the date the state order for RD is signed or the date the state gives the PRPs notice to proceed with RD. The appropriate award date must be entered in CERCLIS. If PRPs are doing the work "in-house", the start date would be notice to proceed. Mixed funding projects (MR lead) are to be treated as PRP lead.

CHANGES IN DEFINITION FY88-FY89: The definition for PRP-financed RD has changed from the date the consent decree was lodged. Sites with MR or PS lead events have been added. Final RD starts is a new activity for FY89. The concepts of RD activities during RI/FS and shared or using existing RDs were added to the definition.

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on combined Fund and PRP financed RD starts. Separate Fund and PRP financed RD start goals will be established prior to the FY. A limit will be placed on the number of Fund-financed RDs that can be started during the FY.

ACTIVITY: RD Completions

DEFINITION: An RD is complete when the plans and specifications and a RA bid package for the selected remedy are developed.

DEFINITION OF ACCOMPLISHMENT:

Fund-financed For program lead RD projects, an RD completion is the date that EPA concurs on or approves and accepts the plans, specifications and RA bid package.

PRP-financed An RD is complete on the date that EPA concurs on or approves and accepts the plans, specifications and RA bid package. For PS lead RDs, the RD is complete when the state concurs on or approves and accepts the plans, specifications and RA bid package.

For Fund and PRP financed RDs, the state should concur on the design prior to EPA concurrence or approval.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on combined Fund and PRP financed first, subsequent and final RD completions.

REMEDIAL PROGRAM DEFINITIONS
Remedial Design

PLANNING REQUIREMENTS	FIRST RD START	SUB. RD START	FINAL RD START	RD COMP.
SPMS COMMITMENT?	YES	NO	YES	NO
SCAP COMMITMENT?	YES	YES	YES	YES
TARGET OR MEASURE?	TARGET	TARGET	TARGET	MEASURE
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED SITE SPECIFICALLY?	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	COMBINED*	COMBINED*	COMBINED*	COMBINED
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	REMEDIAL DESIGN	REMEDIAL DESIGN	REMEDIAL DESIGN	N/A
BASIS FOR AOA?	SITE SPEC. PLANS	SITE SPEC. PLANS	SITE SPEC. PLANS	N/A

* GOALS ARE ESTABLISHED ON A PROGRAM SPECIFIC BASIS. SITES WHERE THE FIRST RD START IS ALSO A FINAL RD START OR A SUBSEQUENT RD START IS ALSO A FINAL RD START SHOULD BE REPORTED AS BOTH.

REMEDIAL ACTION (RA)

Regions must respond to the SCAP/SPMS targets by identifying RA projects on a site-specific basis and associating planned obligations with these sites. Following are the seven SCAP and SPMS activities tracked for RA:

- First RA Start;
- Subsequent RA Start;
- Final RA start;
- NPL Sites with RA Start Post-SARA;
- RA Completions;
- Final RA Completion; and
- NPL Deletion Initiation.

RAs are planned site-specifically and reported in CERCLIS. Funds are allocated site specifically in the RA AOA. Program specific commitments are made for RA starts. Post-SARA RA starts, RA completions and deletions are targeted on a combined program basis. RA completion, except final RA completion, is a SCAP measure and is used for resource allocation purposes only.

In the definitions below, first, subsequent and final RA starts have been combined, as have RA and final RA completion.

ACTIVITY: RA Start -- First, Subsequent, and Final

DEFINITION: A remedial action start is the initiation of construction activities of the selected remedy. A final RA start is the initiation of construction activities for the selected remedy at the final operable unit.

DEFINITION OF ACCOMPLISHMENT:

Fund-financed (F or S lead events) Credit for an RA start is given on the date a contract, IAG or cooperative agreement is awarded and funds are obligated.

PRP-financed Credit for the RA start is given when EPA approves the RD design package. This includes sites where RD/RA activities were conducted under a unilateral Administrative Order, Consent Decree, 106 Judicial referral or State order (for PS lead sites). If the RD was performed by the State or Fund and the PRP settles or complies with a unilateral Order for Remedial Action, the date that the Consent Decree is referred to HQ or DOJ or the unilateral AO issued for RA only constitutes an RA start. The appropriate date must be included in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: The situations where the RD was performed by the fund or a unilateral AO issued were added to the definition.

SPECIAL PLANNING REQUIREMENTS: Separate commitments are made for program vs. PRP-lead RAs. A limit will be placed on the number of Fund-financed RAs that can be started during the F. In the case of a PRP takeover at a targeted site, a corresponding PRP target increase and Fund target decrease will occur automatically

REMEDIAL PROGRAM DEFINITIONS

ACTIVITY: RA Starts Post-SARA at NPL Sites

DEFINITION: A post-SARA RA start is defined as the initiation of on-site construction activities after October 16, 1986.

DEFINITION OF ACCOMPLISHMENT:

Fund-financed Sites where the EPA, State, USACE or BUREC has awarded a contract -- as recorded in CERCLIS -- to initiate Fund-financed construction activities.

PRP-financed Sites, as recorded in CERCLIS, where the PRPs have awarded a contract for RA work. In the event that the PRPs are responding under a State order or issued a contract for both RD and RA or are performing the work "in-house", the start date is the RA notice to proceed which is equivalent to an EPA contract award.

CHANGES IN DEFINITION FY88-FY89: New activity for FY89

SPECIAL PLANNING REQUIREMENTS: Commitments are made based on combined Fund and PRP financed actions.

ACTIVITY: RA Completion -- First, Subsequent and Final

DEFINITION: A first and subsequent RA is complete when construction activities are complete, a final inspection has been conducted and an Operable Unit RA Report has been prepared for the operable unit. A final RA indicates that all construction for all operable units has been completed, and a final construction inspection for the site has been conducted. For the final RA, a Superfund Site Close-Out Report must be prepared which summarizes the site condition and construction activities and demonstrates the NCP criteria for deletion has been met or that the only activity remaining is performance monitoring (long term response).

DEFINITION OF ACCOMPLISHMENT: The date the Regional Administrator signs an Operable Unit RA Report is the accomplishment of the RA completion; a Site Close-Out Report for the final operable unit is required for the final RA completion. The date the interim or final Superfund Close-Out Report is singed is considered the date of the fianl completion. The appropriate dates must be recorded in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: In FY89 the concepts of an Operable Unit RA Report and a Site Close-Out Report have been added to the definition of accomplishment. The criteria for completion in FY89 is either the NCP deletion criteria or the only activity remaining is performance monitoring (long term response).

SPECIAL PLANNING REQUIREMENTS: Projections are made on a combined program basis. First and subsequent RA completions are combined and included in this measure for resource allocation purposes.

REMEDIAL PROGRAM DEFINITIONS

ACTIVITY: NPL Deletion Initiation

DEFINITION: The deletion process is initiated when performance monitoring of the completed remedy or remedies for the site has verified the integrity of the action and it has been determined that no further remedial action is required at the site.

DEFINITION OF ACCOMPLISHMENT: The deletion process is credited when a notice of intent to delete the site is published in the Federal Register.

CHANGES IN DEFINITION FY88-FY89: The target is accomplished when the notice is placed in the Federal Register.

SPECIAL PLANNING REQUIREMENTS: Projections are made on a program specific basis for resource allocation purposes.

REMEDIAL PROGRAM DEFINITIONS

Remedial Action

PLANNING REQUIREMENTS	FIRST RA START*	SUB. RA START*	FINAL RA START*	POST SARA START	RA COMPL.*	FINAL RA COMPL.*	NPL DEL. PROC. INIT.
SPMS COMMITMENT?	YES	NO	YES	YES	NO	YES	YES
SCAP COMMITMENT?	YES	YES	YES	YES	YES	YES	YES
TARGET OR MEASURE?	TARGET	TARGET	TARGET	TARGET	MEASURE	TARGET	TARGET
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES	YES	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES	YES	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED SITE SPECIFICALLY?	YES	YES	YES	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	WHOLE SITE
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	PROGRAM SPECIFIC	PROGRAM SPECIFIC	PROGRAM SPECIFIC	COMBINED	COMBINED	COMBINED	COMBINED**
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	RA	RA	RA	N/A	N/A	N/A	N/A
BASIS FOR AOA?	SITE SPEC. PLANS	SITE SPEC. PLANS	SITE SPEC. PLANS	N/A	N/A	N/A	N/A

* SITES WHERE THE FIRST FIRST RA IS ALSO THE FINAL RA OR WHERE THE SUBSEQUENT RA IS ALSO THE FINAL RA SHOULD BE TARGETED AND REPORTED AS BOTH.

** PROJECTIONS ARE MADE ON A PROGRAM SPECIFIC BASIS PRIOR TO THE FY.

REMOVAL PROGRAM DEFINITIONS

INTRODUCTION

Requirements for the removal program differ from the remedial program due to the nature of removal activities. The removal program responds to emergency, time-critical and non-time-critical situations at NPL and non-NPL sites. Since so much of the removal work cannot be anticipated in advance, the planning horizon of these activities is significantly shorter than for remedial activities. Thus, quarterly commitments are not required. All SCAP/SPMS targets, however, are established on an annual basis. Targets are planned site-specifically prior to the quarter the removal is projected to begin. Site specific removal funding needs are requested in CERCLIS and a removal contingency is requested in CERHELP the quarter prior to the expected obligation date. The annual removal commitments are placed in the Targets and Accomplishments portion of the CERHELP non-site data system. The removal program definitions have been divided into NPL and non-NPL sites. Credit will be given for NPL or non-NPL activities depending on the NPL status recorded in CERCLIS on the date accomplishment reports are pulled.

NPL SITES

There are four NPL site removal activities tracked in SCAP and SPMS:

- First NPL Removal Starts;
- Subsequent NPL Removal Starts;
- Removal Completions at NPL Sites; and
- Removal Completions at NPL Sites that Lead to Deletion.

First and subsequent NPL removal starts are SPMS targets. Removal completions at NPL sites is a SPMS reporting measure and a SCAP target and removal completions that lead to deletion are SPMS reporting measures.

First and subsequent NPL removal start definitions have been combined.

REMOVAL PROGRAM DEFINITIONS

ACTIVITY: Removal Starts at NPL Sites -- First and Subsequent

DEFINITION: A removal is a response action taken to prevent or mitigate a threat to public health, welfare or the environment posed by the release or potential release of a CERCLA hazardous substance, or an imminent or substantial risk posed by a pollutant or contaminant. The site must be on the proposed or final NPL prior to or at any time during the conduct of the removal action in order for the region to receive credit for a NPL start. In addition, for the first removal starts, no prior removal activity under the direction of EPA or through an Administrative Order, Consent Decree or judgement was conducted.

DEFINITION OF ACCOMPLISHMENT:

Fund-financed A Fund removal counts when 1) The action memo has been approved by the OSC, RA or AA; 2) A contract has been signed for an EPA or USCG on-site removal; 3) An obligation for the removal has been recorded in FMS and CERCLIS or when the OSC activates $50K; and 4) On-site removal work has begun.

PRP-financed A PRP removal counts when there is on-site removal activity financed by the PRP in compliance with an administrative order (unilateral or consent) or judgement. The date the PRPs begin actual on-site work (as entered in CERCLIS) is the start date. Credit will be withdrawn where a PRP is in substantial noncompliance with an unilateral order.

CHANGES IN DEFINITION FY88-FY89: The NPL criteria has been changed. Expedited Response Actions are not included in the target. The PRP definition was changed to focus on on-site work.

SPECIAL PLANNING REQUIREMENTS: Commitments are made site specifically prior to the quarter the removal is expected to begin; "to be determined" sites are allowed. Annual targets for removals are established in the Targets and Accomplishments portion of CERHELP data base. Commitments are made based on a combined Fund and PRP financed removals. Separate Fund and PRP financed removal goals are established prior to the FY. A limit will be placed on the number of Fund financed removals that can be started during the FY. Regions may only exceed this limit with HQ approval.

REMOVAL PROGRAM DEFINITIONS

ACTIVITY: Removal Completions at NPL Sites

DEFINITION: The definition for removal completion is when the conditions specified in the Action Memorandum have been met even if the OSC determines that additional response work may be necessary.

DEFINITION OF ACCOMPLISHMENT:

Fund-financed Completions are counted on the day the clean up contractor(s) has demobilized and left the site.

PRP-financed Completions will count when the Region has certified, by entering a date in CERCLIS, that the potentially responsible parties have completed a removal action and fully met the terms of an Administrative Order, Consent Decree or judgement.

CHANGES IN DEFINITION FY88-FY89: First and subsequent NPL removal completions are included in the target.

SPECIAL PLANNING REQUIREMENTS: Measures are reported based on combined Fund and PRP-financed and first and subsequent NPL removal completions. Projections on the number of NPL removal completions are placed in the Targets and Accomplishments portion of the CERHELP non-site data system. NPL removal completions is a SPMS reporting measure and a SCAP target.

ACTIVITY: Removal Completions at NPL Sites that lead to Deletion

DEFINITION: A site is ready for deletion when the conditions specified in the Action Memorandum or Record of Decision have been met and no further remedial or removal response actions are necessary.

DEFINITION OF ACCOMPLISHMENT:

Fund-financed A site is completed and ready for deletion when the OSC demobilizes the clean up contractor and conditions specified in the Action Memorandum have been met. Credit is given when a notice of intent to delete the site is published in the Federal Register.

PRP-financed A site is completed and ready for deletion when the PRP has completed the removal action under the terms of an Administrative Order (Unilateral or Consent), Consent Decree or judgment, and the date has been entered into CERCLIS. Credit is given when a notice of intent to delete the site is published in the Federal Register.

CHANGES IN DEFINITION FY88-FY89: New measure for FY89.

SPECIAL PLANNING REQUIREMENTS: Measures are reported on combined Fund and PRP lead removals that lead to deletions.

REMOVAL PROGRAM DEFINITIONS
NPL Sites

PLANNING REQUIREMENTS	FIRST NPL REM. START	SUBS. NPL REM. START	NPL REM. COMP	REMOVAL DELETION
SPMS COMMITMENT?	YES	YES	YES	YES
SCAP COMMITMENT?	YES	YES	YES	YES
TARGET OR MEASURE?	TARGET	TARGET	MEAS.(SPMS) TARGET(SCAP)	MEASURE
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO QUART.	PRIOR TO QUART.	PRIOR TO QUART.	PRIOR TO QUART
PLANNED SITE SPECIFICALLY?	YES *	YES *	YES	YES
IF YES, WHEN?	PRIOR TO QUART.	PRIOR TO QUART.	PRIOR TO QUART.	PRIOR TO QUART.
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	WHOLE SITE	WHOLE SITE	WHOLE SITE	WHOLE SITE
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	COMBINED**	COMBINED**	COMBINED	COMBINED
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	REMOVAL	REMOVAL	N/A	N/A
BASIS FOR AOA?	SITE SPEC. PLANS & CONTINGENCY	SITE SPEC. PLANS & CONTINGENCY	N/A	N/A

* "TO BE DETERMINED" SITES ARE ALLOWED

** SEPARATE PROGRAM SPECIFIC GOALS ARE ESTABLISHED PRIOR TO THE FY. A LIMIT IS PLACED ON THE NUMBER OF FUND-FINANCED NPL REMOVAL STARTS.

REMOVAL PROGRAM DEFINITIONS

NON-NPL SITES

There are two non-NPL removal activities tracked in SCAP . Each of these activities are annual SCAP targets and planned site-specifically prior to the quarter. They are reported on a combined program lead in the CERCLIS site-specific data system.

- Non-NPL Removal Start; and
- Non-NPL Removal Completion.

ACTIVITY: Non-NPL Removal Starts

DEFINITION: A removal is a response action taken to prevent or mitigate a threat to public health, welfare or the environment posed by the release or potential release of a CERCLA hazardous substance, or an imminent or substantial risk posed by a pollutant or contaminant. The site must not be on the NPL during the conduct of the removal action in order to be included in the non-NPL target. The non-NPL start commitment will be reduced if the site is proposed for the NPL during the conduct of the removal action and the region does not have a site available for substitution.

DEFINITION OF ACCOMPLISHMENT: Same as NPL removal starts.

CHANGES IN DEFINITION FY88-FY89: The NPL/non-NPL criteria has been revised. The target includes first and subsequent non-NPL removal starts.

SPECIAL PLANNING REQUIREMENTS: Same as NPL removal starts. First and subsequent non-NPL removals are included in this target.

ACTIVITY: Non-NPL Removal Completions

DEFINITION: Same as NPL removal completions

DEFINITION OF ACCOMPLISHMENT: Same as NPL removal completion.

CHANGES IN DEFINITION FY88-FY89: First and subsequent non-NPL removal completions are included in the target.

SPECIAL PLANNING REQUIREMENTS: Targets are established based on combined Fund and PRP-financed and first and subsequent non-NPL removal completions. Targets for non-NPL removal completions are placed in the Targets and Accomplishments portion of the CERHELP non-site data base.

REMOVAL PROGRAM DEFINITIONS
Non-NPL Sites

PLANNING REQUIREMENTS	REM. START	REM. COMP.
SPMS COMMITMENT?	NO	NO
SCAP COMMITMENT?	YES	YES
TARGET OR MEASURE?	TARGET	TARGET
ANNUAL TARGETS/MEASURES SET?	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES
IF YES, WHEN?	PRIOR TO QUART.	PRIOR TO QUART.
PLANNED SITE SPECIFICALLY?	YES*	YES
IF YES, WHEN?	PRIOR TO QUART.	PRIOR TO QUART.
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	WHOLE SITE	WHOLE SITE
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	COMBINED**	COMBINED
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	REMOVAL	N/A
BASIS FOR AOA?	SITE-SPEC. PLANS & CONTINGENCY	N/A

** SEPARATE PROGRAM SPECIFIC GOALS ARE ESTABLISHED PRIOR TO FY. AN ANNUAL LIMIT IS PLACED ON THE NUMBER OF FUND-FINANCED NON-NPL REMOVAL STARTS. * "TO BE DETERMINED" SITES ARE ALLOWED.

ENFORCEMENT DEFINITIONS

INTRODUCTION

The planning requirements for enforcement response activities parallels those used under the remedial and removal programs. These program requirements are discussed in the previous sections. In addition, there are enforcement specific targets/measures. Funding for the enforcement targets/measures are provided through the Case Budget. Enforcement definitions have been divided into two categories: PRP Searches and Negotiations, and Settlements and Referrals.

PRP SEARCHES AND NEGOTIATIONS

Following are the search and negotiation activities tracked in SCAP and SPMS:

- Start of PRP Search at Non-NPL Sites;
- Start of PRP Search at NPL Sites;
- Completion of PRP Search at NPL Sites;
- Completion of PRP Search at Non-NPL Sites;
- Start of RI/FS Negotiations;
- Conclusion of RI/FS Negotiations;
- Start of RD/RA Negotiations; and
- Conclusion of RD/RA Negotiations.

The definitions for start of PRP search at NPL and non-NPL sites and the completion of PRP search at NPL and non-NPL sites have been combined.

ACTIVITY: PRP Search

DEFINITION: The purpose of the PRP search is to identify PRPs at either NPL or non-NPL sites. At NPL sites it should be initiated with the listing of the site and it should be completed in time to send general notice which should be approximately two months before the special notice date and at least 90 days prior to the obligation of funds for a RI/FS. At non-NPL sites it should be done prior to the start of the removal action when possible or very soon after the initiation of the emergency response.

DEFINITION OF ACCOMPLISHMENT: If the search is being conducted by a contractor, the start date is considered to be the date the work assignment is procured. If it is conducted by EPA, the start date is the day the EPA staff begins the PRP search activities.

CHANGES IN DEFINITION FY88-FY89: New activity for FY89.

SPECIAL PLANNING REQUIREMENTS: Non-NPL PRP searches are not planned on a site-specific basis. Funds for non-NPL PRP searches are requested in the CERHELP Non-Site/Incident data system. PRP searches should be planned for all sites listed on the NPL and for all removals conducted during the fiscal year.

ENFORCEMENT DEFINITIONS

ACTIVITY: Completed PRP Search

DEFINITION: A PRP search is the action taken by the region to identify the responsible parties at a NPL or non-NPL site.

DEFINITION OF ACCOMPLISHMENT: The PRP search is complete when 1) The region has gathered information required by the program guidance including information on generators and necessary information on financial viability, and has sufficient information to mail special notice letters (names and addresses of PRPs, volume and nature of substances contributed by each PRP; volumetric ranking) and, at NPL sites, the classification of the site has been determined or 2) If no PRPs are found, and the date and the outcome of the search are entered into CERCLIS.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS: NPL PRP searches are planned on a site specific basis; non-NPL searches should be planned site-specifically to the maximum extent possible. Projections on the number of NPL PRP searches to be conducted during the year are placed in the Targets and Accomplishments portion of the CERHELP non-site data base. All targeted non-NPL removal starts should have an associated projection for a non-NPL PRP search. These projections should be placed in the Targets and Accomplishments portion of the CERHELP non-site data system. Funds for non-NPL PRP searches are requested in the CERHELP data system.

ACTIVITY: Start of RI/FS Negotiations

DEFINITION: RI/FS negotiations are defined as discussions between EPA and the PRPs on the possibility of a PRP-financed RI/FS. If a special notice is given to the PRPs under Section 122 of SARA, the PRPs have 60 days to submit a proposal for the RI/FS to EPA. If a good faith proposal is submitted, the PRPs have 30 days to reach agreement on a settlement document.

DEFINITION OF ACCOMPLISHMENT: RI/FS negotiations are started when the first special notice letter is issued or, in the absence of special notice, the date of the Section 122(a) letter or first face-to-face meeting with the PRPs. This date must be recorded in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: Issuance of the special notice letter was added.

SPECIAL PLANNING REQUIREMENTS: If the region does not plan to perform RI/FS negotiations at a site, negotiation dates should not be placed in CERCLIS. Instead the phrase "no negotiations" should be placed in the comment field of the RI/FS negotiation activity. The start of RI/FS negotiations should be planned site-specifically.

ENFORCEMENT DEFINITIONS

ACTIVITY: Conclusion of RI/FS Negotiations

DEFINITION: RI/FS negotiations are complete when the region makes a decision on how to proceed with the RI/FS activities. If a special notice was issued, negotiations are complete when the moratorium period expires, or if an extension has been granted, when the extension period has run out.

DEFINITION OF ACCOMPLISHMENT: RI/FS negotiations are complete when 1) An Administrative Order for RI/FS is issued; 2) A signed consent decree for RI/FS is referred by the region to HQ or DOJ; or 3) A decision is made to proceed with a Fund-financed RI/FS as indicated by the obligation of RI/FS funds.

CHANGES IN DEFINITION FY88-FY89: The definition has been revised such that the completion date is when a decision is made on how to proceed with the RI/FS.

SPECIAL PLANNING REQUIREMENTS: The activity is planned site-specifically in CERCLIS.

ACTIVITY: Start of RD/RA Negotiations

DEFINITION: RD/RA negotiations are defined as discussions between EPA and the PRPs on the conduct of the design and construction of the selected remedy. If special notice is given to the PRPs under Section 122 of SARA, the PRPs have 60 days to submit a good faith proposal for RD/RA to EPA. If a good faith proposal is submitted, the PRPs have another 60 days to reach agreement on a settlement document.

DEFINITION OF ACCOMPLISHMENT: RD/RA negotiations are initiated when the first special notice letter is issued or in the absence of special notice, the date of the Section 122(a) letter or the first face-to-face meeting. This date must be recorded in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: Issuance of special notice was added to the definition.

SPECIAL PLANNING REQUIREMENTS: If the region does not plan to conduct RD/RA negotiations, dates should not be entered into CERCLIS. Instead the phrase "no negotiations" should be placed in the comment field of CERCLIS for RD/RA negotiations. The start of RD/RA negotiations is planned site-specifically.

ENFORCEMENT DEFINITIONS

ACTIVITY: Conclusion of RD/RA Negotiations

DEFINITION: RD/RA negotiations are complete when the region makes a decision on how to proceed with RD/RA activities. If special notice has been issued RD/RA negotiations are complete when the moratorium periods in SARA expire; or if extensions have been granted, when the extensions have expired.

DEFINITION OF ACCOMPLISHMENT: RD/RA negotiations are complete when 1) The signed consent decree for RD/RA and 10 point analysis is referred by the region to either DOJ or HQ; 2) A section 106 judicial referral for RD/RA without settlement is referred to DOJ or HQ; 3) An Administrative Order (unilateral) for RD only is issued; or 4) A decision is made to proceed with RD as indicated by the obligation of RD funds.

CHANGES IN DEFINITION FY88-FY89: The definition for an accomplishment is defined as the date the decision is made on how to proceed with RD/RA activities.

SPECIAL PLANNING REQUIREMENTS: The activity is planned site-specifically in CERCLIS.

ENFORCEMENT DEFINITIONS
Searches and Negotiations

PLANNING REQUIREMENTS	PRP † SEARCH	PRP†† SEARCH	COMP PRP SEARCH †	COMP PRP SEARCH ††	RI/FS NEG START	RI/FS NEG COMP.	RD/RA NEG START	CONC. RD/RA NEG
SPMS COMMITMENT?	NO	NO	NO	NO	NO	NO	NO	NO
SCAP COMMITMENT?	YES	YES	YES	YES	YES	YES	YES	YES
TARGET OR MEASURE?	MEASURE	MEASURE	MEASURE	MEASURE	MEASURE	MEASURE	MEASURE	TARGET
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES	YES	YES	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	NO	NO	YES	NO	YES	YES	YES	YES
IF YES, WHEN?			PRIOR TO FY		PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED SITE SPECIFICALLY?	YES	NO	YES	NO	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY		PRIOR TO FY		PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	WHOLE SITE	WHOLE SITE	WHOLE SITE	WHOLE SITE	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	ENFORCEMENT*	ENFORCEMENT*	N/A	N/A	ENFORCEMENT*	N/A	ENFORCEMENT*	N/A
BASIS FOR AOA?	SITE-SPEC PLANS	NON-SITE PLANS	N/A	N/A	SITE-SPEC. PLANS	N/A	SITE-SPEC. PLANS	N/A

† NPL SITES
†† NON-NPL SITES

* THERE IS NO AOA FOR WORK PERFORMED BY TES3 OR TES4. FUNDS OBLIGATED BY HQ.

ENFORCEMENT DEFINITIONS

SETTLEMENTS AND REFERRALS

Following are the settlement and referral activities tracked in SCAP and SPMS for enforcement:

- Section 106 Case Resolution;
- Section 107 Cost Recovery Judicial Settlement;
- Section 106 RD/RA Referrals/Orders
- Administration Cost Recovery Settlements;
- Cost Recovery Cases Referred to DOJ or HQ (>$200K):
  1) Section 107 Referral for Removals;
  2) Section 107 Referral for Remedial Actions;
  3) Section 106/107 Referral for Removals;
  4) Section 106/107 Referrals for Remedial Actions; and
- Administrative Order for Removal Actions.

ACTIVITY: Section 106 Case Resolution

DEFINITION: The definition of §106 case resolution is the conclusion of a §106 judicial action.

DEFINITION OF ACCOMPLISHMENT: Section 106 case resolution is credited when a settlement is entered in the court fully addressing the complaint with all parties, or the case is withdrawn or dismissed, or a trial has concluded and judgment entered. The accomplishment date will be transferred by HQ into CERCLIS from the OECM docket system.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS: Commitments are established site-specifically.

ACTIVITY: Section 107 Cost Recovery Judicial Settlement

DEFINITION: The definition of §107 cost recovery judicial settlement is the conclusion of a §107 action. This includes: 1) litigation (upon entry of a judgement); 2) settlement (upon referral of a Consent Decree by the region to HQ or DOJ); 3) Administrative Orders (upon execution of last signature by EPA or the PRP); 4) Administrative Settlements; 5) bankruptcy settlements (upon settlement); and 6) recovery of oversight costs (upon billing).

DEFINITION OF ACCOMPLISHMENT: Section 107 cost recovery judicial settlement is credited when the date of the settlement and the amount is entered into CERCLIS

ENFORCEMENT DEFINITIONS

CHANGES IN DEFINITION FY88-FY89: The definition has been expanded to include all cost recovery settlements whether they are accomplished as a result of litigation or through administrative settlements. The dollars recovered are reported in SPMS.

SPECIAL PLANNING REQUIREMENTS: Commitments are established site-specifically. The section 107 cost recovery judicial settlement sites are a SCAP target. The dollars recovered are a SPMS reporting measure.

ACTIVITY: Section 106 RD/RA Referrals/Orders

DEFINITION: The definition of section 106 RD/RA Referrals/Orders are the enforcement actions taken to compel the PRPs to assume responsibility for RD and/or RA. Referrals seeking preliminary relief or penalties do not count toward this target.

DEFINITION OF ACCOMPLISHMENT:

1) Section 106 or Section 106/107 Referrals without Settlement: This measure includes all §106 or §106/§107 referrals without settlement to HQ or DOJ seeking injunctive relief. Credit will be based on the referral date recorded in CERCLIS. This is a targeted activity.

2) Section 106 or Section 106/107 Referrals with Settlement: This measure includes all §106 or ¶106/§107 referrals, with a Consent Decree for RD/RA to HQ or DOJ, seeking judicial concurrence. Credit will be based on the referral date as recorded in CERCLIS. This is a targeted activity.

3) Unilateral Orders: This measure includes all unilateral Administrative Orders for RD or RA where the PRP is in compliance with the Order. Credit is given based on the date of compliance as recorded in CERCLIS. Should the PRPs become substantially in non-compliance with the Order, credit will be subtracted from category (3) and added to category (1). This action will count against the total target of (1) and (2).

CHANGES IN DEFINITION FY88-FY89: Referrals with settlement and Unilateral Administrative Orders where the PRP is in compliance were added to this activity as accomplishments. Referrals with settlement is a new target in FY89.

SPECIAL PLANNING REQUIREMENTS: Targets are established site-specifically; "to be determined" sites are allowed with an explanation.

ENFORCEMENT DEFINITIONS

ACTIVITY: Administrative Cost Recovery Settlements

DEFINITION: Administrative Cost Recovery Settlements occur at sites where Regional Counsel has obtained an administrative settlement with PRPs that provides for reimbursement of Fund monies under section 107 and section 122(h)(1) of CERCLA for past removal or remedial expenditures. Cost recovery for reimbursement of oversight or miscellaneous expenses under §106/§122 settlements for response action does not count against this target.

DEFINITION OF ACCOMPLISHMENT: For administrative actions, credit is given when the effective date of the administrative order or other settlement document is entered into CERCLIS. When no settlement documents exist in administrative settlements, the date on which funds are received by the Financial Management Division determines the quarter in which the settlement is counted.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS:

ACTIVITY: Cost Recovery Cases Referred to DOJ or HQ (>$200K)

DEFINITION: Cost recovery cases referred to HQ (>$200K) occur at sites where the region has referred a civil action to OECM or DOJ seeking reimbursement of past Trust Fund expenditures for removal or remedial response totaling at least $200K under §107 of CERCLA. §107 Actions (>$200K) with a §106 Action for RD/RA (with or without settlement) are measured. Proof of claims in bankruptcy will not count against this target. New referrals for additional cost recovery action will count only where the first referral has been resolved.

DEFINITION OF ACCOMPLISHMENT:

1) Section 107 Removal: Credit is given for a §107 removal (target) when a region has referred a civil action to OECM or DOJ (as reflected in the OECM docket and CERCLIS) seeking reimbursement of past Trust Fund expenditures for a removal, ERA, IRM, RI/FS, or RD equal to or greater than $200K under §107 of CERCLA. Targets in this category must include at a minimum all responses with expenditures greater than or equal to $200K where there is a potential statute of limitation problem, and there are viable PRPs, unless addressed in (3) below.

2) Section 107 Remedial Action: Credit is given for a §107 RA (target) when a region has referred a civil action to OECM or DOJ (as reflected in the OECM docket and CERCLIS) seeking reimbursement for past Trust Fund expenditures for a RA response, plus any previous fund-financed site work (i.e. removals, ERAs, IRMs, RI/FS, or RDs) greater than or equal to $200K under §107 of CERCLA. Commitments must include sites where there is a potential statute of limitation problem, and there are viable PRPs, unless addressed in (4) below.

ENFORCEMENT DEFINITIONS

3) Section 106/107 Removal: Credit is given for a §106/§107 removal when a region has referred a civil action to OECM or DOJ (as reflected in the OECM docket and CERLIS) seeking reimbursement of past Trust Fund expenditures for a removal, ERA, IRM, RI/FS, or RD greater than or equal to $200K under §106/§107 of CERCLA. This is a reporting measure only.

4) Section 106/107 Remedial Action: Credit is given for a §106/§107 RA when a region has referred a civil action to OECM or DOJ (as reflected in the OECM docket and CERCLIS) seeking reimbursement for past Trust Fund expenditures for a RA response, plus any previous fund-financed site work (i.e. removals, ERAs, IRMs, RI/FS, or RDs) greater than or equal to $200K under 106/§107 of CERCLA. This is a reporting measure only.

CHANGES IN DEFINITION FY88-FY89: New measures were added.

SPECIAL PLANNING REQUIREMENTS: Separate commitments are made for §107 actions and §106/§107 actions and removals, IRMs and RI/FS, vs. RAs and previous site work.

ACTIVITY: Administrative Order for Removal Actions

DEFINITION: The definition of this activity is the issuance of an Administrative Order (unilateral or consent) for removal actions. Credit is given for one order per removal action when multiple orders are issued. Excluded from this measure are orders for RI/FS, RD, and access.

DEFINITION OF ACCOMPLISHMENT: A §106 administrative order for removal action is counted when the order (unilateral or consent) has been signed and issued by EPA and entered in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: SPMS definition changed from FY88.

SPECIAL PLANNING REQUIREMENTS: Projections for Administrative Orders for removal actions are made in the Targets and Accomplishments portion of the CERHELP non-site data base. This is a reporting measure for NPL and non-NPL sites.

ENFORCEMENT DEFINITIONS
Settlements and Referrals

PLANNING REQUIREMENTS	1	2	4	5	6
SPMS COMMITMENT?	NO	YES	YES	YES	YES
SCAP COMMITMENT?	YES	YES	YES	YES	YES
TARGET OR MEASURE?	TARGET	TARGET	TARGET(SCAP) MEAS.(SPMS)	TARGET	MEASURE
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES	YES	YES	NO
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	
PLANNED SITE SPECIFICALLY?	YES	YES	YES	YES	NO
IF YES, WHEN?	PRIOR TO FY*	PRIOR TO FY	PRIOR TO FY*	PRIOR TO FY	
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	OPERABLE UNIT	OPERABLE UNIT	WHOLE SITE	WHOLE SITE	WHOLE SITE
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	N/A	N/A	N/A	N/A	N/A
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	ENFORCEMENT	ENFORCEMENT	ENFORCEMENT	ENFORCEMENT	N/A
BASIS FOR AOA?	SITE SPEC. PLANS	SITE SPEC. PLANS	SITE SPEC. PLANS	SITE SPEC. PLANS	N/A

1 S. 106 CASE RESOLUTION
2. S. 106 RD/RA REFERRALS/ORDERS
3. S. 107 COST RECOVERY JUDICIAL SETTLEMENT
4. ADMIN. COST RECOVERY SETTLEMENTS
5. ADMIN. ORDER FOR REMOVAL ACTIONS

* "TBD" SITES ARE ALLOWED.

ENFORCEMENT DEFINITIONS
Settlements and Referrals cont.

PLANNING REQUIREMENTS	SECTION 107 REFERRAL		SECTION 106/107 REFERRAL	
	REMOVAL	REMEDIAL	REMOVAL	REMEDIAL
SPMS COMMITMENT?	YES	YES	YES	YES
SCAP COMMITMENT?	YES	YES	YES	YES
TARGET OR MEASURE?	TARGET	TARGET	MEASURE	MEASURE
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED SITE SPECIFICALLY?	YES	YES	YES	YES
IF YES, WHEN?	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT	OPERABLE UNIT
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	N/A	N/A	N/A	N/A
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	ENFORCEMENT	ENFORCEMENT	ENFORCEMENT	ENFORCEMENT
BASIS FOR AOA?	SITE-SPEC. PLANS	SITE-SPEC. PLANS	SITE-SPEC. PLANS	SITE-SPEC. PLANS

FEDERAL FACILITY DEFINITIONS

INTRODUCTION

Definitions for Federal Facility activities are generally the same as those used for PRP-financed actions. The Federal Facility activity definitions have been divided into three categories: Pre-Remedial, Remedial and Enforcement.

PRE-REMEDIAL

Following are the Federal Facility pre-remedial activities which are tracked through the SCAP and SPMS process. Both are SPMS reporting measures:

- PA Completions; and
- SI Completions.

ACTIVITY: PA Completions

DEFINITION: A Preliminary Assessment is the first stage of site assessment. Geological and hydrological data and data concerning site practices are reviewed to complete the PA report. Federal agencies are required to conduct PAs at their facilities.

DEFINITION OF ACCOMPLISHMENT: A PA is complete when the PA report is reviewed and the PA completion date is entered into CERCLIS.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS:

ACTIVITY: SI Completions

DEFINITION: The site inspection involves collecting field data for the purpose of characterizing the magnitude and severity of the hazards posed by the facility. An SI should provide adequate data for EPA (using FIT resources) to determine the site's Hazard Ranking System (HRS) score. Federal agencies are required to conduct SIs at their facilities.

DEFINITION OF ACCOMPLISHMENT: An SI is complete when EPA reviews the SI report, a draft HRS score has been derived, and the completion date is entered into CERCLIS.

CHANGES IN DEFINITION FY88-89: The development of a draft HRS score has been added to the definition.

SPECIAL PLANNING REQUIREMENTS: A projection must be made in CERHELP of the FIT resources needed for HRS development.

FEDERAL FACILITY DEFINITIONS

REMEDIAL

The following Federal Facility remedial activities are tracked through the SCAP and SPMS process. The RI/FS definition encompasses first, subsequent, and final Federal Facility RI/FS completions. The second activity is RA Starts Post-SARA at NPL Sites.

ACTIVITY: Federal Facility RI/FS Completion (ROD)

DEFINITION: The ROD is the document which details the selection of remedy. The Federal entity and EPA jointly select the remedy at the facility.

DEFINITION OF ACCOMPLISHMENT: The date the initial, subsequent, or final ROD is signed by the Regional Administrator or the Assistant Administrator for OWSER is the completion date. This date must be entered in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: New activity for FY89.

SPECIAL PLANNING REQUIREMENTS: The first ROD at a facility is a SPMS target. Subsequent RODs at Federal Facilities is a SCAP measure. The final ROD is a SPMS measure.

ACTIVITY: RA Starts Post-SARA at NPL Sites

DEFINITION: A Post-SARA RA start is defined as the initiation of on-site construction activities after October 17, 1986.

DEFINITION OF ACCOMPLISHMENT: Credit is given when substantial and continuous on-site work has begun at sites where EPA has concurred on the ROD and an appropriate enforcement agreement is in place. The date substantial and continuous on-site work begins must be recorded and documented in CERCLIS.

CHANGES IN DEFINITION FY88-FY89: New activity for FY89.

SPECIAL PLANNING REQUIREMENTS:

FEDERAL FACILITY DEFINITIONS

ENFORCEMENT

ACTIVITY: Signed Interagency Agreements at NPL Sites

DEFINITION: Under §120 of SARA, Federal Agencies are required to enter into an interagency agreement with EPA within six months of EPA review of RI/FS regarding: 1) A schedule for completion of the remedy; and 2) Arrangements for Operations and Management (O&M) at the facility.

DEFINITION OF ACCOMPLISHMENT: Credit is given for any of the following: 1) A signed §120 IAG for an RI/FS/RD/RA or RD/RA only; 2) Issuance of a §3008(h) Corrective Action Order that addresses all releases; 3) Referral of a Section 106 Administrative Order to the Department of Justice for concurrence; 4) Issuance of a RCRA permit addressing all releases and all CERCLA requirements; or 5) A formal referral has been made to the Assistant Administrator of OSWER for dispute resolution.

CHANGES IN DEFINITION FY88-FY89: Credit was given for this activity in FY88 when the IAG was signed. Additional enforcement related activities were added to the definition of an accomplishment.

SPECIAL PLANNING REQUIREMENTS:

FEDERAL FACILITY DEFINITIONS

PLANNING REQUIREMENTS	PA COMP.	SI COMP.	SIGNED IAGS AT NPL SITES	FF RI/FS COMP.	RA START POST-SARA
SPMS COMMITMENT?	YES	YES	YES	YES	YES
SCAP COMMITMENT?	NO	NO	YES	YES	YES
TARGET OR MEASURE?	MEASURE	MEASURE	TARGET	TARGET/ MEASURE*	MEASURE
ANNUAL TARGETS/MEASURES SET?	NO	NO	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	NO	NO	YES	YES	YES
IF YES, WHEN?			PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED SITE SPECIFICALLY?	NO	NO	YES	YES	YES
IF YES, WHEN?			PRIOR TO FY	PRIOR TO FY	PRIOR TO FY
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	WHOLE SITE	WHOLE SITE	WHOLE SITE	OPERABLE UNIT	OPERABLE UNIT
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	N/A	N/A	N/A	N/A	N/A
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC	SITE-SPECIFIC
AOA CATEGORY?	N/A	N/A	N/A	N/A	N/A
BASIS FOR AOA?	N/A	N/A	N/A	N/A	N/A

* FIRST ROD IS A TARGET; FINAL ROD IS A MEASURE

OIL SPILL ACTIVITY DEFINITIONS

INTRODUCTION

There are three oil spill activities that are planned and tracked through the SCAP process. They are planned on a non-site specific basis and do not require regions to plan obligations. Accomplishments are reported in CERHELP in the aggregate, not at the site level. These activities are the following:

- Oil Spills Cleaned up Using Clean Water Act (CWA) Funds;
- On-Scene Monitoring of Responses to Oil Spills; and
- Spill Prevention Control and Countermeasure (SPCC) Inspections/Reviews.

ACTIVITY: Oil Spills Cleaned up Using Clean Water Act (CWA) Funds

DEFINITION: CWA-funded oil spill clean ups are oil spills cleaned up by EPA using §311(k) funds. A single incident should be counted only once regardless of how many times an EPA On Scene Coordinator (OSC) or Technical Assistance Team (TAT) goes back on-scene or how many phases the response entails.

DEFINITION OF ACCOMPLISHMENT: Completion of the clean up activities is defined as oil spills cleaned up by EPA using CWA funds.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS:

ACTIVITY: On-Scene Monitoring of Responses to Oil Spills

DEFINITION: On-scene monitoring occurs when the PRP, State, local authorities or other party responds and §311(k) funds are not invoked, but where EPA or a TAT provides on-scene oversight or technical assistance to ensure adequate cleanup takes place.

DEFINITION OF ACCOMPLISHMENT: Activation of EPA or TAT personnel in response to activities conducted by other entities to clean up oil spills.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS:

OIL SPILL ACTIVITY DEFINITIONS

ACTIVITY: Spill Prevention Control and Countermeasure (SPCC) Inspections/Reviews

DEFINITION: Spill prevention compliance reviews performed by EPA and/or a TAT defines the SPCC inspections/reviews. The count should include both on-site inspections and detailed plan reviews. Follow-up inspections at a single facility may be counted separately.

DEFINITION OF ACCOMPLISHMENT: Completion of the review.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS:

OIL SPILL ACTIVITY DEFINITIONS

PLANNING REQUIREMENTS	CWA-FUNDED OIL SPILLS*	OSM OIL SPILLS	SPCC I/R
SPMS COMMITMENT?	NO	NO	NO
SCAP COMMITMENT?	YES	YES	YES
TARGET OR MEASURE?	MEASURE	MEASURE	MEASURE
ANNUAL TARGETS/MEASURES SET?	YES	YES	YES
QUARTERLY TARGETS/MEASURES SET?	NO	NO	NO
IF YES, WHEN?			
PLANNED SITE SPECIFICALLY?	NO	NO	NO
IF YES, WHEN?			
PLANNED ON OPERABLE UNIT OR WHOLE SITE BASIS?	N/A	N/A	N/A
REPORTED ON COMBINED PROGRAM LEAD OR ON A PROGRAM SPECIFIC BASIS?	N/A	N/A	N/A
REPORTED SITE SPECIFICALLY OR IN NON-SITE PORTION OF CERCLIS?	NON-SITE	NON-SITE	NON-SITE
AOA CATEGORY?	N/A	N/A	N/A
BASIS FOR AOA?	N/A	N/A	N/A

* CLEANED UP BY EPA

SECTION 2

MISCELLANEOUS DEFINITIONS

REMEDIAL PROGRAM DEFINITIONS

PROJECT SUPPORT

ACTIVITY: Community Relations

DEFINITION: Community relations are the activities conducted in accordance with SARA, the NCP and the Community Relations Handbook to involve the community in response activities conducted at a site.

DEFINITION OF ACCOMPLISHMENT: The start of community relations is the obligation of funds for the development of the community relations plan. For PRP-lead sites where the PRP is preparing the community relations plan in accordance with an Administrative Order or consent decree, the start of community relations is defined as EPA approval of the community relations plan. The completion of community relations is the deletion of the site from the NPL or the conclusion of a removal action.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS: Community relations activities at PRP sites are paid for by the Case Budget.

ACTIVITY: Design Assistance

DEFINITION: Design assistance activities are undertaken by USACE in preparation for initiating remedial design activities. This includes 1) Synopsize remedial design requirements in the Commerce Business Daily (CBD); 2) Develop architect/engineer (A/E) firm pre-selection list; 3) Contact A/E firms on the pre-selection list to ascertain interest in project; 4) Develop A/E selection list; and 5) Tentative selection of A/E firm.

DEFINITION OF ACCOMPLISHMENT: The initiation of design assistance is the obligation of funds. The completion of design assistance is the start of remedial design.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS: Funds for design assistance should be obligated prior to the signature of the ROD.

REMEDIAL DEFINITIONS

ACTIVITY: Forward Planning

DEFINITION: Forward planning activities are 1) The development of technical/financial information to support requests for funds for RI/FS activities; 2) The evaluation of the extent and utility of available data and the identification of additional data needs; and 3) The identification of administrative or procedural problems that may affect project implementation.

DEFINITION OF ACCOMPLISHMENT: The start of forward planning is the obligation of funds for forward planning. The completion of forward planning is the start of the RI/FS.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS: Forward planning is done on a site-specific basis.

ACTIVITY: Long Term Response (LTR)

DEFINITION: A Long Term Response is the activity conducted to achieve the response detailed in the ROD, typically pump and treat or monitoring actions. Activities required to maintain the effectiveness of such treatment or measures following the LTR period are considered Operation & Maintenance (O&M).

DEFINITION OF ACCOMPLISHMENT: LTR begins when the treatment or monitoring is initiated. The completion date is defined as the point where the levels specified in the ROD are achieved and the deletion criteria has been met or when the treatment or response measure has been operating for ten years.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS: LTR is planned on a site-specific basis in CERCLIS and is used for resource allocation purposes only.

REMEDIAL DEFINITIONS

ACTIVITY: Management Assistance

DEFINITION: Management assistance are site-specific activities performed by the state -- monitoring progress and consulting with EPA on Federal-lead and PRP-lead remedial activities.

DEFINITION OF ACCOMPLISHMENT: The start of management assistance is the signature of the Cooperative Agreement by the Regional Administrator or his designee which awards funds to the State. The completion of management assistance is the completion of all remedial activities at the site.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS: Management assistance activities at PRP-lead sites are paid for by the Enforcement Program and are contained in the Case Budget.

ACTIVITY: Operation and Maintenance (O&M)

DEFINITION: There are two phases of O&M. The first is that phase of the remedial action that ensures that a remedy is operational and functional. EPA financially supports this phase of a Fund-financed action for a period not to exceed one year. The one year period does not apply to sites where Long Term Response actions are being conducted. The second phase of O&M are the activities required to maintain the effectiveness or the integrity of the remedy. The State or PRP is totally responsible for these activities for the time period specified in the ROD.

DEFINITION OF ACCOMPLISHMENT: The start of O&M is defined as the date construction activities are complete, the contractor demobilizes and the lead entity begins the analysis to ensure the remedy is operational and functional. The completion of O&M is defined as the date specified in the ROD.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS: Operation and maintenance is planned site-specifically in CERCLIS and is used for resource allocation purposes only.

REMEDIAL DEFINITIONS

ACTIVITY: Technical Assistance

DEFINITION: Technical Assistance activities are activities conducted by a third party to assist EPA in the conduct of remedial activities.

DEFINITION OF ACCOMPLISHMENT: The start of technical assistance is the obligation of funds for technical assistance. The completion is defined as the completion of the remedial activities for which technical assistance was requested.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS:

ACTIVITY: Technical Assistance Grants

DEFINITION: Technical assistance grants are funds awarded to community groups to hire technical advisors to review and interpret Superfund documents. A maximum of $50K can be awarded per site.

DEFINITION OF ACCOMPLISHMENT: The start of the Technical Assistance Grant is the signature of the Cooperative Agreement to the community group. The completion of the Technical Assistance Grant is the completion of the RA.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS:

REMOVAL DEFINITIONS

NPL SITES

ACTIVITY: Expedited Response Action (ERA)

DEFINITION: ERAs are actions that are conducted at NPL sites under the expanded NCP and SARA removal authorities where the alternatives are clear and a non time-critical situation exists. ERAs include site surface remediation; for example, removal of contaminated soils, drums, or tanks.

DEFINITION OF ACCOMPLISHMENT: An ERA is complete when 1) the conditions specified in the Action Memorandum are complete, even if the OSC/RPM determines that additional response work may be necessary; and 2) the OSC/RPM demobilizes the cleanup contractor.

CHANGES IN DEFINITION FY88-FY89: There are no new ERAs in FY89. A definition for ERA completion has been added.

SPECIAL PLANNING REQUIREMENTS:

REMOVAL DEFINITIONS

HAZARDOUS SUBSTANCES RELEASE

ACTIVITY: Hazardous Substances Release Notification

DEFINITION: The definition of hazardous substances release notification is a report to EPA of a hazardous substance released into the environment.

DEFINITION OF ACCOMPLISHMENT: The definition for release notifications is the number of sites/incidents where a release notification is received. A release notification is counted when a report of a hazardous substances release is received, processed and logged by EPA.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS: The count for hazardous substances release notifications should not include state or USCG notifications forwarded ex-post facto through monthly summaries unless followed up by EPA. Count should include potential releases, notifications not recognized through CERCLA and spills at waste sites if reported to EPA. Accomplishments should be reported in CERHELP.

ACTIVITY: Hazardous Substances Release Investigations

DEFINITION: A release investigation is the process of collecting field data on an actual or potential hazardous substance site or spill for the purpose of characterizing the magnitude and severity of the hazard and/or to support enforcement. This activity includes all efforts from the decision to conduct an investigation up to the decision to prepare an action memorandum for removal action.

DEFINITION OF ACCOMPLISHMENT: Investigations may be conducted by EPA and/or a TAT, and must include an on-site component, such as a walk around survey or sampling to be counted.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS: Investigations conducted entirely by the state do not count. Accomplishments should be reported in CERHELP.

ACTIVITY: On-Scene Monitoring of Responses to Hazardous Substance Releases

DEFINITION: On-scene monitoring of responses to hazardous substance release occurs when CERCLA funds are not obligated for cleanup work, but EPA provides on-scene oversight and technical assistance to ensure that all CERCLA statutes/regulations are adhered to in site cleanup or stabilization.

DEFINITION OF ACCOMPLISHMENT: Credit is given for on-scene monitoring when EPA goes on-site to monitor cleanup activities.

CHANGES IN DEFINITION FY88-FY89:

SPECIAL PLANNING REQUIREMENTS: State removals conducted through cooperative agreement do not count toward this activity. Accomplishments should be reported in CERHELP.

FEDERAL FACILITY DEFINITIONS

REMEDIAL

ACTIVITY: RI/FS Starts

DEFINITION: An RI/FS is the development of a carefully scoped solution or part of a solution to a contamination problem. Federal facility RI/FS are conducted by the Federal entity. The Federal agency is required to start an RI/FS within six months of site listing on the NPL.

DEFINITION OF ACCOMPLISHMENT: The start date is either 1) A signed IAG or 2) Publication of timetables and deadlines in consultation with the state for expeditious completion of the RI/FS.

CHANGES IN DEFINITION FY88-FY89: New definition for FY89.

SPECIAL PLANNING REQUIREMENTS:

ACTIVITY: RD Starts

DEFINITION: An RD is the process of developing plans and specifications for the selected remedy. The Federal agency performs the RD.

DEFINITION OF ACCOMPLISHMENT: The RD start is defined as the award date for the RD contract.

CHANGES IN DEFINITION FY88-FY89: In FY88 the RD start was defined as the date of the signature of an Interagency Agreement (IAG) for RD.

SPECIAL PLANNING REQUIREMENTS:

ACTIVITY: RD Completion

DEFINITION: An RD is complete when the plans and specifications for the selected remedy have been developed.

DEFINITION OF ACCOMPLISHMENT: Credit is given when EPA approves the remedial design within the context of an IAG.

CHANGES IN DEFINITION FY88-FY89: New activity for FY89.

SPECIAL PLANNING REQUIREMENTS:

FEDERAL FACILITY DEFINITIONS

ACTIVITY: RA Starts

DEFINITION: An RA represents construction activities to address a release or potential release of a hazardous substance at an NPL site. The Federal agency performs the RA at the Federal Facility.

DEFINITION OF ACCOMPLISHMENT: An RA start is defined as EPA approval of the RA workplan within the context of an IAG.

CHANGES IN DEFINITION FY88-FY89: In FY88, the definition for RA start was signature of an IAG.

SPECIAL PLANNING REQUIREMENTS: Separate projections are made for first, subsequent and final RA starts.

ACTIVITY: RA Completion

DEFINITION: This activity represents the completion of construction activities to address a release or potential release of a hazardous substance from a site, including final inspection and site closeout.

DEFINITION OF ACCOMPLISHMENT: An RA is complete when the Regional Administrator provides written notice to the Federal Agency of EPA's acceptance of the completed project.

CHANGES IN DEFINITION FY88-FY89: New activity for FY89.

SPECIAL PLANNING REQUIREMENTS: Projections are made for final RA completion separate from first and subsequent RA completions.

APPENDIX E

SCAP TARGET CERCLIS SELECT LOGIC

SCAP TARGET CERCLIS SELECT LOGIC

This appendix contains flow chart representations of the CERCLIS select logic used to generate the FY89 SCAP/SPMS Targets and Accomplishments Site Summary Report. The diagrams depict both planned and actual accomplishments. Select logic for SCAP/SPMS reporting and projection measures is not included.

The flow charts of select logic outline the process by which a site event/activity record is selected to appear on the site summary report. If site data do not appear correctly on the site summary report it is likely that data on which the record is selected are missing. In order to ensure accurate reporting on the site summary report, the select logic for the specific events should be consulted.

SCAP TARGET CERCLIS SELECT LOGIC

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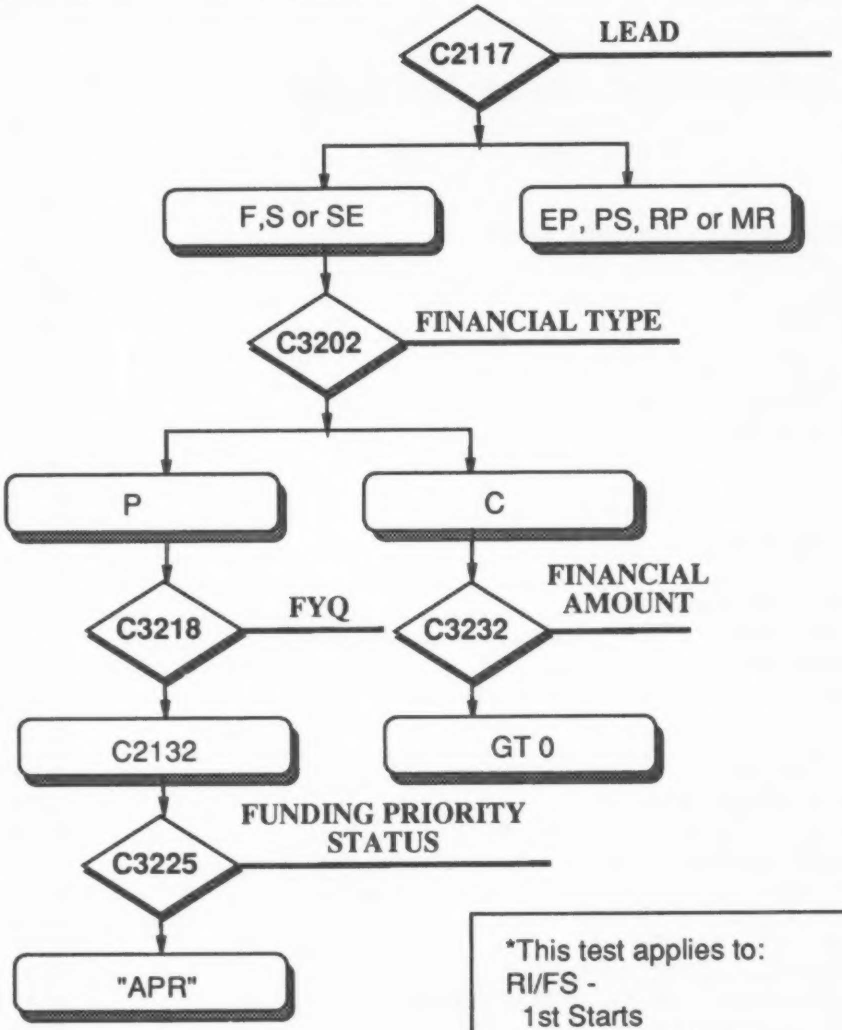
FINANCIAL TEST*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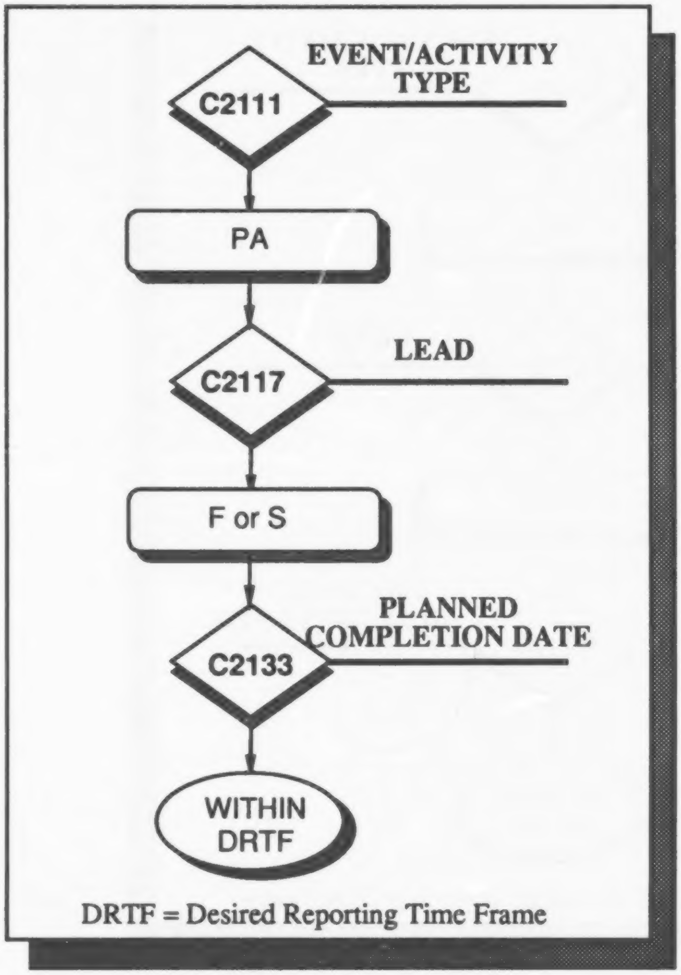
F,S or SE
EP, PS, RP or MR
C3202
FINANCIAL TYPE
P
C
C3218
FYQ
C3232
FINANCIAL AMOUNT
C2132
GT 0
C3225
FUNDING PRIORITY STATUS
"APR"

*This test applies to:
- RI/FS -
  - 1st Starts
  - Subsequent Starts
- RD -
  - 1st Starts
  - Subsequent Starts
  - Final Starts
- RA -
  - 1st Starts - Program
  - Subsequent Starts - Program
  - Final Starts - Program
- NPL Removal
  - 1st Starts
  - Subsequent Starts
- Non-NPL Removal Starts

PRELIMINARY ASSESSMENT COMPLETION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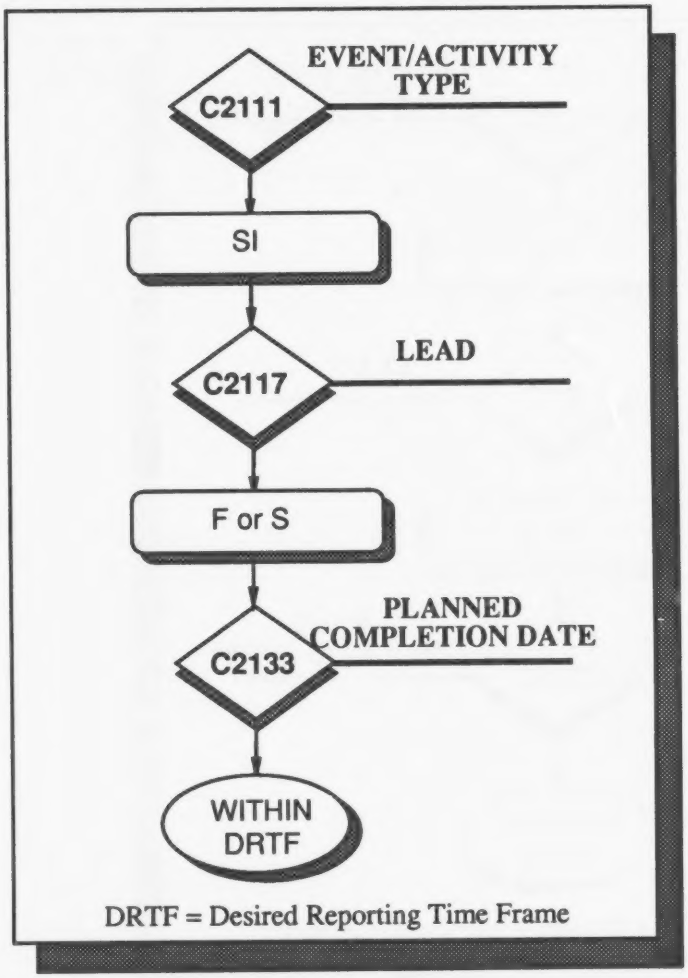
F or S
C2133
PLANNED COMPLETION DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame

SITE INSPECTION COMPLETION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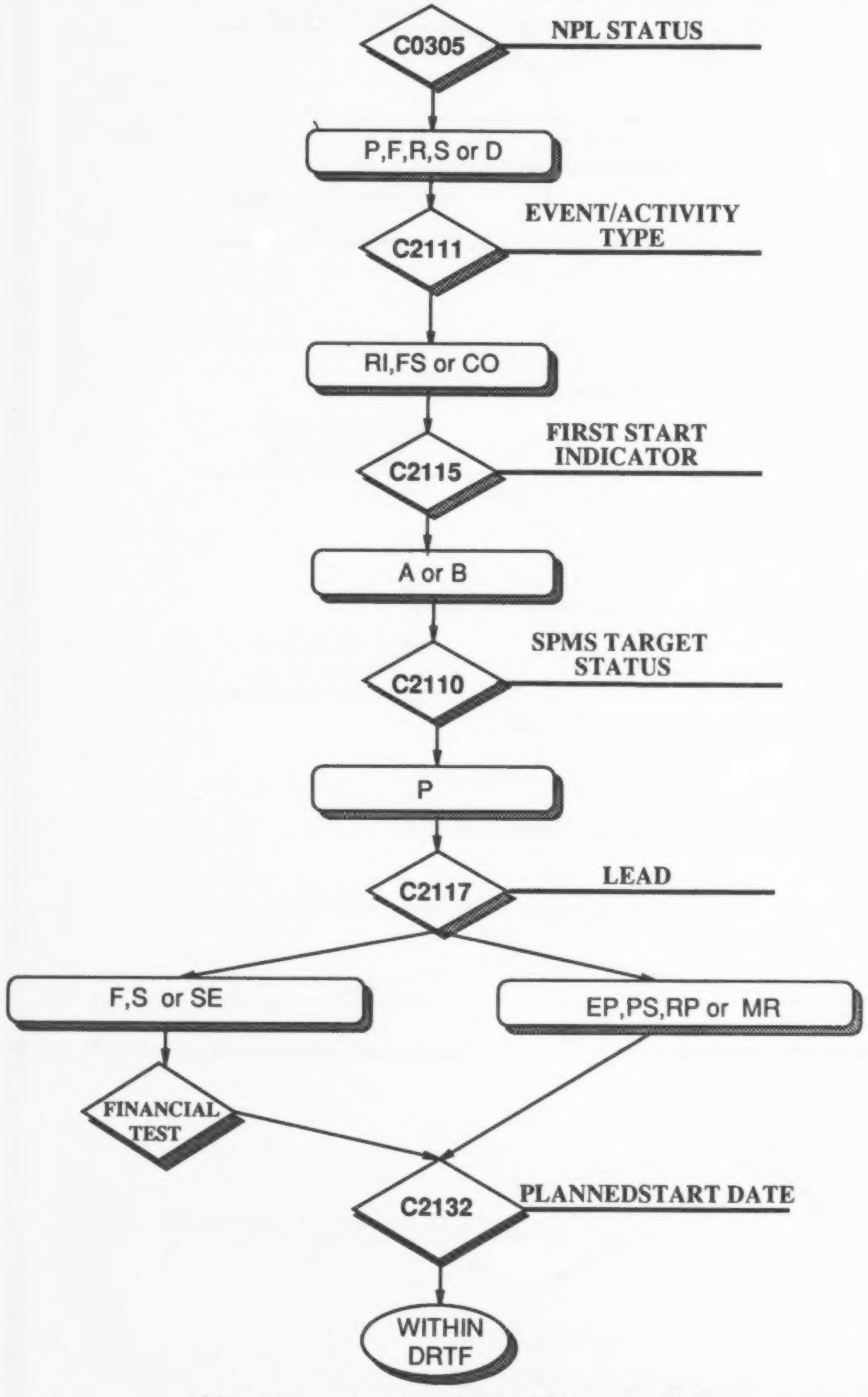
LEAD
C2117
F or S
PLANNED COMPLETION DATE
C2133
WITHIN DRTF

DRTF = Desired Reporting Time Frame

RI/FS FIRST STARTS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P,F,R,S or D
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EVENT/ACTIVITY TYPE
RI,FS or CO
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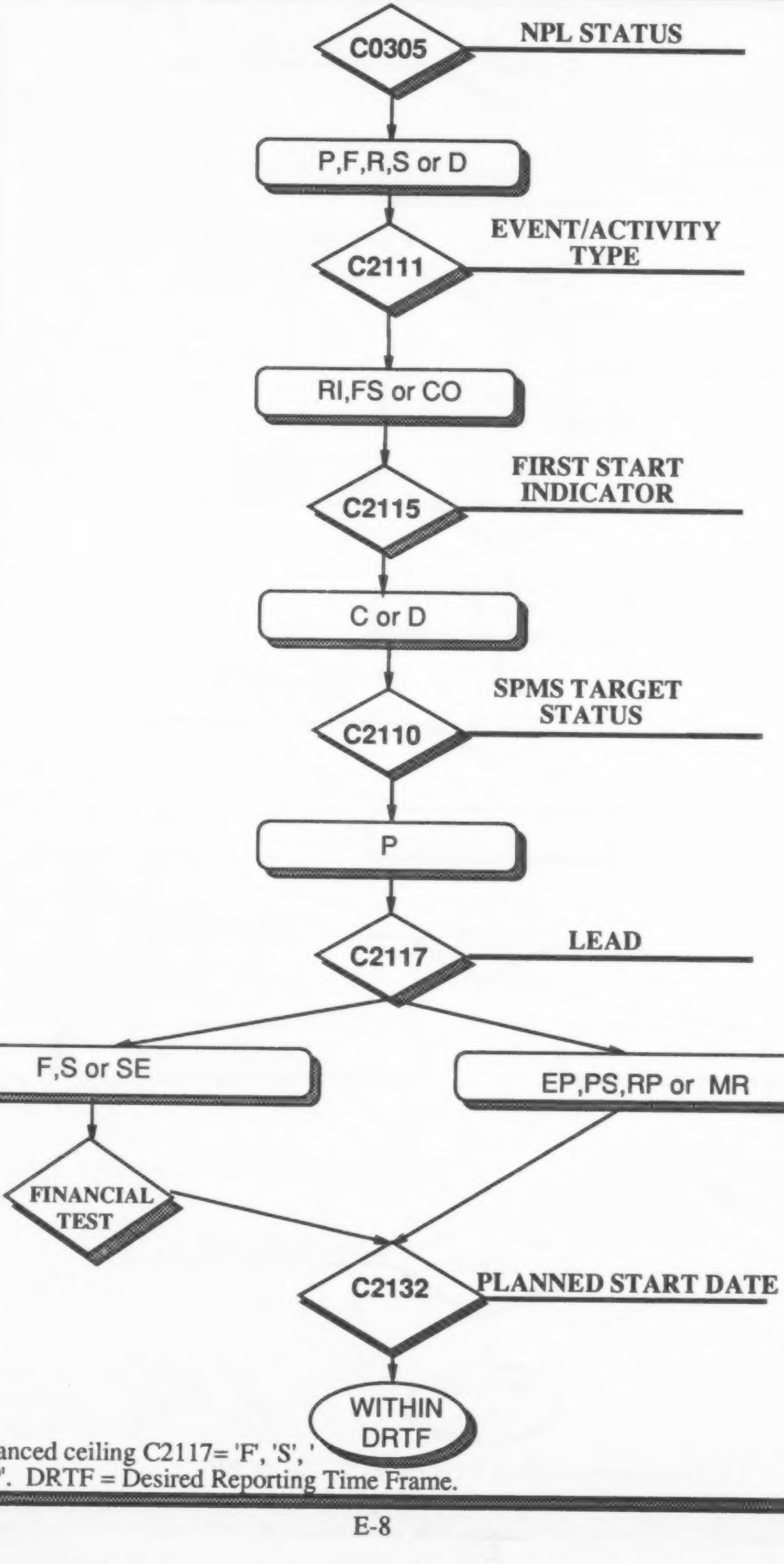
A or B
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F,S or SE
EP,PS,RP or MR
FINANCIAL TEST
C2132
PLANNEDSTART DATE
WITHIN DRTF

* Fund financed ceiling C2117 = 'F', 'S', 'SE' or 'EP'.
DRTF = Desired Reporting Time Frame

RI/FS SUBSEQUENT STARTS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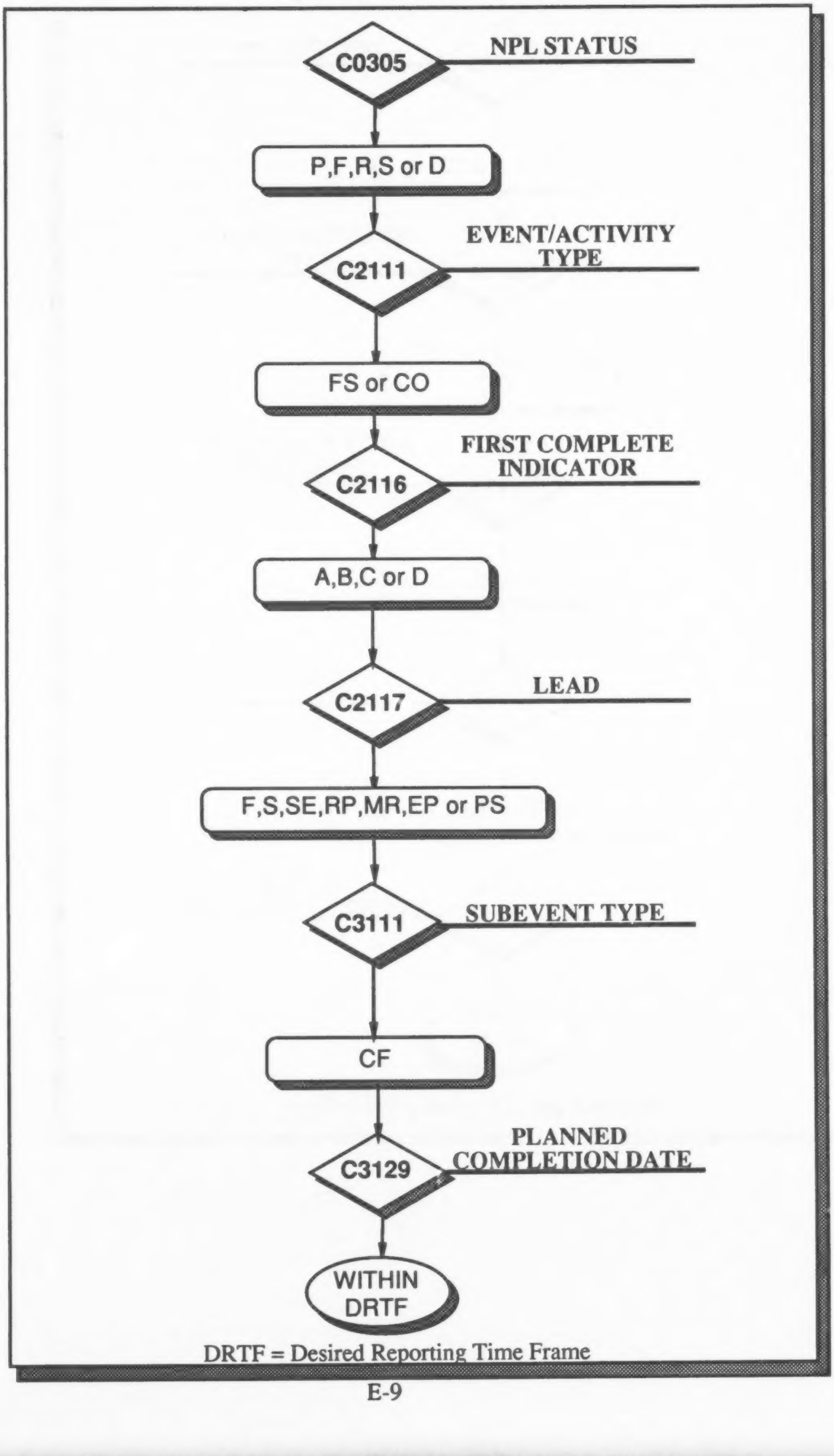
EVENT/ACTIVITY TYPE
RI,FS or CO
C2115
FIRST START INDICATOR
C or D
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F,S or SE
EP,PS,RP or MR
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

*Fund financed ceiling C2117= 'F', 'S', 'SE' or 'EP'. DRTF = Desired Reporting Time Frame.

RI/FS TO PUBLIC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EVENT/ACTIVITY TYPE
FS or CO
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C2117
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F,S,SE,RP,MR,EP or PS
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SUBEVENT TYPE
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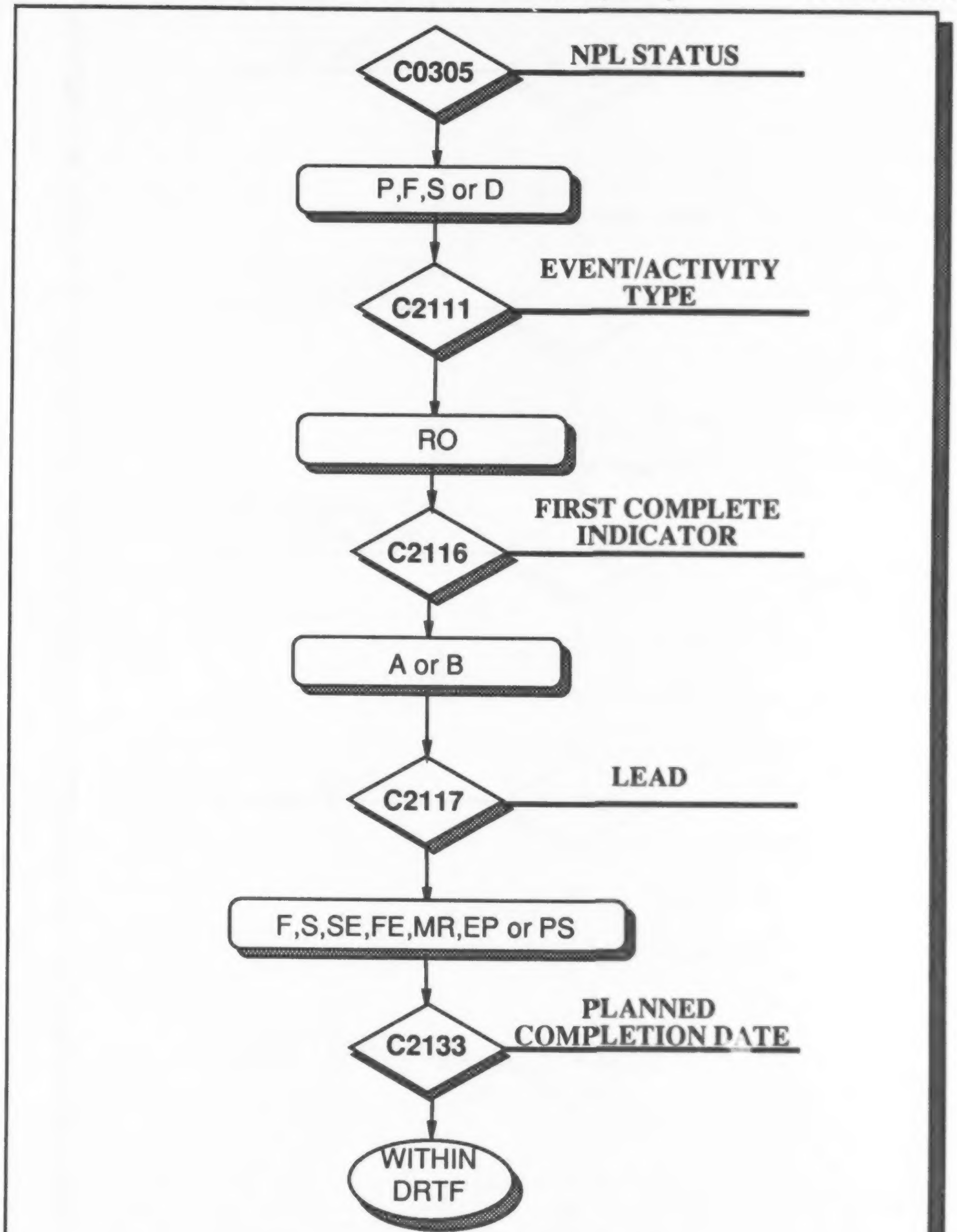
PLANNED COMPLETION DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame

FIRST RI/FS COMPLETION (ROD)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EVENT/ACTIVITY TYPE
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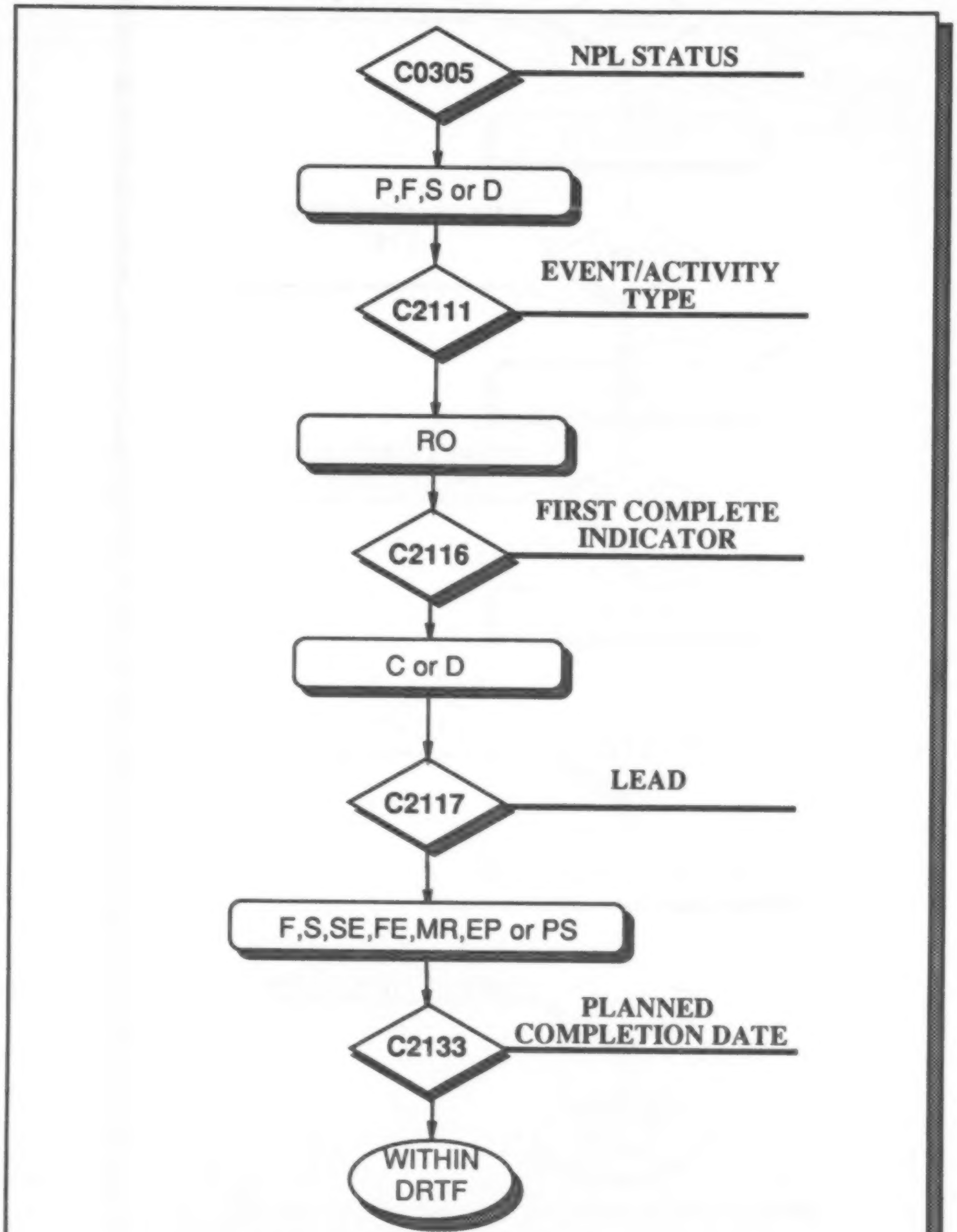
C2116
FIRST COMPLETE INDICATOR
A or B
C2117
LEAD
F,S,SE,FE,MR,EP or PS
C2133
PLANNED COMPLETION DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame

SUBSEQUENT RI/FS COMPLETION (ROD)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FIRST COMPLETE INDICATOR
C or D
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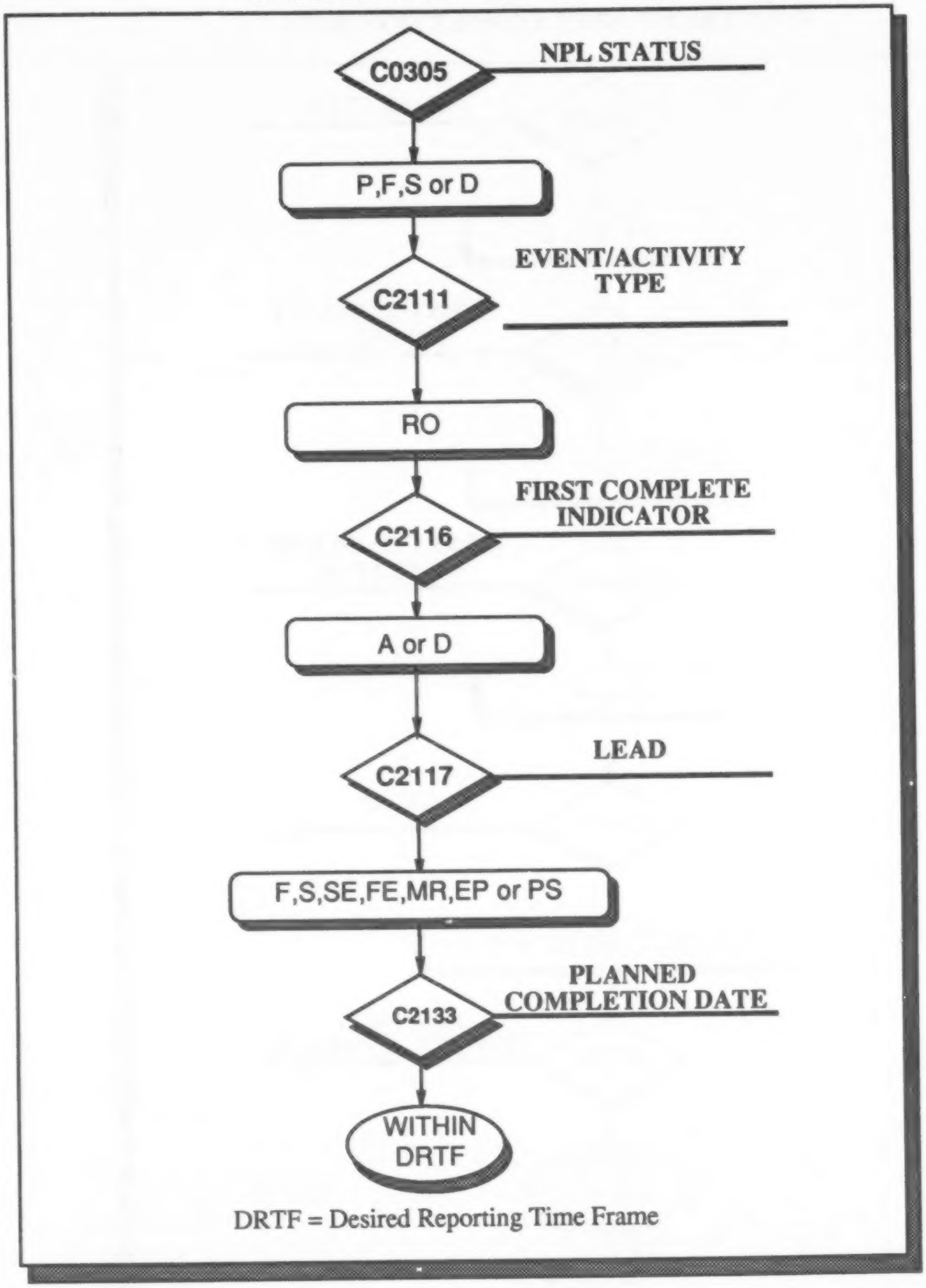
LEAD
F,S,SE,FE,MR,EP or PS
C2133
PLANNED COMPLETION DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame

FINAL RI/FS COMPLETION (ROD)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P,F,S or D
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EVENT/ACTIVITY TYPE
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C2116
FIRST COMPLETE INDICATOR
A or D
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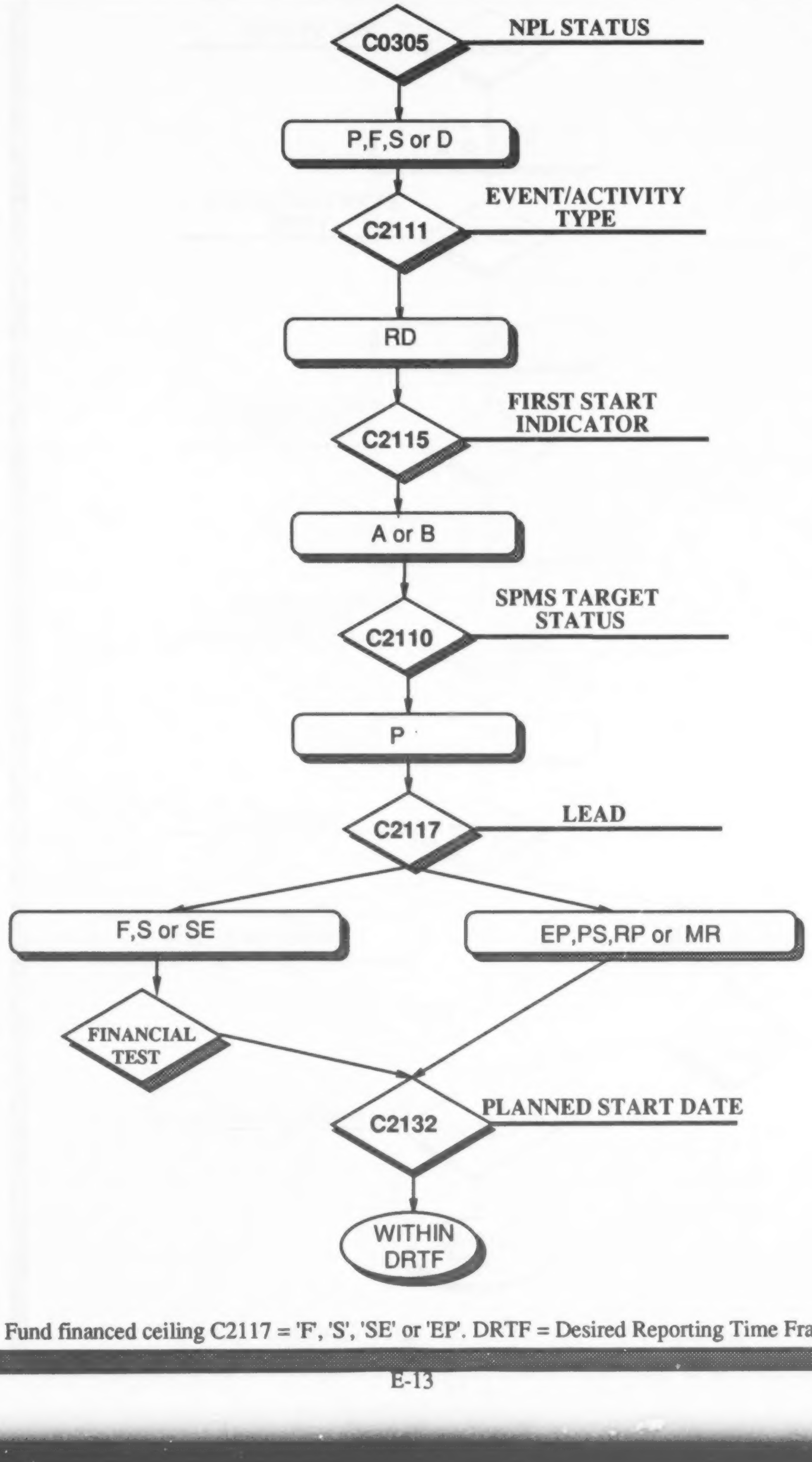
LEAD
F,S,SE,FE,MR,EP or PS
C2133
PLANNED COMPLETION DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame

RD FIRST START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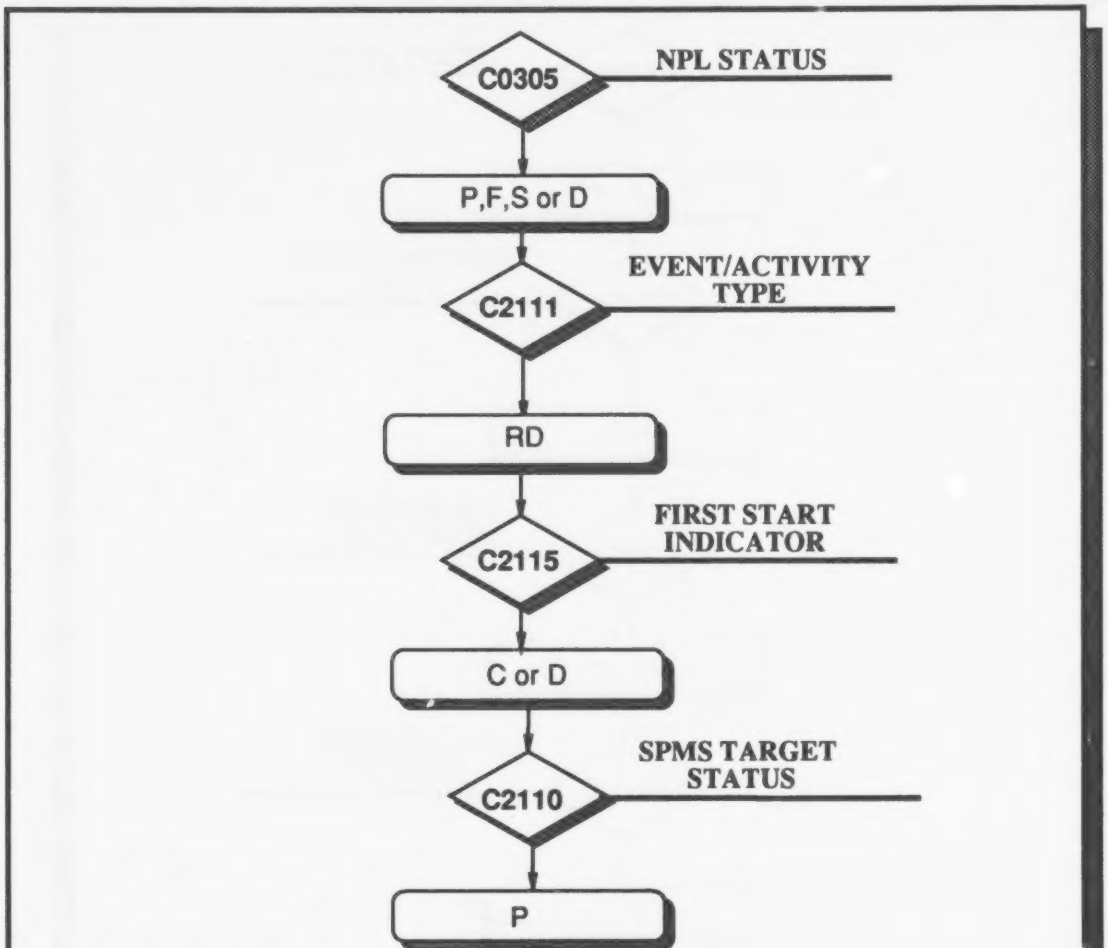
EVENT/ACTIVITY TYPE
RD
C2115
FIRST START INDICATOR
A or B
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F,S or SE
EP,PS,RP or MR
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

* Fund financed ceiling C2117 = 'F', 'S', 'SE' or 'EP'. DRTF = Desired Reporting Time Frame.

RD SUBSEQUENT START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C or D
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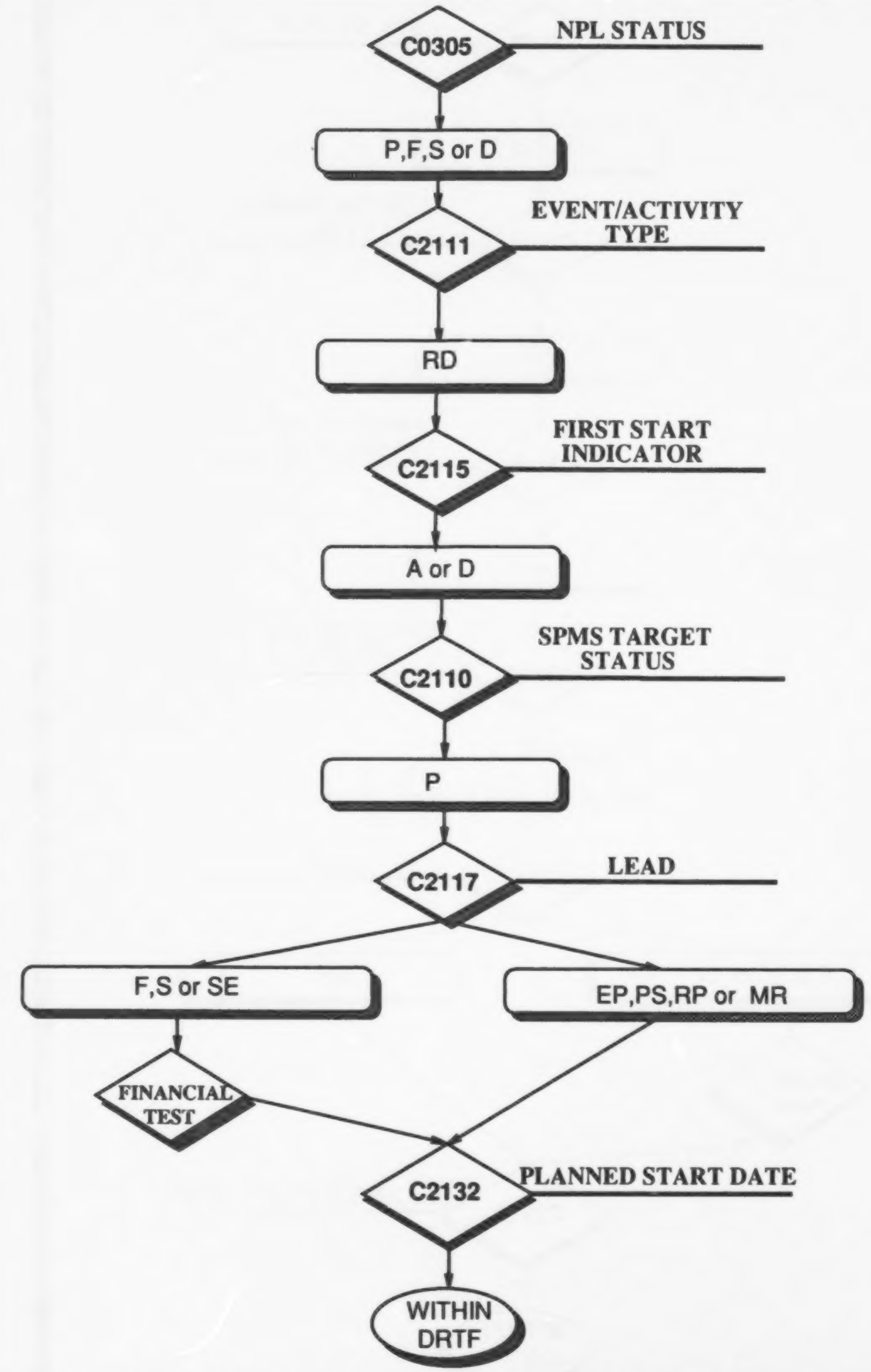
P
C2117
LEAD
F,S or SE
EP,PS,RP or MR
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

* Fund financed ceiling C2117 = 'F', 'S', 'SE' or 'EP'. DRTF = Desired Reporting Time Frame.

RD FINAL START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P,F,S or D
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EVENT/ACTIVITY TYPE
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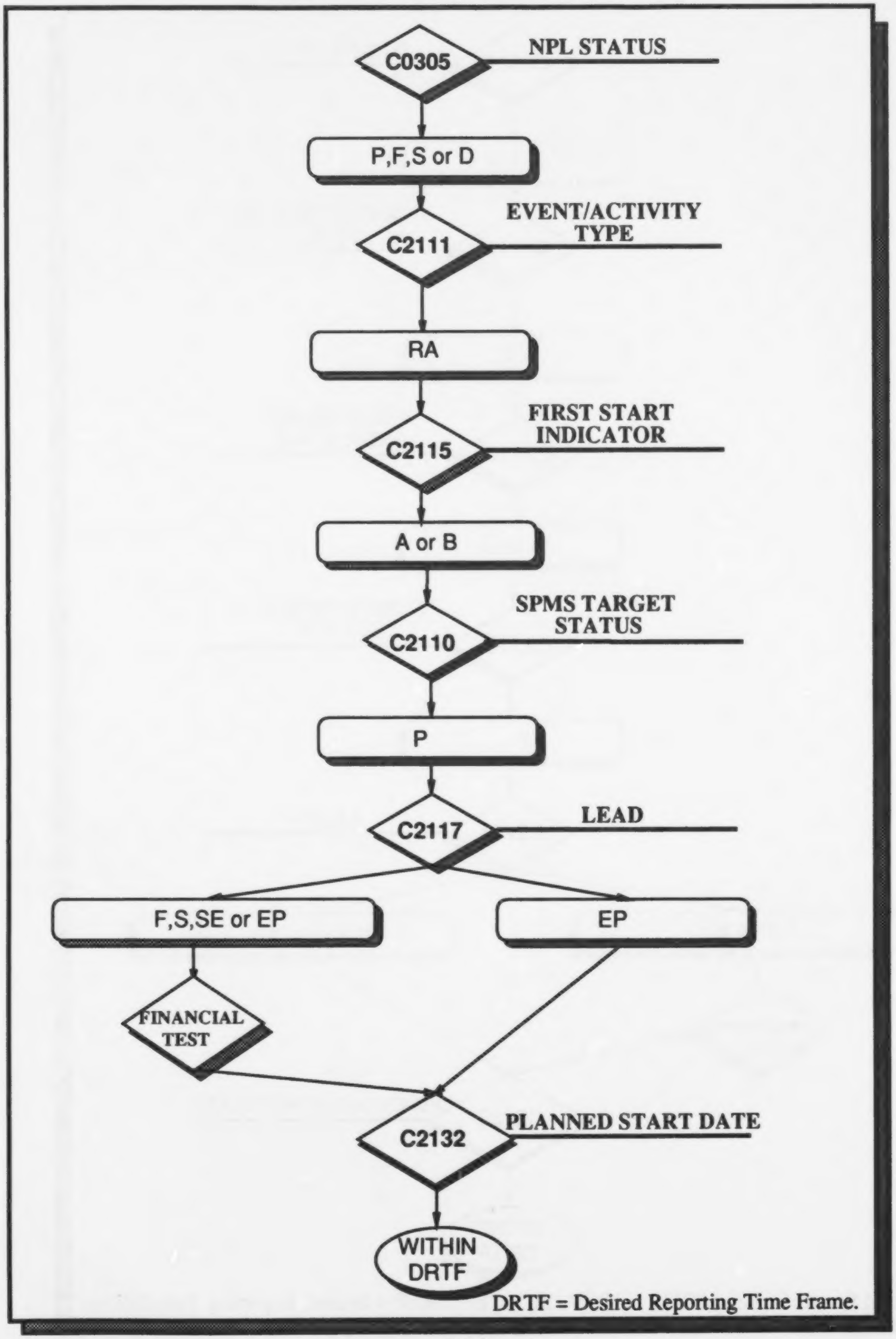
C2115
FIRST START INDICATOR
A or D
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F,S or SE
EP,PS,RP or MR
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

*Fund financed ceiling C2117 = 'F', 'S', 'SE' or 'EP. DRTF = Desired Reporting Time Frame.

RA FIRST START (PROGRAM)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EVENT/ACTIVITY TYPE
RA
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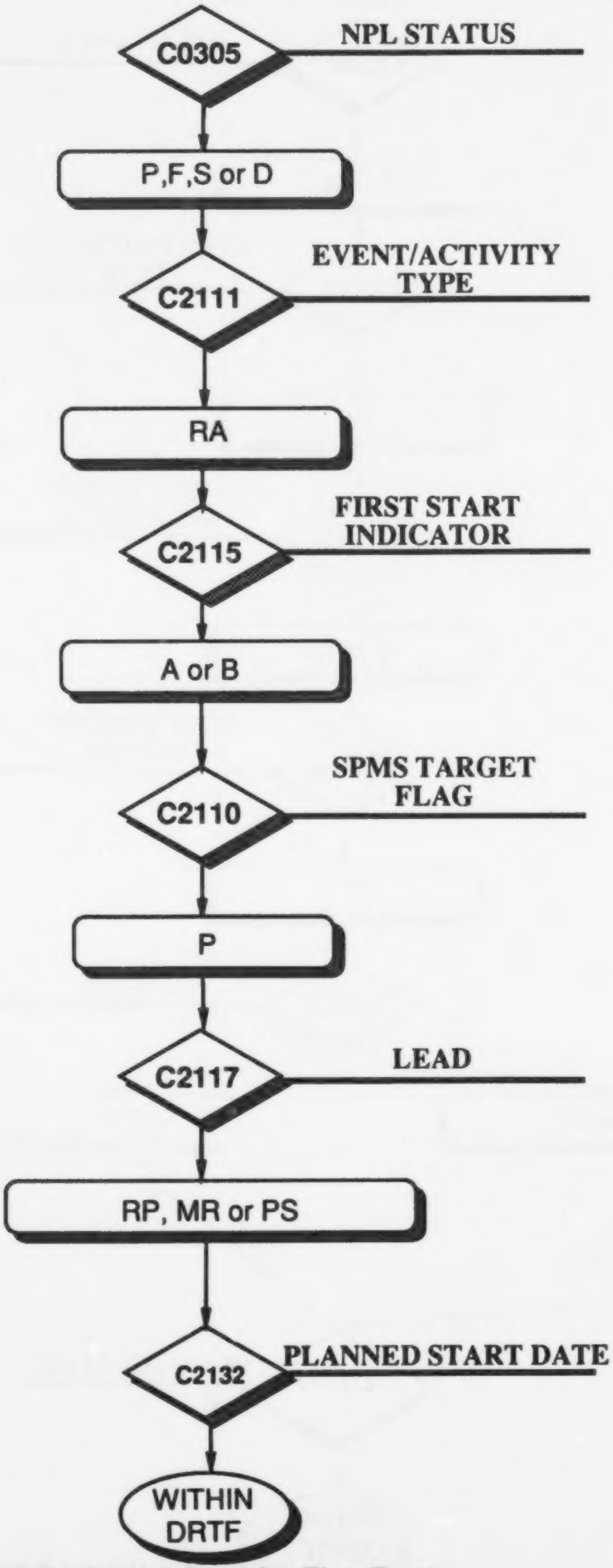
FIRST START INDICATOR
A or B
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F,S,SE or EP
EP
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

RA FIRST START (PRP)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EVENT/ACTIVITY TYPE
RA
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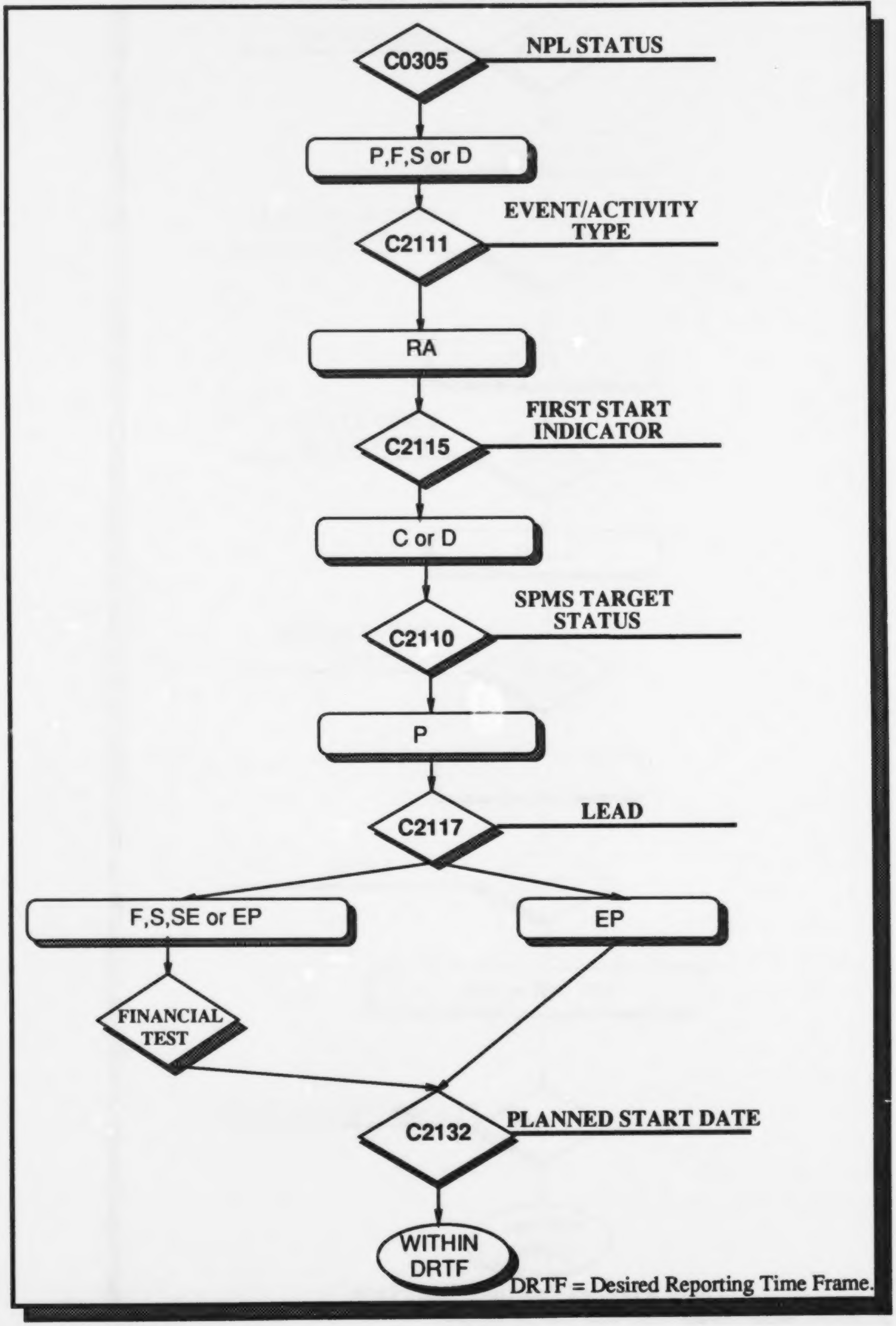
FIRST START INDICATOR
A or B
C2110
SPMS TARGET FLAG
P
C2117
LEAD
RP, MR or PS
C2132
PLANNED START DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

RA SUBSEQUENT START (PROGRAM)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P,F,S or D
C2111
EVENT/ACTIVITY TYPE
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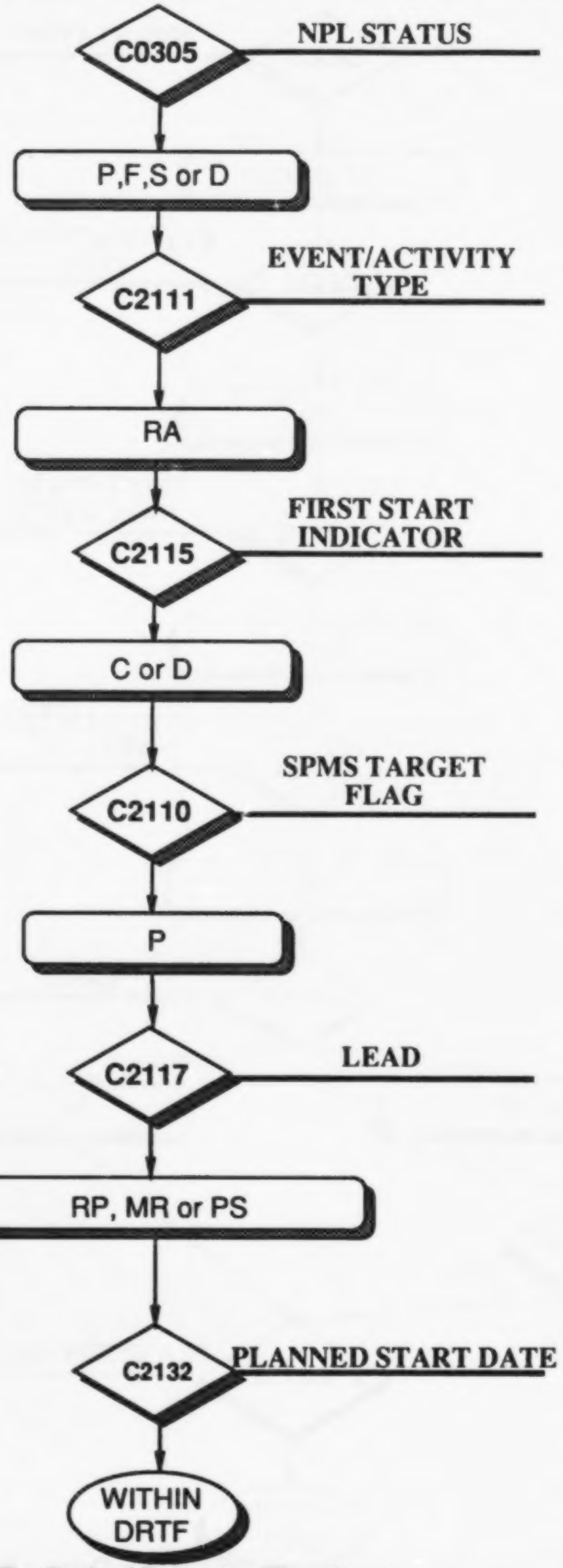
FIRST START INDICATOR
C or D
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F,S,SE or EP
EP
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

RA SUBSEQUENT START (PRP)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P,F,S or D
C2111
EVENT/ACTIVITY TYPE
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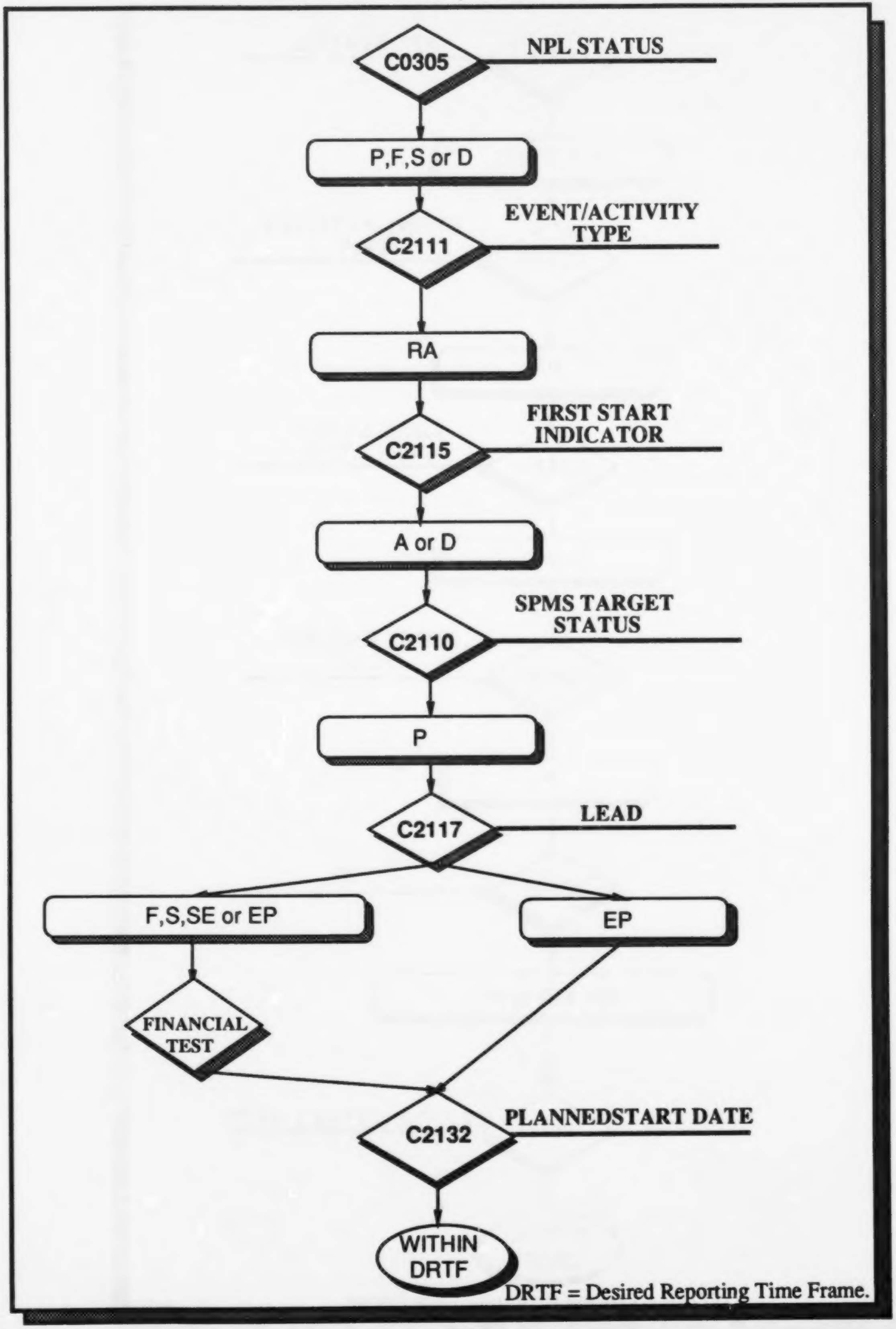
C2115
FIRST START INDICATOR
C or D
C2110
SPMS TARGET FLAG
P
C2117
LEAD
RP, MR or PS
C2132
PLANNED START DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

RA FINAL START (PROGRAM)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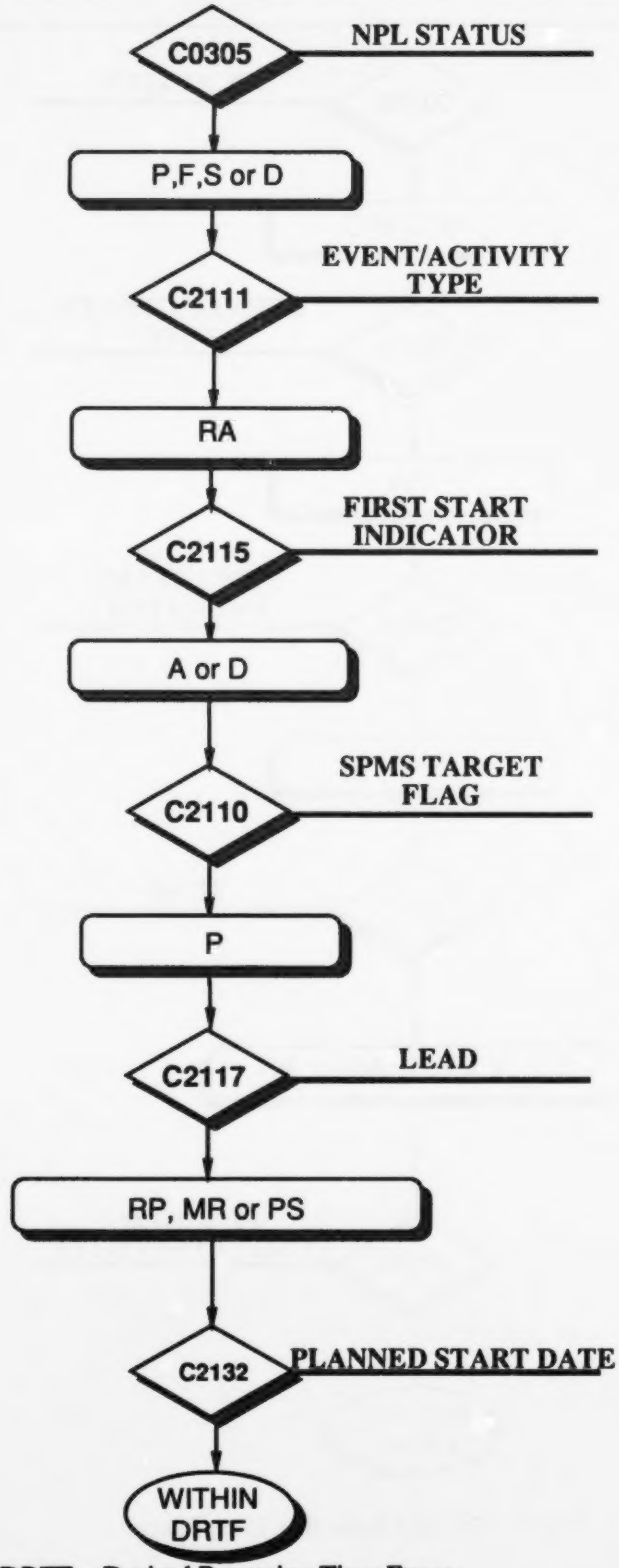
P,F,S or D
C2111
EVENT/ACTIVITY TYPE
RA
C2115
FIRST START INDICATOR
A or D
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F,S,SE or EP
EP
FINANCIAL TEST
C2132
PLANNEDSTART DATE
WITHIN DRTF
DRTF = Desired Reporting Time Frame.

RA FINAL START (PRP)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P,F,S or D
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EVENT/ACTIVITY TYPE
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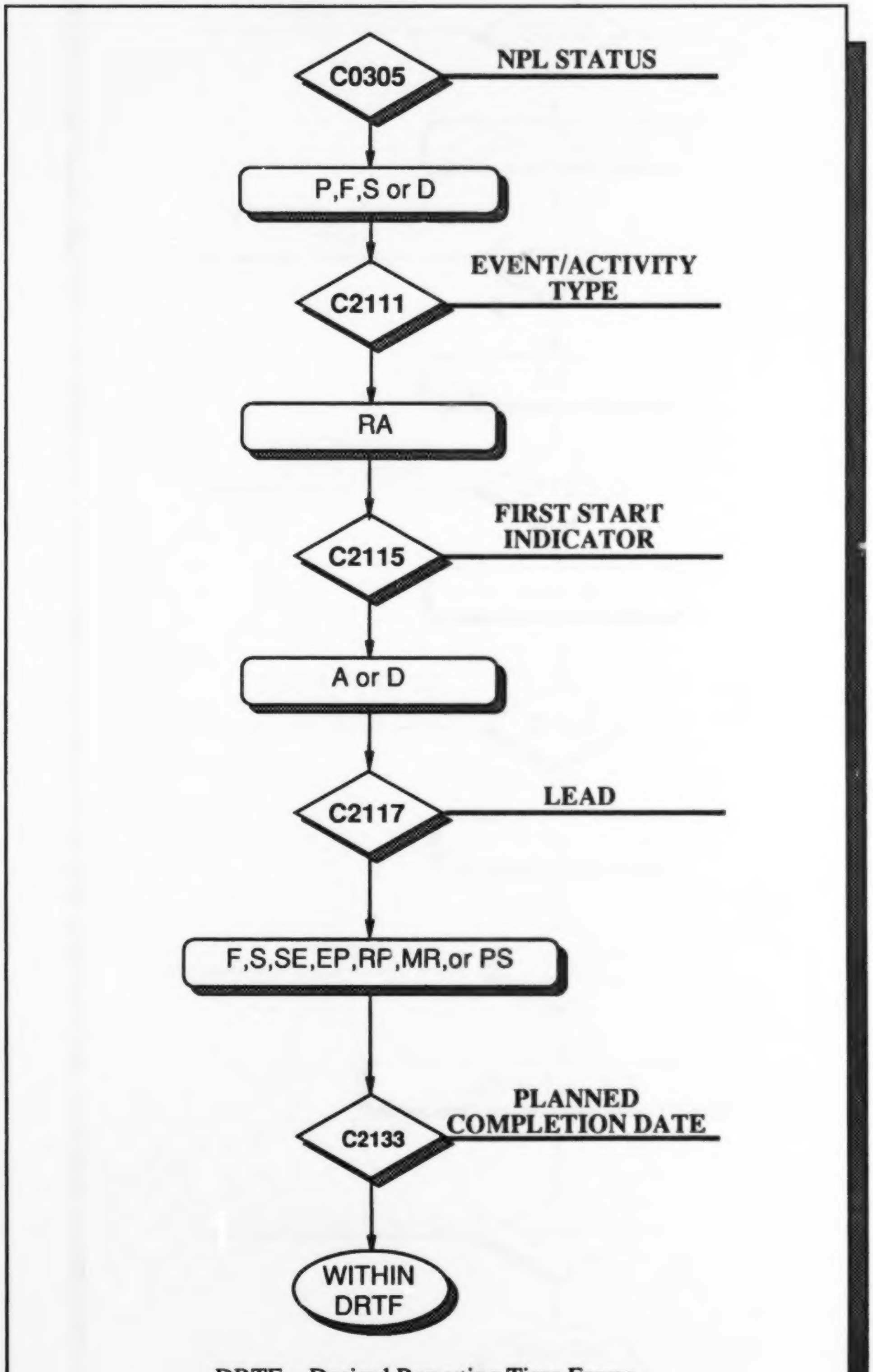
C2115
FIRST START INDICATOR
A or D
C2110
SPMS TARGET FLAG
P
C2117
LEAD
RP, MR or PS
C2132
PLANNED START DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

RA FINAL COMPLETION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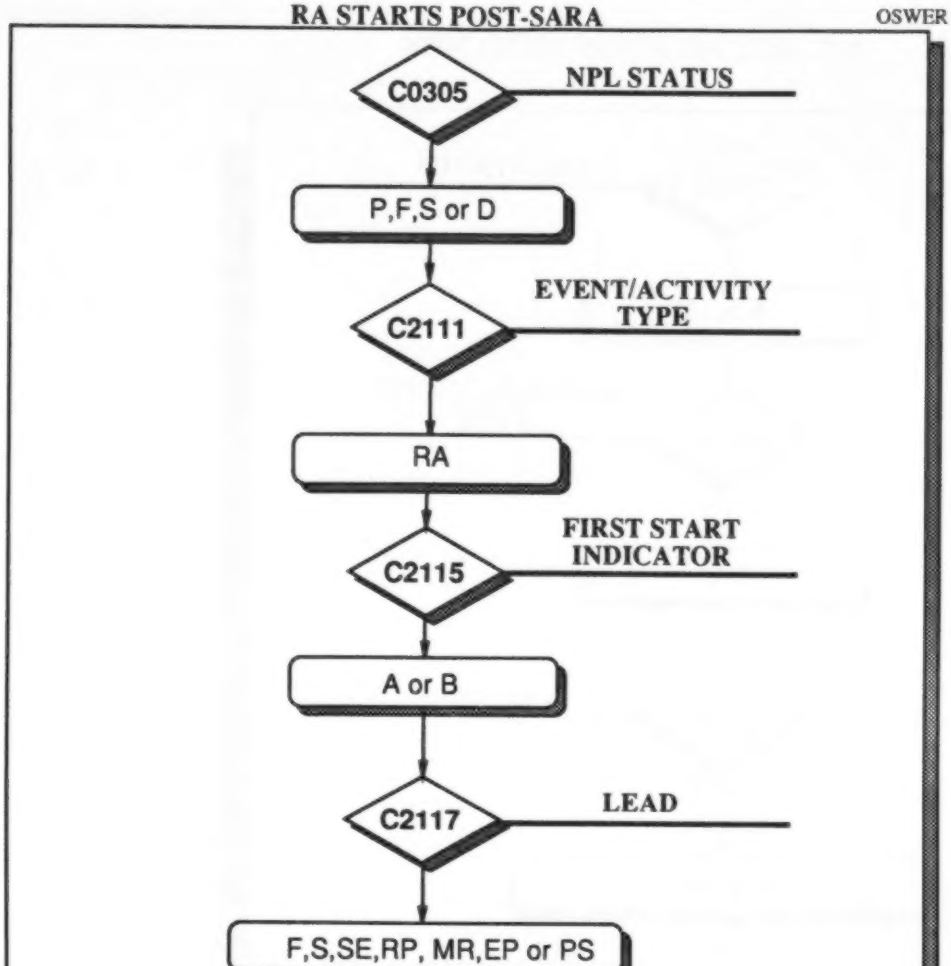
NPL STATUS
P,F,S or D
C2111
EVENT/ACTIVITY TYPE
RA
C2115
FIRST START INDICATOR
A or D
C2117
LEAD
F,S,SE,EP,RP,MR,or PS
C2133
PLANNED COMPLETION DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

RA STARTS POST-SARA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C2111
EVENT/ACTIVITY TYPE
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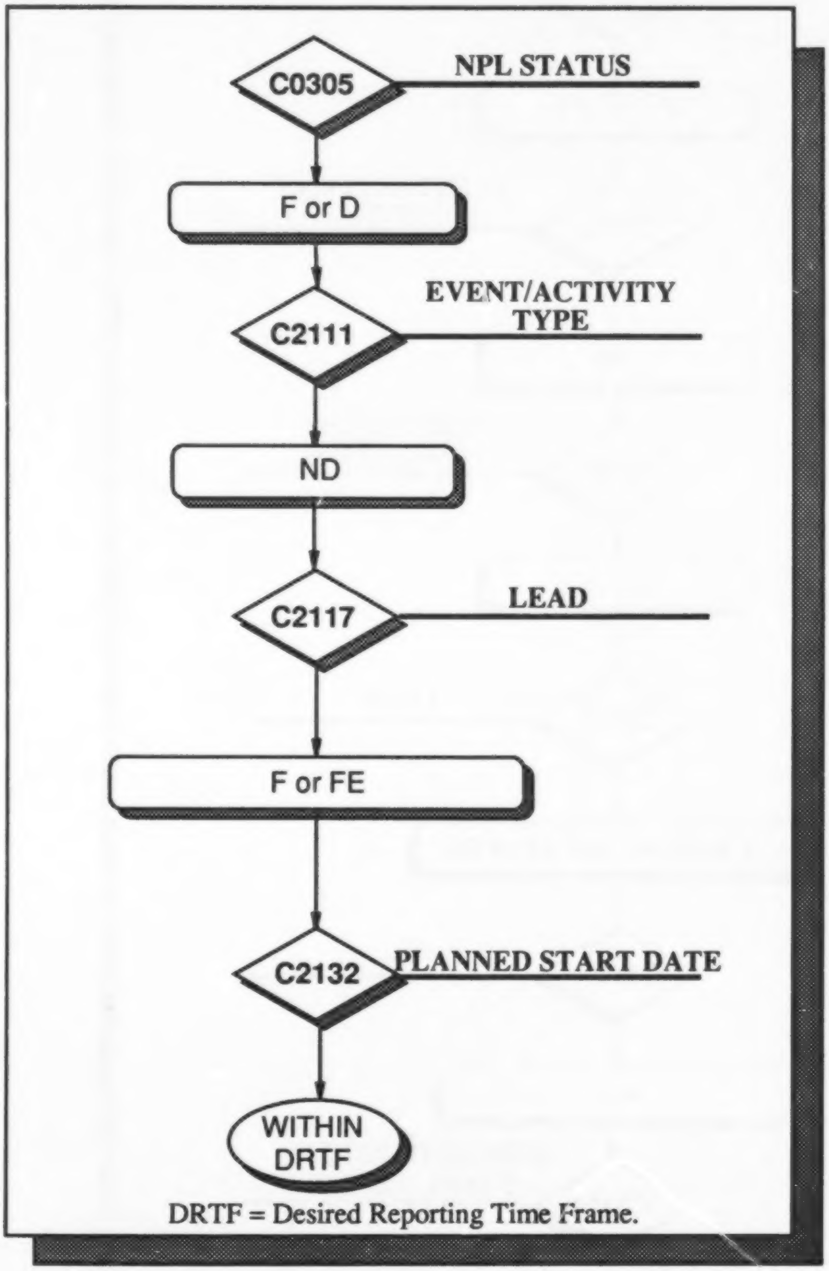
C2115
FIRST START INDICATOR
A or B
C2117
LEAD
F,S,SE,RP, MR,EP or PS
C3111
ID
AC
C3124
SUBEVENT ACTUAL START DATE
GE 10/16/86 & LE 10/16/89
EQ 0
SUBEVENT PLANNED START DATE
C3218
Current Q thru 89/4

NPL SITE DELETION INITIATION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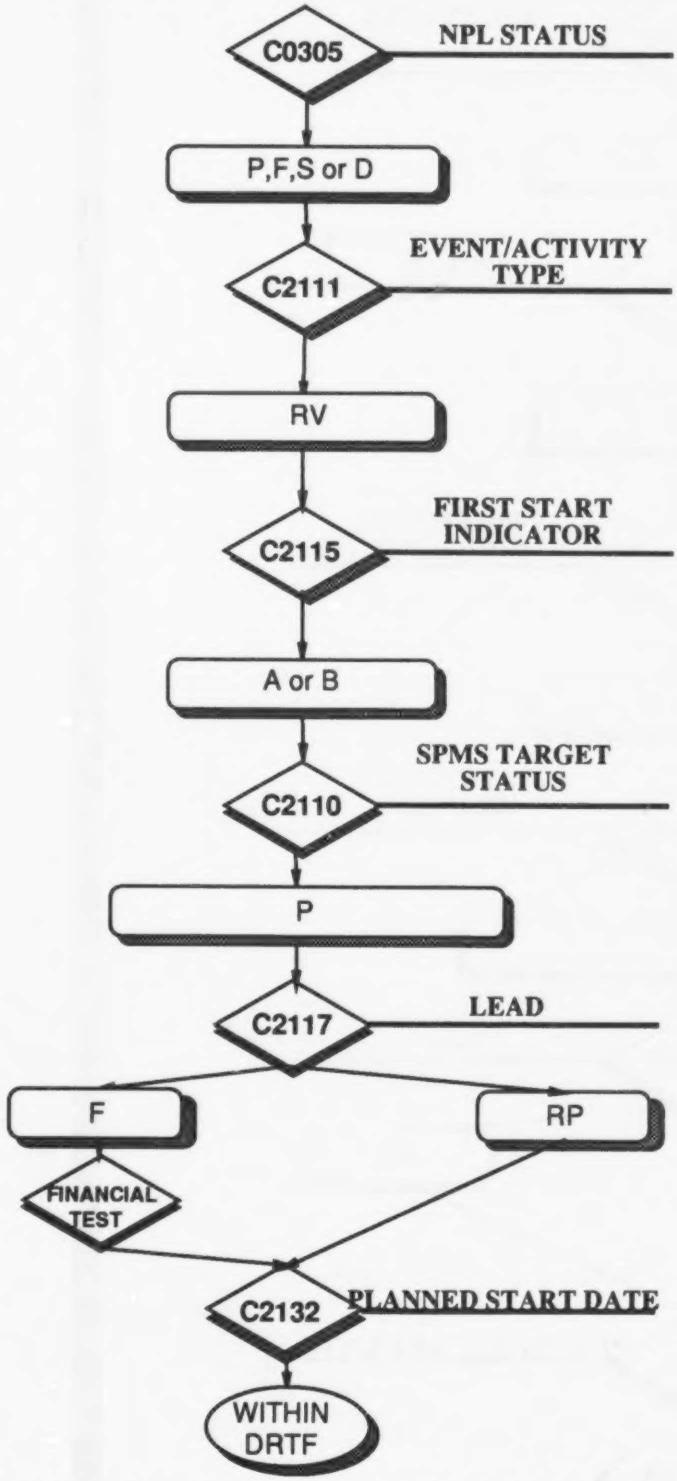
NPL STATUS
F or D
C2111
EVENT/ACTIVITY TYPE
ND
C2117
LEAD
F or FE
C2132
PLANNED START DATE
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

FIRST REMOVAL START AT NPL SITE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EVENT/ACTIVITY TYPE
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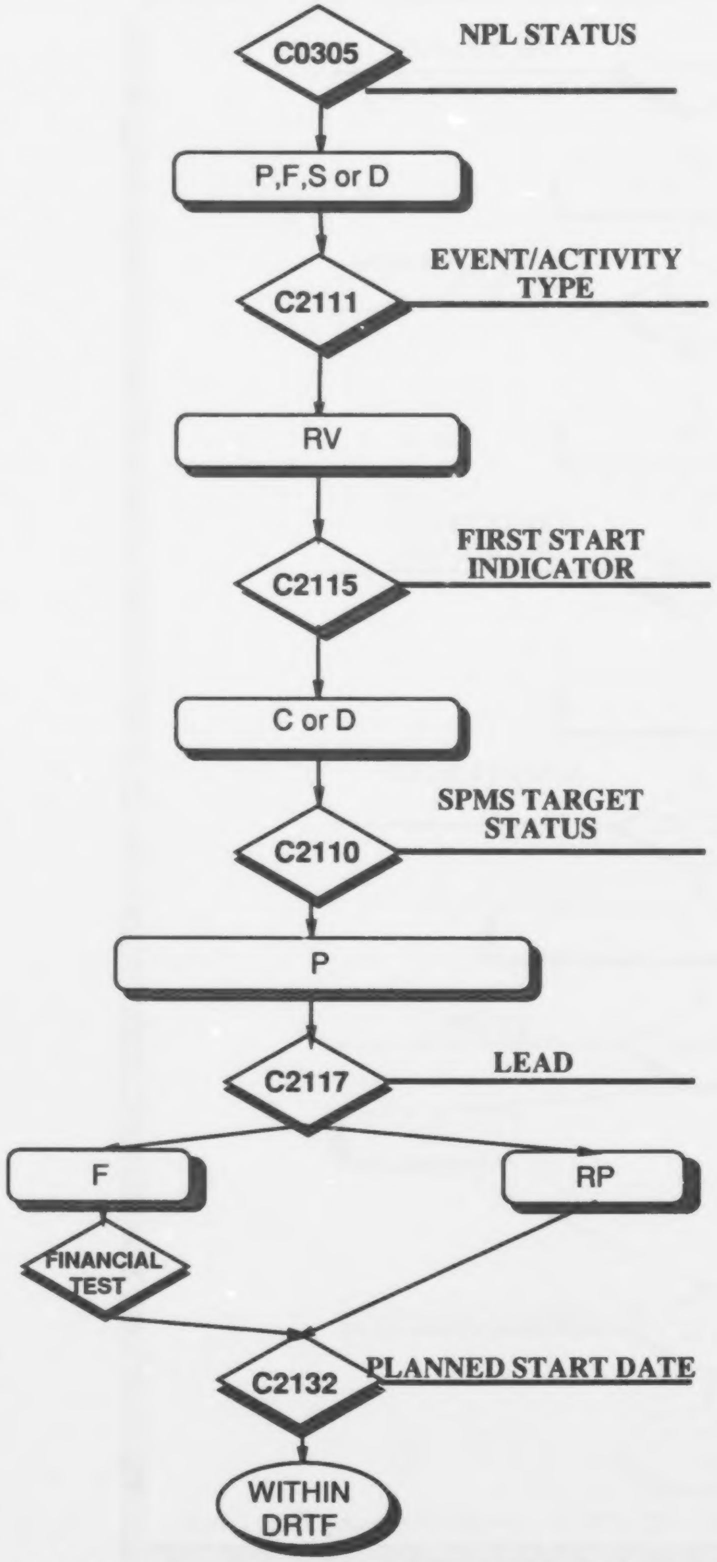
C2115
FIRST START INDICATOR
A or B
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F
RP
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

*Fund financed ceiling C2117 = 'F". DRTF = Desired Reporting Time Frame.

SUBSEQUENT REMOVAL START AT NPL SITE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RV
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FIRST START INDICATOR
C or D
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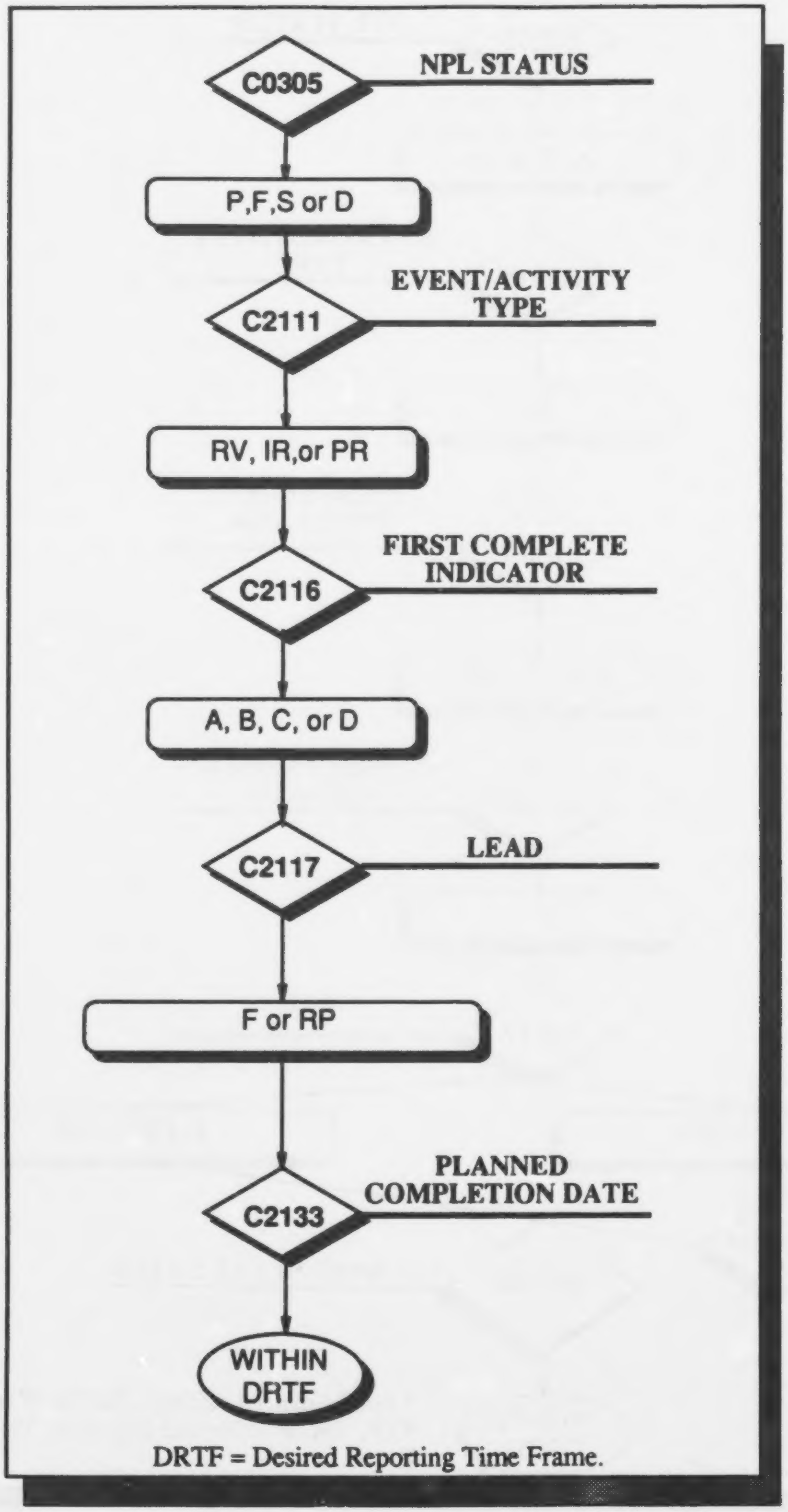
SPMS TARGET STATUS
P
C2117
LEAD
F
RP
FINANCIAL TEST
C2132
PLANNED START DATE
WITHIN DRTF

*Fund financed ceiling C2117 = 'F". DRTF = Desired Reporting Time Frame.

REMOVAL COMPLETIONS AT NPL-SITES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P,F,S or D
C2111
EVENT/ACTIVITY TYPE
RV, IR,or PR
C2116
FIRST COMPLETE INDICATOR
A, B, C, or D
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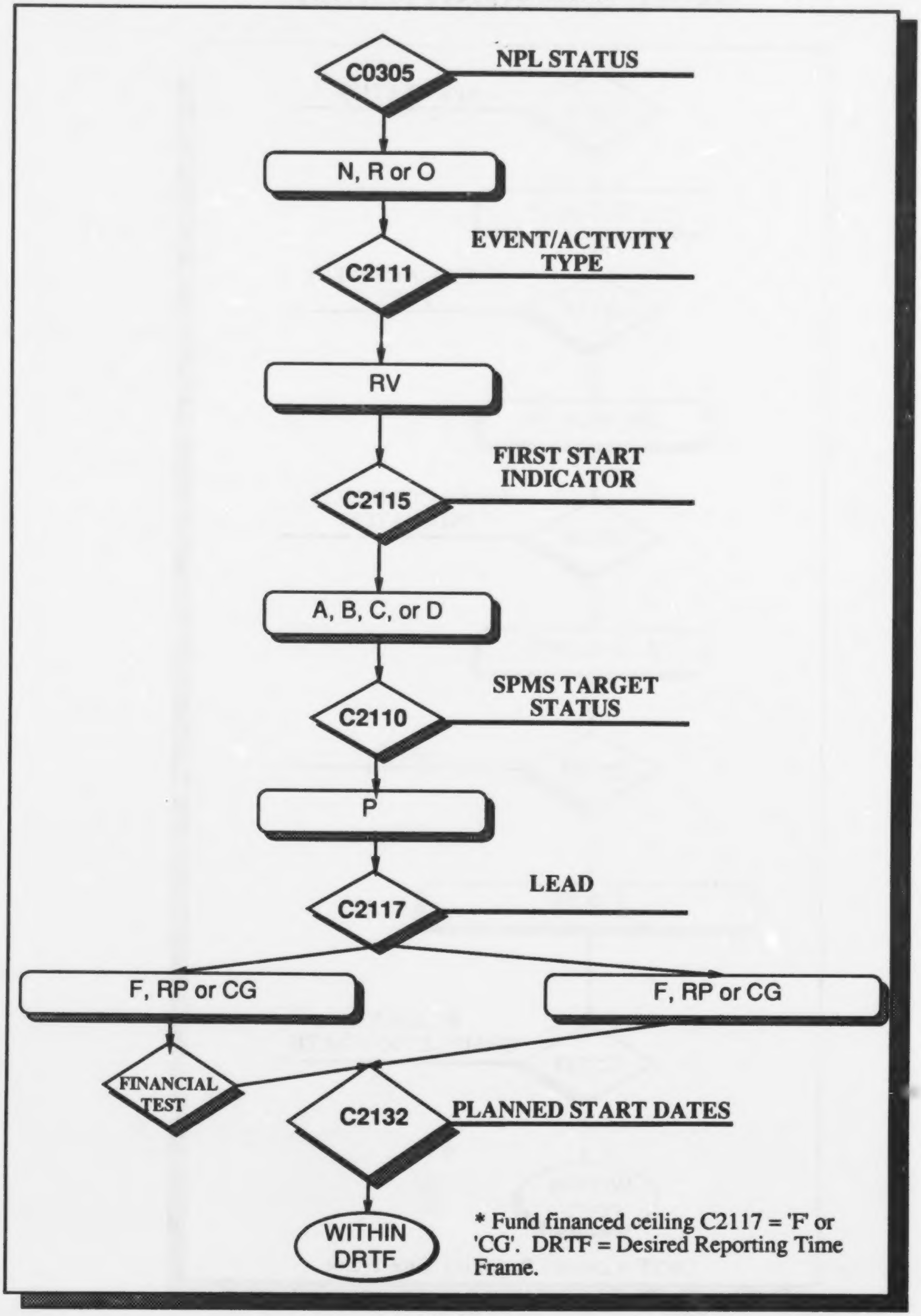
LEAD
F or RP
C2133
PLANNED COMPLETION DATE
WITHIN DRTF

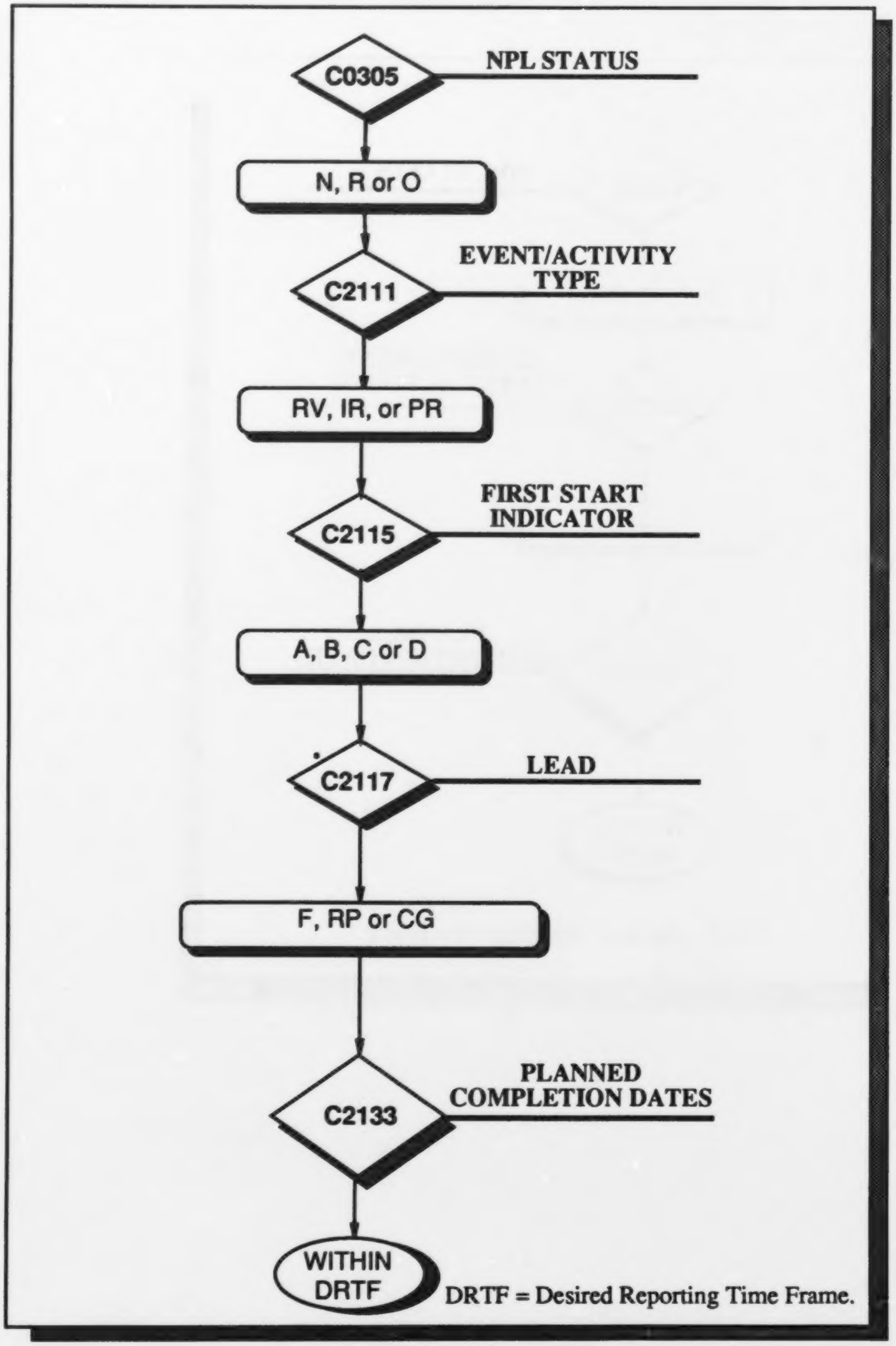
DRTF = Desired Reporting Time Frame.

NON-NPL REMOVAL START


C0305
NPL STATUS
N, R or O
C2111
EVENT/ACTIVITY TYPE
RV
C2115
FIRST START INDICATOR
A, B, C, or D
C2110
SPMS TARGET STATUS
P
C2117
LEAD
F, RP or CG
F, RP or CG
FINANCIAL TEST
C2132
PLANNED START DATES
WITHIN DRTF

* Fund financed ceiling C2117 = 'F' or 'CG'. DRTF = Desired Reporting Time Frame.

NON-NPL REMOVAL COMPLETIONS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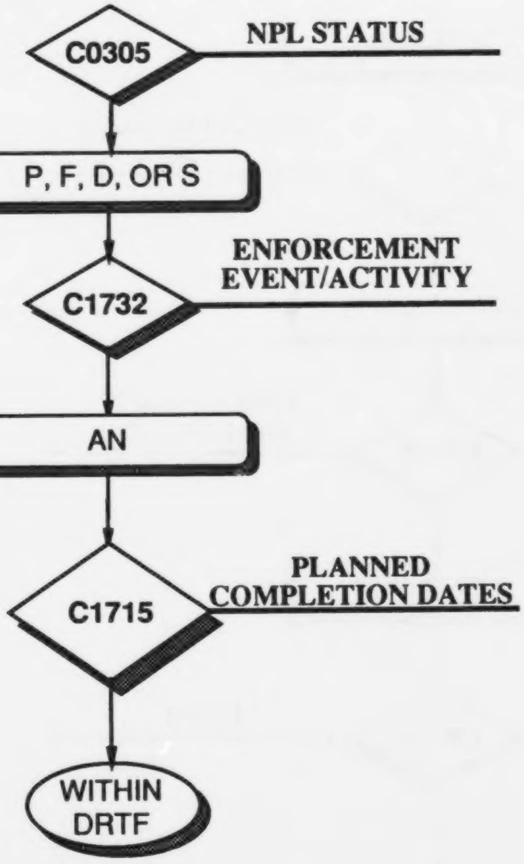
N, R or O
C2111
EVENT/ACTIVITY TYPE
RV, IR, or PR
C2115
FIRST START INDICATOR
A, B, C or D
C2117
LEAD
F, RP or CG
C2133
PLANNED COMPLETION DATES
WITHIN DRTF
DRTF = Desired Reporting Time Frame.

CONCLUSION OF RD/RA NEGOTIATIONS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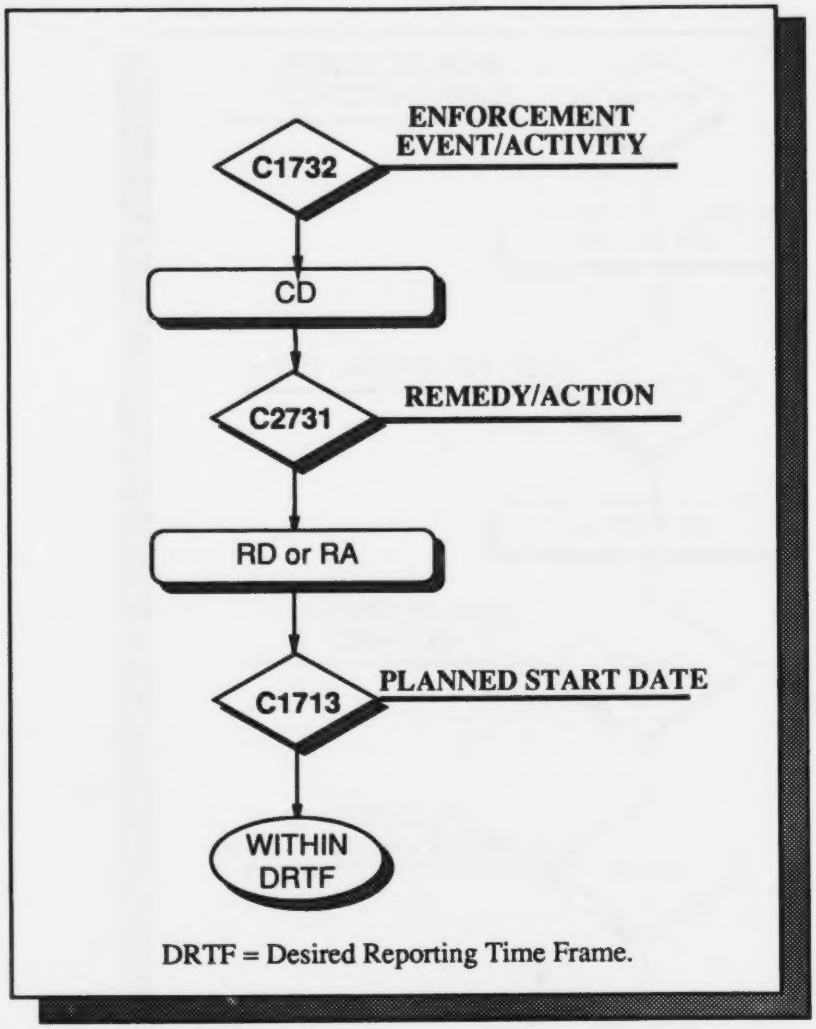
NPL STATUS
P, F, D, OR S
ENFORCEMENT EVENT/ACTIVITY
C1732
AN
C1715
PLANNED COMPLETION DATES
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

SECTION 106 RD/RA REFERRALS/ORDERS


C1732
ENFORCEMENT EVENT/ACTIVITY
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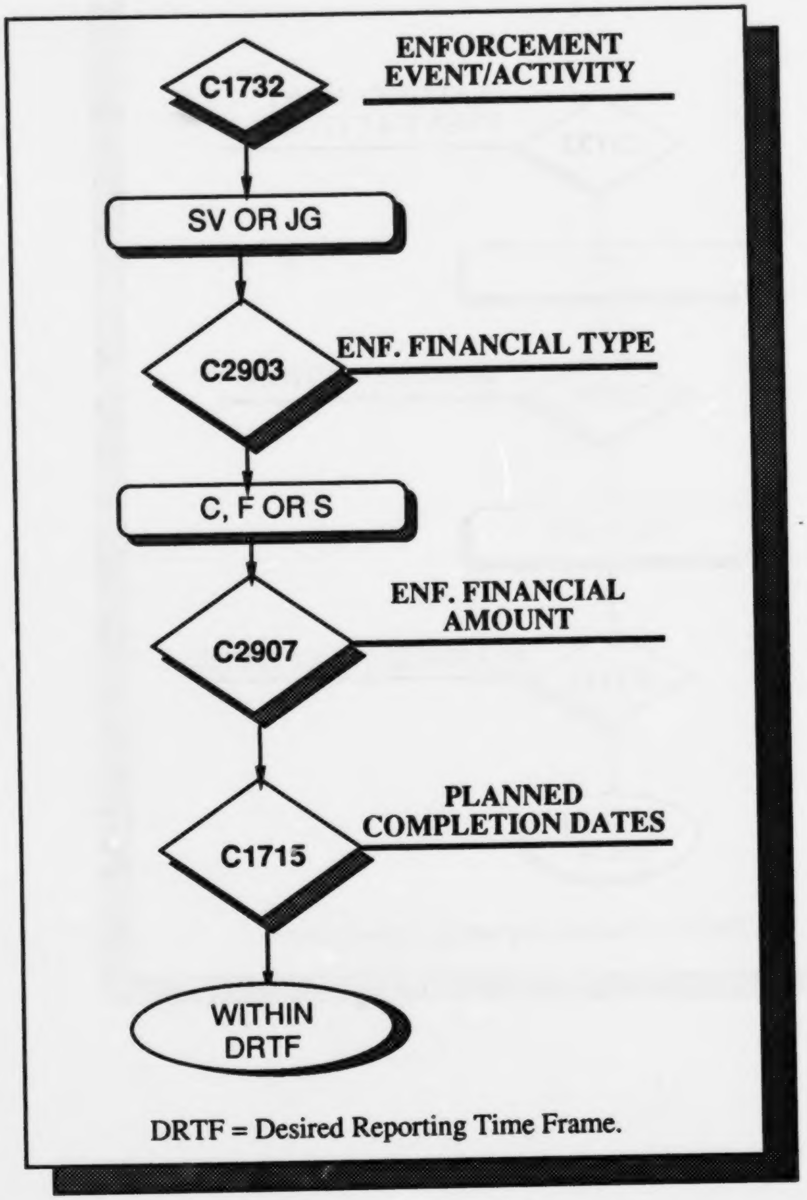
C2731
REMEDY/ACTION
RD or RA
C1713
PLANNED START DATE
WITHIN DRTF

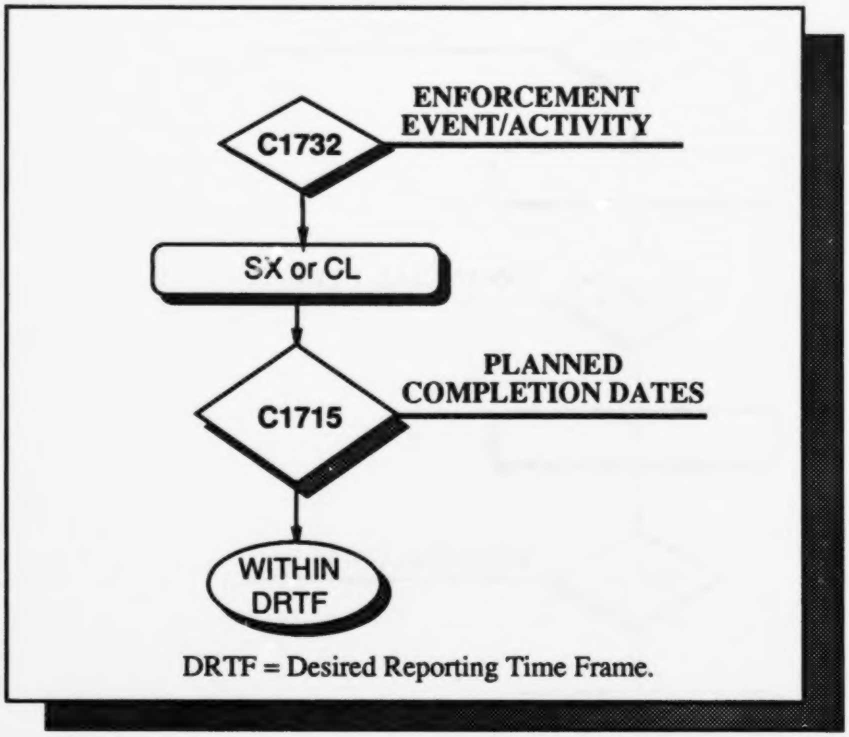
DRTF = Desired Reporting Time Frame.

SECTION 107 COST RECOVERY JUDICIAL SETTLEMENT


C1732
ENFORCEMENT EVENT/ACTIVITY
SV OR JG
C2903
ENF. FINANCIAL TYPE
C, F OR S
C2907
ENF. FINANCIAL AMOUNT
C1715
PLANNED COMPLETION DATES
WITHIN DRTF

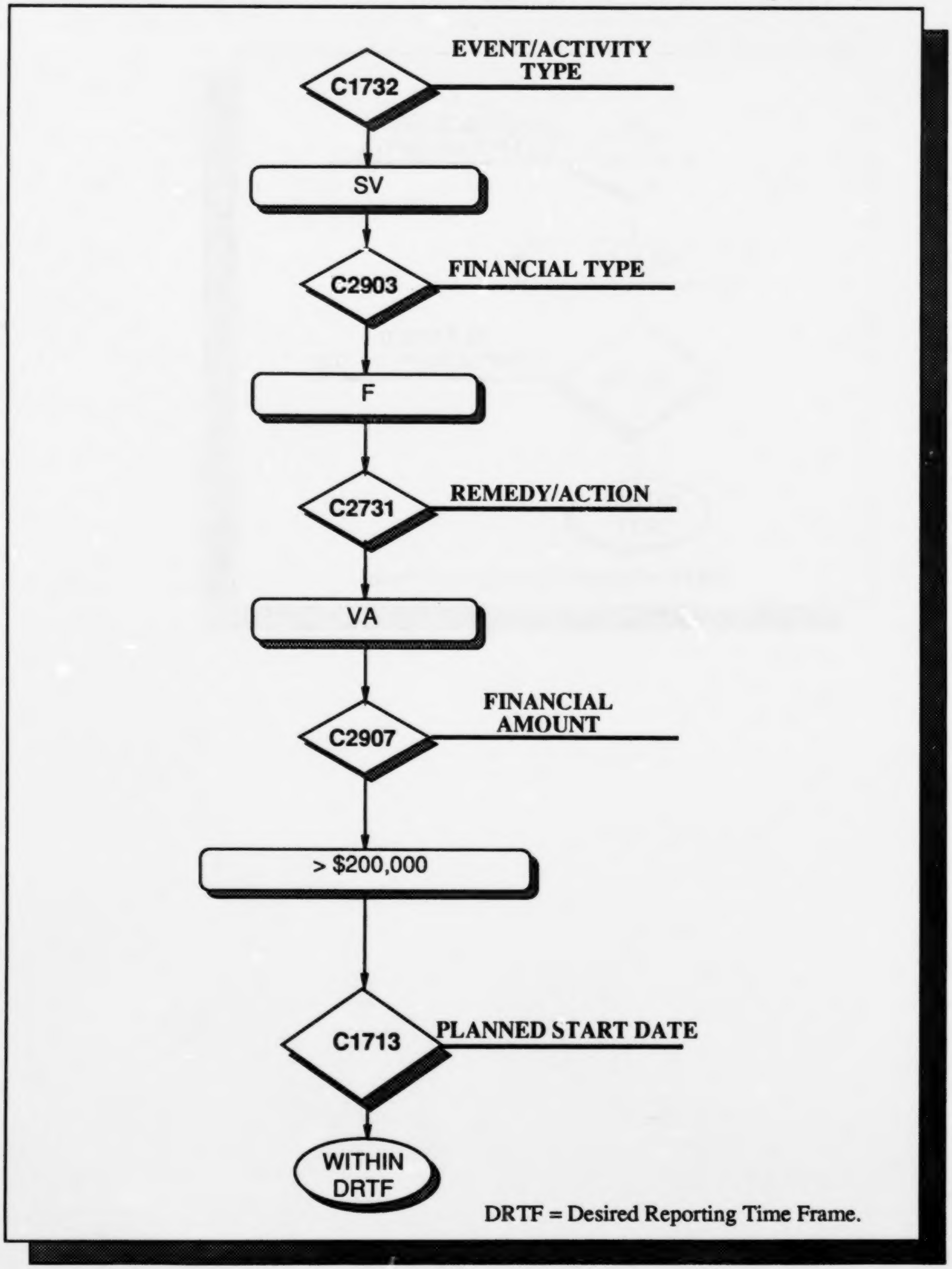
DRTF = Desired Reporting Time Frame.

SECTION 106 CASE RESOLUTION


C1732
ENFORCEMENT EVENT/ACTIVITY
SX or CL
C1715
PLANNED COMPLETION DATES
WITHIN DRTF

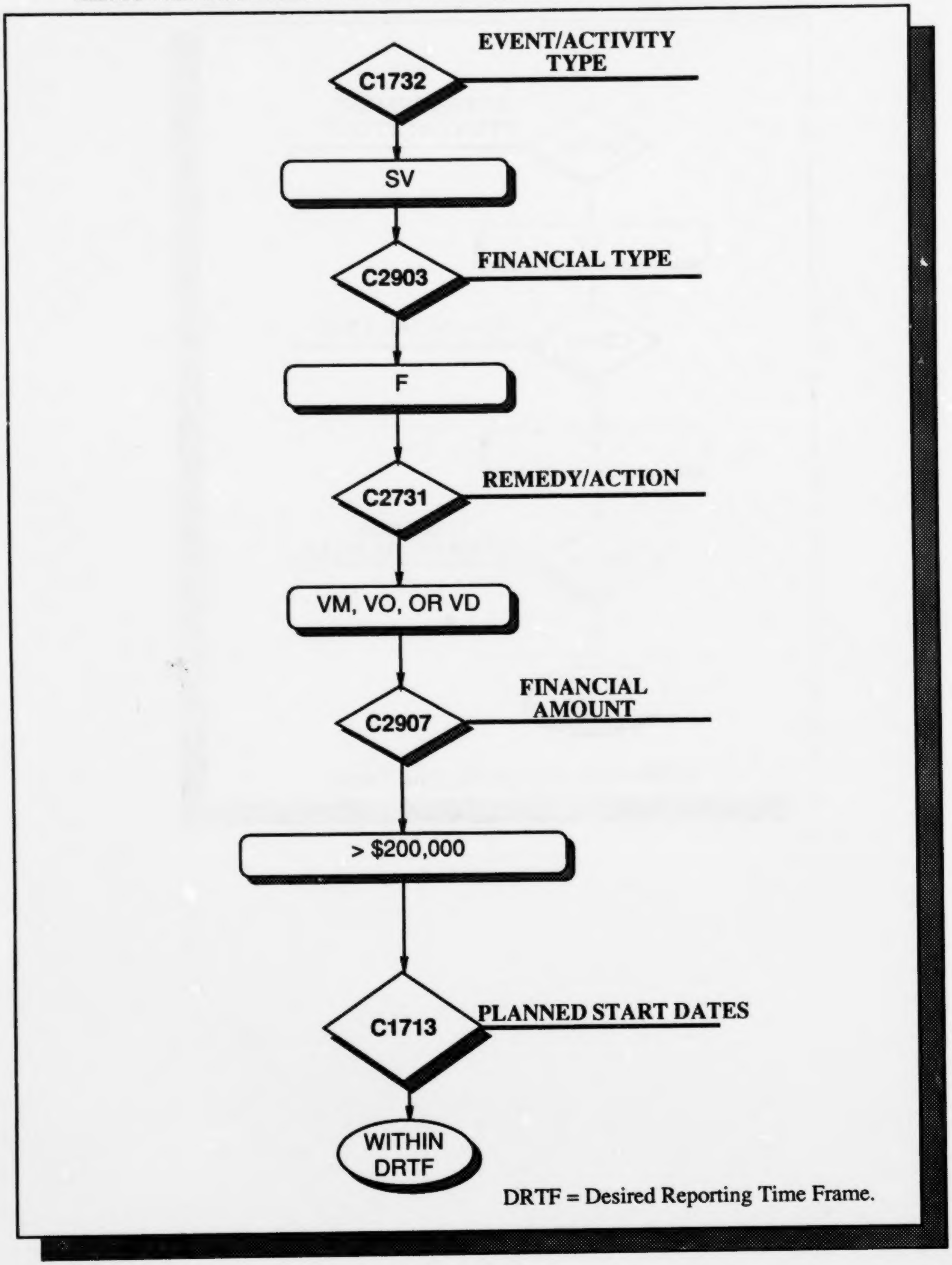
DRTF = Desired Reporting Time Frame.

REMEDIAL COST RECOVERY CASES REFERRED TO DOJ OR HQ (>200K)


C1732
EVENT/ACTIVITY TYPE
SV
C2903
FINANCIAL TYPE
F
C2731
REMEDY/ACTION
VA
C2907
FINANCIAL AMOUNT
> $200,000
C1713
PLANNED START DATE
WITHIN DRTF

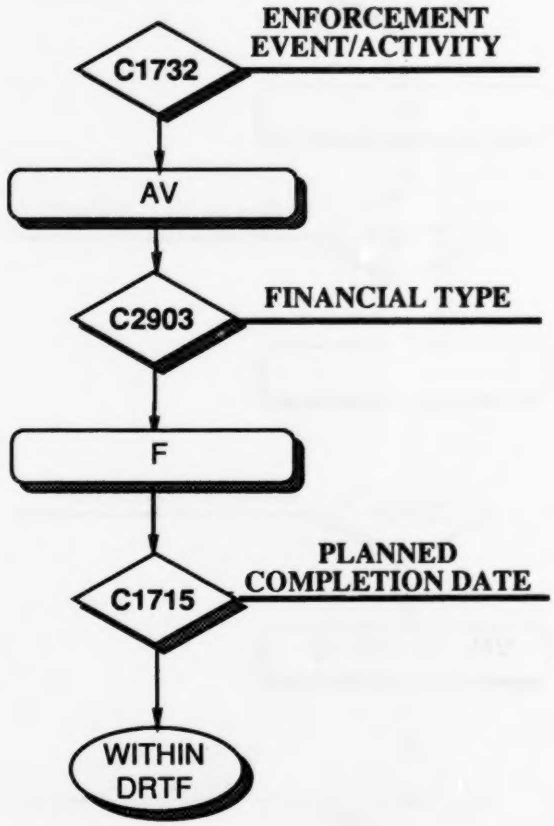
DRTF = Desired Reporting Time Frame.

REMOVAL COST RECOVERY CASES REFERRED TO DOJ OR HQ (>200K)


C1732
EVENT/ACTIVITY TYPE
SV
C2903
FINANCIAL TYPE
F
C2731
REMEDY/ACTION
VM, VO, OR VD
C2907
FINANCIAL AMOUNT
> $200,000
C1713
PLANNED START DATES
WITHIN DRTF

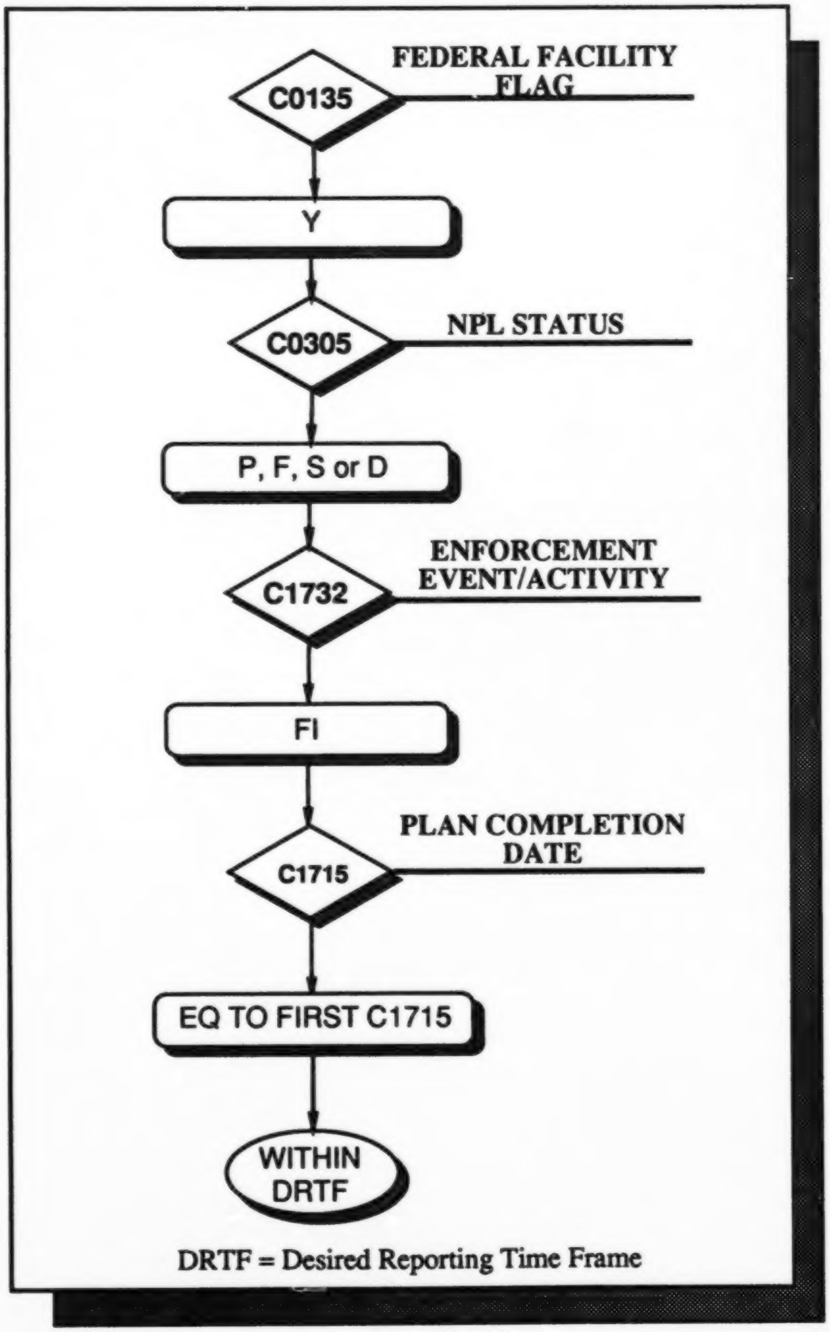
DRTF = Desired Reporting Time Frame.

ADMINISTRATIVE COST RECOVERY SETTLEMENT


ENFORCEMENT EVENT/ACTIVITY
C1732
AV
FINANCIAL TYPE
C2903
F
PLANNED COMPLETION DATE
C1715
WITHIN DRTF

DRTF = Desired Reporting Time Frame.

FEDERAL FACILITY NPL SITES WITH IAG


C0135
FEDERAL FACILITY FLAG
Y
C0305
NPL STATUS
P, F, S or D
C1732
ENFORCEMENT EVENT/ACTIVITY
FI
C1715
PLAN COMPLETION DATE
EQ TO FIRST C1715
WITHIN DRTF

DRTF = Desired Reporting Time Frame

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